# **Does G<sub>0</sub> of Granular Materials Carry Information on Their Particle Characteristics?**



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**Abstract** Small strain elastic properties, such as  $G_0$ , are used to model the soil behaviour under dynamic and static loading. In granular materials, these depend mainly on effective stress and density. However, the influence of particle morphology on  $G_0$  cannot be neglected. This paper presents series of laboratory experiments on different granular materials using resonant column apparatus and bender elements. The combined effect of particle morphology, size and distribution of glass ballotini and quartz sands was investigated by using micro-mechanics based analytical model. Experimental results indicate that the A and n parameters of the  $G_0 = A * f (e) * (p'/p_r)^n$  relationship are linked to particle size, roughness and shape. Using an analytical model, A and n parameter is expressed as function of particle characteristics. The potential use of  $G_0$  to predict particle characteristics is explored in this study.

 $\textbf{Keywords} \ \ Stiffness \cdot \ Particle \ roughness \cdot \ Quartz \ sand \cdot \ Glass \ ballotini \cdot \\ Analytical \ model$ 

#### 1 Introduction

Small strain elastic properties are essential to describe behaviour of granular materials under static and dynamic loading for problems ranging from granular flows to earthquake. The shear modulus  $(G_0)$  is a fundamental material property used in modelling of uncemented granular soils, which is generally expressed as function of density and effective stress using equation 1 [1–3]. Much research has demonstrated that A and n are material parameters that can be related to effective stress and density/state [1, 4, 5]. On the other hand particle characteristics such as

particle size, distribution [2, 6, 7] and morphology [4] also influence small strain material properties. However,  $G_0$  relation to particle characteristics is not well established [2, 7, 8].

$$G_0 = A_G F(e) \left(\frac{p'}{p_r}\right)^{n_G} \tag{1}$$

The macro-mechanical behaviour of granular materials depends on packing structure and particle contact behaviour. Analytical and numerical studies on small strain elastic properties have identified the link between micro and macro response of granular materials at particle level due to particle arrangement and particle contact distribution within the assembly along with particle rearrangement due to change in stress condition [9–12]. This paper explores the role of particle characteristics such as grain size, shape and roughness on small strain shear modulus of glass ballotini and a quartz sand through experimental and numerical analysis. For simplicity,  $G_0$  in this study refers to small strain shear modulus in the vertical direction  $(G_{\rm vh})$ .

## 2 Granular Materials and Small Strain Stiffness Testing

In order to examine the effect of particle characteristics on small strain shear modulus, uniform spherical glass ballotini and sub-angular sand particles of similar size (d<sub>50</sub>) along with a poorly graded particle size distribution were chosen for the comparative study (Table 1). The sand is the Cauvery River sand from Karnataka, India, which is naturally well-graded consisting of 80% quartz, 18% feldspar and 2% mica. Figure 1 shows the grading curves of the uniform sands and the medium graded sand. Particle characteristics such as sphericity and roundness of sands was characterised by using SEM images of sands as given in Fig. 2 and the Krumbein and Sloss chart [13]. 3D roughness of granular materials was characterised to obtain an average RMS (Root Mean Square) of the asperity heights using an interferometer. The RMS roughness, Sq, was obtained over a field of view (scan area) of approximately  $40 \times 40 \mu m$ , which was kept constant for each particle type and size (Fig. 3). This allowed to compare Sq values, the roughness being sensitive to the scan area. A scan area of  $141.5 \times 106 \,\mu m$  was also used. While for glass ballotini, the undetected points were less than 1% also for large scan areas, i.e. reliability of roughness values, for some sand grains the undetected points were larger than 1%. A field of view of  $40 \times 40 \,\mu m$  was selected based on an acceptable percentage of undetected points (<1%) and the fact that inter-particle contact areas would be less than  $40 \times 40 \,\mu m$  [14, 15]. The contact radius was also obtained from interferometer measurements.

Cylindrical granular assemblies were prepared and tested at different isotropic effective stress (25–500 kPa) for small strain elastic properties using resonant column apparatus with bender/extender element inserts [6]. The granular assemblies were prepared in two different packing densities, poured random packing (PRP) and closed random packing (CRP), by using a dry pluviation method as described in

 Table 1
 Granular material index and particle characteristics used in the study

			Average Roughness				
Granular material	Packing	Void ratio (e)	$Sq^{a}$ ( $\mu m$ )	Roundness <sup>b</sup>	Sphericity <sup>b</sup>	$A_{G}$	nG
Glass ballotini 2 mm	CRP	0.65	0.099	1	1	318.9	0.502
	PRP	69.0				151.7	0.450
Glass ballotini 0.6 mm	CRP	0.63	ı	1	1	218.1	0.540
	PRP	0.71				132.1	0.513
Glass ballotini 0.3 mm	CRP	0.65	1	1	1	197.4	0.616
	PRP	0.73				120.5	0.546
Coarse sand $d_{50} = 2.5 \text{ mm}$	PRP	0.75	0.431–0.526	0.3	6.0	76.4	0.480
	CRP	0.61				75.7	0.470
Medium sand $d_{50} = 0.60 \text{ mm}$	PRP	97.0	0.347	0.4	8.0	73.0	0.470
	CRP	0.62				71.4	0.440
Fine sand $d_{50} = 0.30 \mathrm{mm}$	PRP	0.78	1	0.4	8.0	0.89	0.502
	CRP	0.64				70.3	0.478
Medium-fine sand	PRP	0.74	ı	0.4	0.7	63.2	0.452
	CRP	0.56				83.6	0.341

 $^a$  Average of 10 tests each on 40  $\times$  40  $\mu m$  field of view  $^b$  Roundness and Sphericity obtained from Kumbrein and Sloss chart [20]

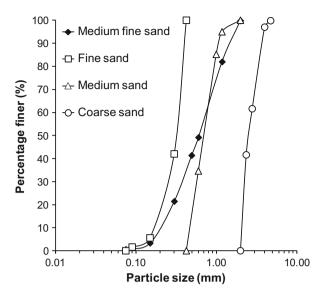


Fig. 1 Particle size distributions of Cauvery River sand used for the study

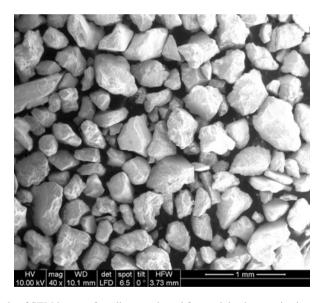


Fig. 2 Example of SEM image of medium sand used for particle characterisation

Kumar & Madhusudhan [6]. PRP was achieved by pouring the granular materials from zero height of fall, while CRP was achieved by pouring the granular material from calibrated height of fall using a pluviation device [16]. The index properties, void ratio and particle characteristics of granular materials used are presented in Table 1.

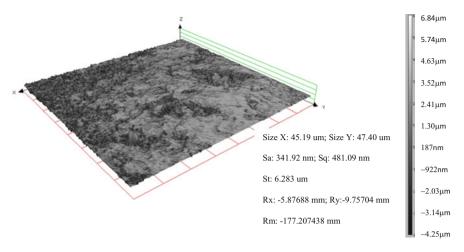


Fig. 3 Typical 3D view of Cauvery river sand (coarse) surface evaluated using interferometer

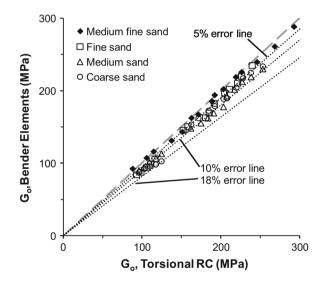


Fig. 4 Comparison of G<sub>0</sub> obtained from resonant column and bender element tests

Small strain shear modulus  $(G_0)$  was calculated from the sample resonant frequency at different isotropic stresses obtained for small torsional vibrations applied at top of the sample, fixed at the bottom (torsional vibration of a cantilever beam) in the resonant column device. Details regarding resonant column testing can be found in Madhusudhan & Senetakis [16, 17]. Shear wave pulse was transmitted from the top and received at the bottom of the specimen using bender elements to compare the two methods of obtaining  $G_0$  [6, 16]. Figure 4 presents the comparison of the two methods of obtaining shear modulus. In general, there is a good

agreement between the resonant column and bender element test results. Error lines indicate that the average scatter for the uniform sands is 10%. Only two data points show maximum error of 18% for the coarse sand at low effective stresses (100 kPa). This discrepancy may be due to coupling between the particles and the elements in the BE tests.

## 3 Effect of Particle Characteristics on G<sub>0</sub>

Experimental results from the testing programme designed to capture the effect of particle size, shape with respect to density and effective stress is presented in Fig. 5. Spherical shaped glass beads of 2, 0.6 and 0.3 mm diameter can be compared with their corresponding sub-angular coarse, medium and fine sands of similar  $d_{50}$  particle size.  $G_0$  increases with increase in effective stress and density of assembly regardless of particle shape and size, however the increase in magnitude is affected by the particle characteristics, which is discussed in next section.

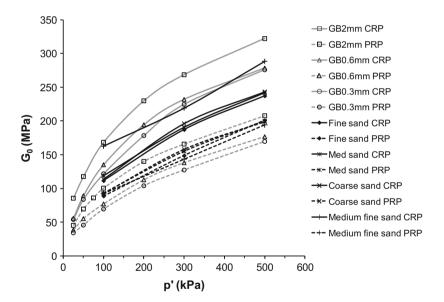


Fig. 5 Effect of particle size and shape on G<sub>0</sub>

## 3.1 Effect of Particle Size and Morphology

The effect of particle size on  $G_0$  is more evident in the spherical glass ballotini than in the sub-angular sand particles. The material parameters  $A_G$  and  $n_G$  were derived for each test by normalising  $G_0$  with F(e) and p' with  $p_{ref}$  in Eq. (1), where void ratio function F(e) was taken as  $e^{1.3}$  and  $p_{ref}$  as atmospheric pressure as referred in Senatakis & Madhusudhan [17]. Table 1 presents the material parameters: both  $A_G$  and  $n_G$  derived for spherical particles are larger than those for sub-angular. The  $A_G$  decreases with decreasing particle size and packing density while  $n_G$  increases. This trend is more evident in the spherical particles.

## 3.2 Effect of Grading

Table 1 shows that the  $A_G$  and  $n_G$  parameters are sensitive to the type of grading. The medium graded sand mixture shows larger  $A_G$  and lower  $n_G$  values than the uniform sands. This is mainly attributed to the packing density as a medium graded mixture allows reaching denser packing, therefore higher coordination number.

## 4 Comparison with Numerical Models

The  $G_0$  values measured by bender elements and resonant column testing are compared with values calculated by micro-macro mechanics analytical models for rough-surface contacts [9, 11, 18]. The calculation was carried out for both glass ballotini and Cauvery River sand particles of 2 mm and  $d_{50}$  of 2.50 mm, respectively, but only results for the sand are shown and commented.

## 4.1 Analytical Procedure

In the rough-surface contact model [11, 13], the contact stiffnesses depend on the particle roughness. Experimental data have shown that roughness at the particle contact might change due the applied load and displacement. Senetakis et al. [15] found a reduction in roughness of 33% for a pair of quartz sand particles subjected to normal and tangential inter-particle forces ranging between 0.5 and 5 N. In this work, roughness values were assumed to be constant with increasing isotropic effective stress. The calculated contact forces experienced by particles subjected to a maximum isotropic effective stress of 500 kPa were smaller than those experienced in the recent micro-mechanical testing [e.g. 14, 15]; therefore, the assumption of unique roughness value throughout the test is justified. In the case of Cauvery river

sand with  $d_{50}$  of 2.50 mm, an average of  $S_q$  between large and medium particles was adopted, i.e.  $S_q = 0.478 \,\mu\text{m}$ .

In this calculation, the particle dimension that is involved in the formulation of the micro-macro mechanics rough-surface contact model has been taken as the radius of curvature of the assumed contact area. Shi and Polycarpou [19] adopted a rough-surface contact model assuming that the particle dimension involved in the contact mechanism was the radius of the asperities which were considered spherical. In this paper, the roughness was measured over an area of approximately  $40\times40~\mu\text{m}$ , therefore it was assumed that the radius of the contact area was equivalent the radius of curvature of the scan area. The calculation follows the static hypothesis approach for which the contact forces in any direction can be calculated as [10]:

$$f_i = \frac{\sigma_i \left(r^2\right) 4\pi \left(1 + e\right)}{Cn} \tag{2}$$

where  $\sigma_i$  is the stress acting on the assembly, r is the radius of curvature of the contact area obtained from interferometry testing, e is the void ratio of the assembly and  $C_n$  is the coordination number calculated as 13.28-8e [10].

The rough micro-mechanical contact model introduces the rough contact area  $a_r$  as a function of the  $\alpha$  parameter and the Hertzian contact area, a. The parameter relates the roughness to the Hertzian deformation of the particle  $\delta_0$ , the normal contact force, the particle dimension (radius of curvature of the contact area in the present study) and particle shear modulus. Yimsiri and Soga [11] presented a hyperbolic expression for the relationship  $a_r$  and  $\alpha$  to fit the data provided in [13]. This relationship was used to calculated  $a_r$ . The deformation at the particle contact was calculated by substituting the rough contact area,  $a_r$ , to Hertz contact area, a.

The normal contact stiffness  $K_n$  was calculated as the secant between two consecutive increments of normal contact forces, derived from the macroscopic isotropic stress. For example,  $K_{n,100-300}$  represents the normal stiffness between 100 and 300 kPa isotropic stress and can be calculated as:

$$K_{n,100-300} = \frac{\Delta f}{\Delta \delta} = \frac{f_{300} - f_{100}}{\delta_{300} - \delta_{100}}$$
 (3)

The  $K_n$  values are used to calculate the shear modulus in the middle of the isotropic stress interval. This was a practical choice to relate the macroscopic stress changes to the microscopic contact forces. The tangential stiffness was considered as a ratio of the normal contact stiffness calculated for smooth contact [18]. It was assumed that particles at the contact have already mobilised the inter-particle friction angle,  $\varphi_r$ , i.e. tangential forces in the horizontal plane are equal to  $f_n \tan \varphi_r$ .

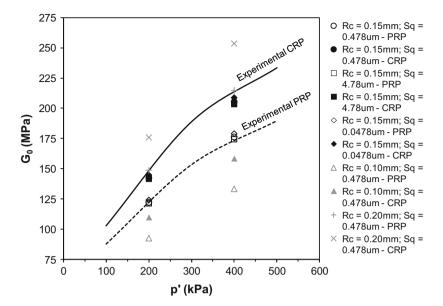


Fig. 6 Comparison of G<sub>0</sub> from experiments and rough surface analytical model for natural sand

#### 4.2 Results

Figure 6 compares the macroscopic shear modulus of the Cauvery river sand obtained from experimental testing and analytical models. The  $G_0$  approximate better the experimental data by increasing/reducing only roughness, while it agree worse if contact areas are reduced/increased. The material parameters  $A_G$  and  $n_G$  for the coarse sand from the analysis (Rc = 0.15;  $S_q = 0.478$ ) was 171.41 and 0.52 for CRP, whereas 116.16 and 0.51 for PRP. The model is successful in capturing the state and effective stress dependency of  $G_0$  and also the decreasing trend of  $A_G$  and  $n_G$ , but due to lack of sufficient input data of void ratio and effective stress the absolute values do not match with those shown in Table 1. Although the macroscopic isotropic stress intervals are large, the  $G_0$  from the rough contact model and the experimental data agree well. A parametrical study was carried to investigate the effect of roughness and magnitude of contact area (Rc) on the  $G_0$ .

#### 5 Conclusions

This work presents experimental and numerical study on the influence of particle characteristics on shear modulus  $(G_0)$ . The experiment results show the effect of particle size is dominant for spherical shaped particles of low surface roughness, whereas it is subtle for sub-angular rough surface grains. The material parameters

are sensitive to the shape of the grading curves as a mixed grading allows creating denser packing. However, this aspect is being investigated. Micro-mechanics based analytical model successfully captured the effect of state and effective stress on  $G_0$  incorporating surface roughness and shape and size of the contact area. Experimental and initial numerical results indicate that the A and n parameters of the  $G_0 = A^*f(e)^*(p'/p_r)^n$  relationship are linked to particle size, roughness and shape. From the parametric study, surface roughness  $(S_q)$  seems to less significant role compared to contact radius (Rc). Using the analytical model, stiffness 'n' parameter can be expressed as function of coordination number and particle morphology. Thus  $G_0$  can be potentially used to predict particle characteristics but further studies on particle characteristics such as particle surface contact area are required.

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