

1 **Multi-objective optimisation and verification of creep resistant Ni-base**
2 **superalloy for electron-beam powder-bed-fusion**

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10 **Abstract**

11 This paper reports the use of integrated computational alloy design, coupled
12 with a rapid printability screening method, to downselect from a total of 70000 dataset
13 in design space to five candidates in the first step, and then from five to one in the
14 second step. The new Ni-base superalloy with compositions of Ni-5.03Al-2.69Co-
15 5.63Cr-0.04Hf-1.91Mo-2.36Re-3.32Ta-0.57Ti-8.46W-0.05C-0.019B exhibits an
16 optimal balance of density (8.82 g/cm³), printability (freezing range of 107 °C), thermal
17 stability (γ' -volume fraction of 50.7% at 980 °C and low $\overline{M_d}$ value) and creep (rupture
18 time of 612 h at 980 °C/120 MPa). The micro-hardness varies mildly from 417.2±18.5
19 to 434.7±14.6 Hv, suggesting a good phase stability. This is substantiated by
20 microstructure observations, which revealed the absence of a topologically close
21 packed phase. Machine-learning tools of the artificial neural network (ANN), random
22 forest and support vector regression, respectively, were used to predict creep rupture
23 time. The ANN algorithm achieves the highest accuracy in predicting creep life. By
24 recognising the “black box” nature of the ANN, interpretability analysis was conducted
25 using the local interpretable model-agnostic method. The analysis supports that the
26 ANN model truly learned meaningful functional relationships, and thus is judged as
27 reliable. Feature correlation evaluation outcome emphasises the importance of
28 incorporating microstructure-related input feature.

31
32 **Keywords:** Alloy design; Ni-base superalloys; Additive manufacturing; Machine
33 learning; Creep

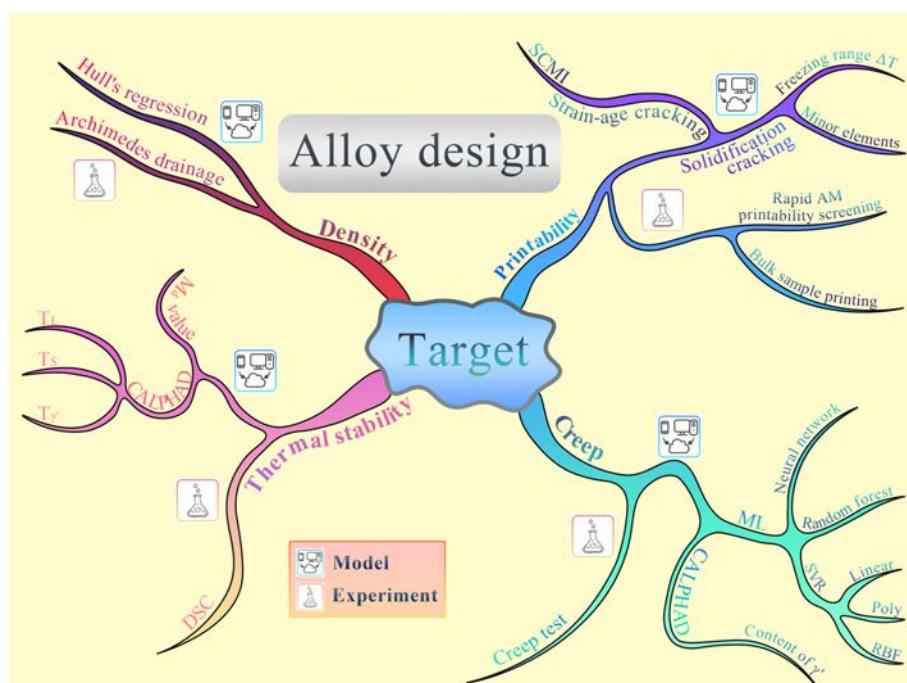
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35 **1. Introduction**

36

37 Designing high-temperature structural materials such as Ni-base superalloys
38 that involve more than 10 alloy elements require significant time and capital investment.
39 This is because correlation between the composition and material property represents a
40 high-dimensional problem and shows complex data relationships [1]. The overall target
41 of alloy design is to find the optimal compositional combination that maximises the
42 performance index. A cost-effective workflow, encompassing compositional design,
43 processing and verification, is demonstrated in the present work through developing a
44 non-weldable Ni-base superalloy with the attributes of low density, good thermal
45 stability, crack-free 3D printing and creep life. Fig. 1 depicts how the integrated
46 computational tool system, coupled with carefully selected rapid printability screening
47 experimental methods, was implemented to achieve our goal. The research hypothesis
48 is by connecting the dots, the time and cost associated with the design and qualification
49 of a new Ni-base superalloy tailored for additive manufacturing (AM) can be vastly
50 reduced. This work is motivated by two previous AM studies, and the identified gaps
51 are highlighted next.

52



53

54 **Fig. 1:** Schematic summary of the integrated tool system, involving both the digital
55 tools and rapid AM printability screening experiments, to achieve the target of alloy
56 design.

57

58 Pollock et al. [2] developed a new CoNi-base superalloy SB-CoNi-10, printable
59 for both the electron-beam and laser-beam powder-bed-fusion (EB-PBF and L-PBF)
60 AM processes, by using computational and rapid AM printability screening alloy
61 design tools (e.g., first-principles calculations, CALPHAD approach based on
62 thermodynamic calculations, combinatorial alloy processing and characterisation
63 techniques). Their alloy design criteria involved good high-temperature strength and
64 oxidation resistance in addition to the printability. The resulting material was proven as
65 AM defect-resistant, exhibiting excellent tensile properties at room temperature. Reed
66 et al. [3] designed two new crack-free superalloys ABD-850AM and ABD-900AM
67 (belonging to the weldable group) tailored for the L-PBF using CALPHAD approach.
68 The present work, by contrast, studies the machine learning (ML) aided design of non-
69 weldable Ni-base superalloy, tailored for the EB-PBF. Moreover, our alloy design
70 toolkit was coupled with the new rapid printability screening method. This helps to
71 tackle one of the challenges in the AM domain, i.e., fabrication of expensive powders
72 with significant quantities [2].

73 ML has become the mainstream for developing new material systems thanks to
74 its higher efficiency, continually improved accuracy, and lower cost [4,5]. It has
75 achieved successes for optimising stainless steels [6–10], permanent magnets [11],
76 shape memory alloys [12], metallic glasses [13], composites [14], and Ni-base
77 superalloys [15–18]. Especially, ML is proven as an effective tool for the alloy design
78 in terms of linking the alloy composition to material properties. Case studies cover both
79 the physical and mechanical properties, such as electrical conductivity [19],
80 transformation temperature [12], fatigue [8], tensile [6,19,20] and creep [9,15–18], to
81 name a few. For example, Conduit et al. [15] applied a set of artificial neural network
82 (ANN) algorithms to design a new Ni-base superalloy exceeding the target criteria of
83 fatigue life, tensile property, and creep life. However, no experimental verification was
84 conducted. Another example is the work of Montakhab and Balikci [18], where the
85 ANN method was coupled with physics-based calculations to predict the creep life of
86 Ni-base superalloy. But they were not able to prepare alloy compositions with better
87 creep life as predicted by the ANN method. By combining the rapid AM printability
88 screening design strategy with unsupervised ML method in [21], the prediction of creep
89 rates and structural stability of Ni-base superalloys became more efficiently through the
90 massive calculations of the multi-element diffusion coefficients. Generally speaking,
91 all studies were exclusively focused on cast and wrought Ni-base superalloys. In this

92 context, the present work represents the first report of employing the ML-aided alloy
93 design tool for the development of AM Ni-base superalloy, together with the
94 experimental verification.

95 AM printability of the non-weldable Ni-base superalloy has been a hot topic
96 over the last decade, with published work of e.g., [22–24]. In brief, the crack
97 susceptibility can be minimised by first, lowering the sum of Al, Ti, Ta and Nb
98 concentrations (e.g., [3]) and second, lowering the $|dT/d(f_s^{1/2})|$ near $(f_s^{1/2})=1$ (e.g.,
99 [25]) or narrowing the freezing temperature range (e.g., [2] The former is associated
100 with the strain-age cracking whilst the latter with solidification cracking mechanism.
101 Thermal stability of Ni-base superalloy appears to be another concern, especially for
102 the EB-PBF where the high build temperature was found to promote the formation of
103 topologically close packed (TCP) phase [26] at the lower build height region. Latest
104 work by Liu et al. [27] demonstrated that adding minor Sc to Ni-base superalloys can
105 greatly improve the AM printability and material properties.

106 Here, we design a new AM Ni-base superalloy that simultaneously fulfils four
107 criteria: printability, creep, thermal stability and density. Our significant contribution
108 lies in the integration of alloy design and rapid AM printability screening
109 characterisations to achieve a cost-effective processing. In the first part of the paper,
110 the computational alloy design methodology and digital tools involved are described,
111 followed by explaining how the rapid material screening was achieved to downselect
112 the top five alloy types. The next major part of the paper is devoted to describing the
113 rapid AM printability screening verification methodology, followed by evaluating the
114 robustness of the chosen digital tools via direct experimental data comparison. Given
115 that the newly designed non-weldable but AM printable Ni-base superalloy has an
116 experimental creep life of 612 h at 980 °C/120 MPa as compared to that of 603 h from
117 the ANN prediction, the discussion part seeks to interpret the prediction results and
118 extract important knowledge from the ML model.

119

120 **2. Integrated computational alloy design**

121 **2.1 Methodology**

122

123 Table 1 lists the digital approaches used to predict properties together with the
124 target specifications. Physical approaches were used to calculate the strain-age cracking

125 merit index (SCMI), d-orbital energy level (\bar{M}_d) and density (ρ). CALPHAD approach
 126 was used to derive the liquidus and solidus temperatures (T_L and T_S), γ' solidus
 127 temperature ($T_{\gamma'}$), freezing temperature range ($\Delta T = T_L - T_S$), and the content of γ' at 980
 128 °C. Machine-learning tools of the ANN, random forest (RF) and support vector
 129 regression (SVR), respectively, were used to predict the creep rupture time at 980
 130 °C/120 MPa.

131

132 **Table 1:** Approach used to predict properties and the target specification

Property	Approach	Target
SCMI	Physical	SCMI>4 wt.%
M_d value	Physical	$\bar{M}_d < 0.98$ eV
Density	Physical	$\rho < 9.1$ g/cm ³
Liquidus temperature	CALPHAD	$T_L > 1300$ °C
Solidus temperature	CALPHAD	$T_S > 1250$ °C
Freezing temperature range	CALPHAD	$\Delta T < 200$ °C
Content of γ' at 980 °C	CALPHAD	γ' content>50%
γ' solidus temperature	CALPHAD	$T_{\gamma'} > 1100$ °C
Rupture time at 980 °C /120 MPa	Machine learning (ML)	$t > 500$ h

133

134 2.2 Creep

135

136 The primary distinction among evolving generations of single-crystal Ni-base
 137 superalloys lies in their Re concentration, with the second generation being the most
 138 prevalent in the market. For a typical first-generation single-crystal Ni-base superalloy,
 139 it does not contain Re element; by comparison, a second-generation single-crystal Ni-
 140 base superalloy contains about 3 wt.% Re, whilst a third-generation superalloy contains
 141 between 5 and 6 wt.%. It is also worthwhile noting that the addition of Ru has become
 142 a mainstream when designing the latest ‘fourth-generation’ single-crystal superalloys
 143 [28]. Typical elements used in the second-generation single-crystal Ni-base superalloys
 144 are Ni, Al, Co, Cr, Hf, Mo, Re, Ta, Ti and W, without taking into account the trace
 145 elements. 70000 groups of data, which were randomly generated within the
 146 composition range as indicated in Table 2, were used as the test data. 1013 groups of
 147 data, spanning from the low to high creep temperatures and stress levels for a wide
 148 range of alloy types, were sourced from the published papers (e.g., [29–34]), books
 149 (e.g., [28]), online database (e.g., [35,36]) and our unpublished work. They were used
 150 as the known data for the ANN prediction of creep life, by serving as the training and

151 validation data. Five ML algorithms were compared, including ANN, RF, and three
152 SVR sub-categories.

153

154 **Table 2:** Composition ranges used to generate the alloy design space

Elements	Ni	Al	Co	Cr	Hf	Mo	Re	Ta	Ti	W
Range (wt.%)	Bal.	1-6	2-10	0-7	0-0.5	0-4	0-5	2-10	0-1	2-10

155

156 The ANN algorithm was chosen as it can map the relationship between different
157 compositions and material property by fast learning of a large dataset. Additionally, it
158 can be combined with optimisation algorithms to analyse the weights and biases,
159 facilitating iterative optimisation to identify the optimal alloy composition. The RF
160 algorithm is another commonly used ML model based on decision tree [37]. The SVR
161 algorithm is developed from support vector machine [38], and it requires appropriate
162 parameter selection (e.g., kernel function, penalty factor C, gamma and epsilon), and
163 data pre-processing to fully exploit its advantages. Three types of kernel functions were
164 considered: linear kernel (SVR.lin), polynomial kernel (SVR.poly), and radial basis
165 function kernel (SVR.rbf). All of the ML algorithms were realised using sklearn in
166 Python with the same dataset. Due to sufficient and evenly distributed data, we directly
167 adjust the hyperparameters of each model by partitioning the data. Different ML models
168 have their pros and cons. For example, compared to the ANN algorithm, RF is more
169 user-friendly, requiring fewer hyperparameter adjustments and enabling faster training
170 and optimisation; and SVR can efficiently handle high-dimensional data while keeping
171 the data interpretation straightforward. However, the prediction accuracy determines
172 their fit-for-purpose.

173 The evaluation of each algorithm performance was based on the root mean
174 squared error (RMSE), mean absolute error (MAE) and coefficient of determination
175 (R^2):

$$176 \quad RMSE = \sqrt{\frac{1}{N} \sum_{i=0}^{N-1} (y_i - \hat{y}_i)^2} \quad (1)$$

$$177 \quad MAE = \frac{1}{N} \sum_{i=0}^{N-1} |y_i - \hat{y}_i| \quad (2)$$

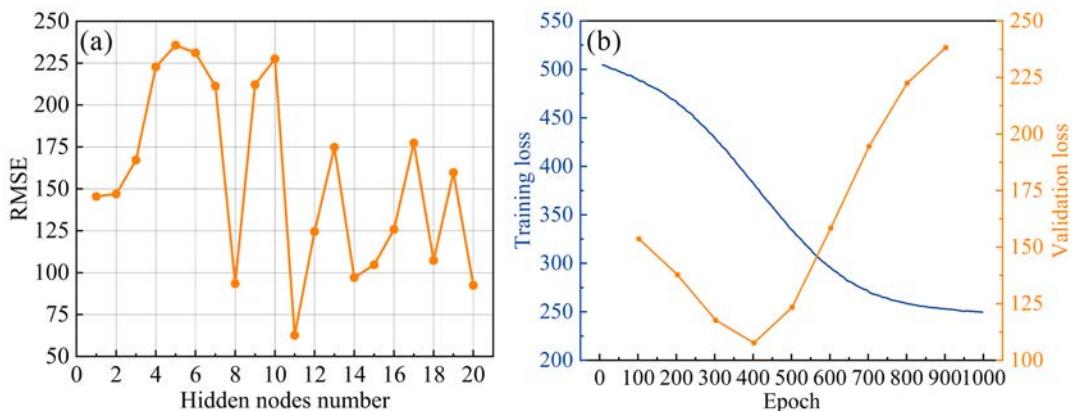
$$178 \quad R^2 = 1 - \frac{\sum_{i=0}^{N-1} (y_i - \hat{y}_i)^2}{\sum_{i=0}^{N-1} (y_i - \bar{y})^2} \quad (3)$$

179 where N is the data number, y_i is the experimental value, \hat{y}_i is the predicted value, and
180 \bar{y} is the average value of the creep life. The prediction is judged as more accurate when
181 the values of RMSE and MSE are smaller and the R^2 value is closer to 1.

182 The ANN algorithm deserves a further description for two reasons: first, this
 183 ML tool performs the best for predicting the creep life when compared to the other four;
 184 second, both the number of hidden nodes and epoch selections are known to greatly
 185 influence the prediction results [18]. In this work, a 13-11-1 neural network structure
 186 was chosen, with 13, 11 and 1 representing the input layer, hidden nodes, and the output
 187 layer (i.e., creep rupture time), respectively. The 13 input layer data included 10
 188 element types (Table 2), the γ' content, creep temperature and stress. 11 hidden nodes
 189 were chosen as this number led to the lowest value of RMSE, Fig. 2a. Furthermore, the
 190 optimal model complexity was evaluated by finding the best bias-variance trade-off in
 191 terms of the optimal epoch, namely, the number of times that the algorithm went
 192 through the entire training dataset. As shown in Fig. 2b, despite the monotonic decline
 193 of the training loss with the number of epochs, the validation loss reached its minimum
 194 at 400 epochs, indicating the presence of data overfitting. Thus 400 was chosen for the
 195 present ANN model.

196 Adaptive moment estimation (so-called Adam) was used for training as opposed
 197 to the traditional stochastic gradient descent [15]. This optimisation algorithm can
 198 design independent adaptive learning rates for different parameters by computing first-
 199 order and second-order moment estimates of the gradients [39]. Training cycle was
 200 terminated when generalisation stopped improving. Rectified linear unit (ReLU)
 201 activation function acting on the output neurons was used to transform input into output
 202 signals. When compared to the Sigmoid and Tanh functions used in previous work
 203 [15,18], the ReLU function has its advantages of sparse activation, mitigating gradient
 204 vanishing, and linear separability.

205



206
 207 **Fig. 2:** (a) Influence of the number of hidden nodes on RMSE; (b) evolution of the
 208 training loss and validation loss as a function of epoch.
 209

210 2.3 Printability and thermal stability

211

212 Thermodynamic calculations of the CALPHAD approach were performed with
213 the TCNI10 database using Thermo-Calc software. Given the large amount of data in
214 the design space, TCPython, a software development kit attached to the Thermo-Calc,
215 was used to greatly accelerate the calculation efficiency. The Scheil-Gulliver model
216 was used to calculate the non-equilibrium solidification behaviour. In general, the
217 higher the calculated phase transformation temperatures, the better the material
218 performance at high temperature. Thus, the following target criteria were set: $T_L > 1300$
219 °C, $T_S > 1250$ °C, and $T_\gamma > 1100$ °C (Table 1). Moreover, the magnitude of freezing
220 temperature range needs to be smaller than 200 °C ($\Delta T < 200$ °C in Table 1) so that the
221 Ni-base superalloy is less prone to the solidification cracking during the AM process
222 [2].

223 In general, adding more solid solution strengthening elements such as Mo, Co,
224 W can greatly improve the strength [40], while low Si and C contents help in avoiding
225 crack formation [41]. Besides, the addition of C and B can also improve the creep life
226 [42]. However, their contents should be strictly controlled [43,44]. Després et al. [45]
227 found that the Ni-base superalloy with B+C addition exhibited a better creep resistance
228 than the C addition alone. When the C content reduced to 0.05 wt.%, adding B stabilised
229 grain boundaries [46,47]. But excessive B addition resulted in the formation of
230 solidification cracks [48].

231 Here, an empirical method was adopted to set the limit, as opposed to using the
232 ML method as their minor concentration is deemed to propagate a large error. The
233 relationship between the Cr, Mo and B contents (in at.%) was defined as:

234
$$k = \frac{0.416}{[\text{Cr}+\text{Mo}]^5[\text{B}]^3} \quad (4)$$

235 where k is the solubility product. According to [49], the critical value of $k=0.012$ was
236 used to calculate the optimum B concentration. The C concentration was set as 0.05
237 wt.% in this work.

238 Among the considered elements in Table 2, according to Thompson et al. [50]
239 and Henderson et al. [51], Al, Ti and Ta can promote the γ' formation and thereby
240 compromises the material's local ductility, leading to strain-age cracking. Their
241 combined effect can be defined through the SCMI factor:

242
$$\text{SCMI} = W_{\text{Al}} + 0.5W_{\text{Ti}} + 0.15W_{\text{Ta}} \text{ (all in wt.%)} \quad (5)$$

243 The so-called weldability diagram, therefore, can be drawn by using the Al
244 concentration as abscissa whilst the combined concentration of Ti and Ta (i.e.,
245 $0.5W_{Ti}+0.15W_{Ta}$) as ordinate. Using the SCMI as the boundary line, when the SCMI
246 value is higher than 4 wt.%, the Ni-base superalloy is considered non-weldable.
247 Obviously, the higher the SCMI factor, the higher the γ' fraction. This represents a
248 trade-off between the improved creep performance and worse AM printability. For this
249 reason, the design criterion was set as reasonably close to the boundary line but greater
250 than 4 wt.% for the newly designed non-weldable Ni-base superalloy.

251 During the casting or welding process, certain alloys are prone to cracking due
252 to the solidification shrinkage caused by the higher density of the solid than the liquid.
253 Therefore, during the final solidification process, cracks may occur along grain
254 boundaries, according to Kou [25]. In that work, a crack susceptibility index, defined
255 as $|dT/d(f_S^{1/2})|$ near $(f_S^{1/2})=1$, was proposed to evaluate the solidification crack
256 susceptibility. Given the absolute value of slope of the curve varies significantly near
257 $(f_S^{1/2})=1$, an average steepness $|\Delta T'/\Delta(f_S^{1/2})|$ was used as an approximation. Note
258 that the higher the crack susceptibility index, the higher susceptibility of the alloy to
259 solidification cracking. In the present work, this index has been used to evaluate the
260 susceptibility of designed superalloys to solidification cracking.

261 Most of the main alloy elements in Ni-base superalloys are transition elements
262 with unpaired d-electrons (e.g., Co, Cr, Mo, Re, Ta and W with their anticipated
263 concentration ranges listed in Table 2). Covalent bond strength between the d-electrons,
264 responsible for the high cohesive energy of transition metals, can be informed by using
265 two physical parameters: d-orbital energy level (M_d) and the bond order [52–54]. The
266 list of M_d values for all the elements listed in Table 2 can be found in [53]. For example,
267 the higher M_d values were found for Hf (3.020 eV) and Ta (2.224 eV) than the others
268 (e.g., Co of 0.777 and Re of 1.267 eV). This means that for the same amount of alloy
269 addition, elements with higher M_d are expected to have an adverse effect on the thermal
270 stability. In practice, the average M_d value (symbolised with $\overline{M_d}$) of a particular
271 superalloy type was derived using:

$$272 \quad \overline{M_d} = \sum X_i (M_d)_i \quad (6)$$

273 where X_i is the atomic fraction of element i, and $(M_d)_i$ is the M_d value for that particular
274 element. The higher $\overline{M_d}$ value indicates the greater tendency of the TCP-phase
275 formation [55], and hence the target criterion was set as $\overline{M_d} < 0.98$ eV (Table 1).

276

277 2.4 Density

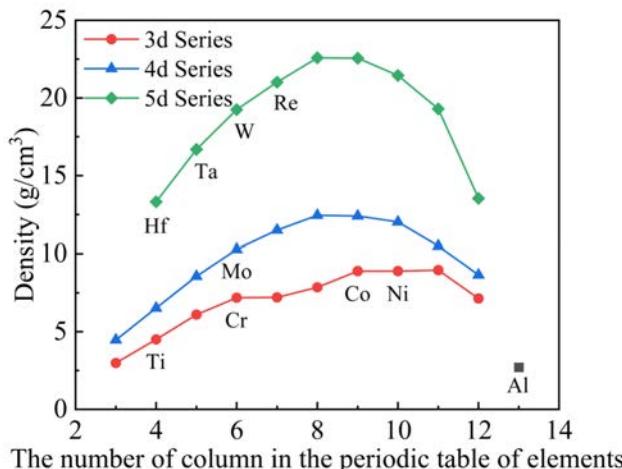
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279 The density of pure Ni at room temperature is 8.9 g/cm³, but alloying changes
 280 its value, with most of the 5d transition elements significantly increasing the alloy
 281 density, such as the refractory elements of Re, W and Ta. Fig. 3 shows the density
 282 values of Al and transition elements from 3d to 5d series, with a total of 10 chosen
 283 elements marked to illustrate such effect. The superalloy density can be estimated with
 284 the density of pure elements by using the Hull's regression equation (all in wt.%), under
 285 the premise of no element mixing or interaction [56]:

$$286 \rho = [\omega + 0.1437 - 0.00137W_{Cr} - 0.00139W_{Ni} - 0.00142W_{Co} - 0.00125W_W - \\ 287 0.00113W_{Ta} + 0.00040W_{Ti} - 0.00113W_{Hf} + 0.0000187(W_{Mo})^2 - \\ 288 0.0000506(W_{Co})(W_{Ti})] \times 27.68 \text{ (g/cm}^3\text{)}, \text{ with } \omega = [100 / (\sum_{i=1}^n \frac{W_i}{D_i})] \quad (7)$$

289 where W_i stands for the wt.% of element i , and D_i is the density of pure element i . Here
 290 the upper limit of density was set as $\rho < 9.1 \text{ g/cm}^3$.

291



292

293 **Fig. 3:** Density of transition elements in 3d, 4d and 5d series as well as Al element at
 294 room temperature. Note that density of 10 elements selected for alloy design were
 295 marked.

296

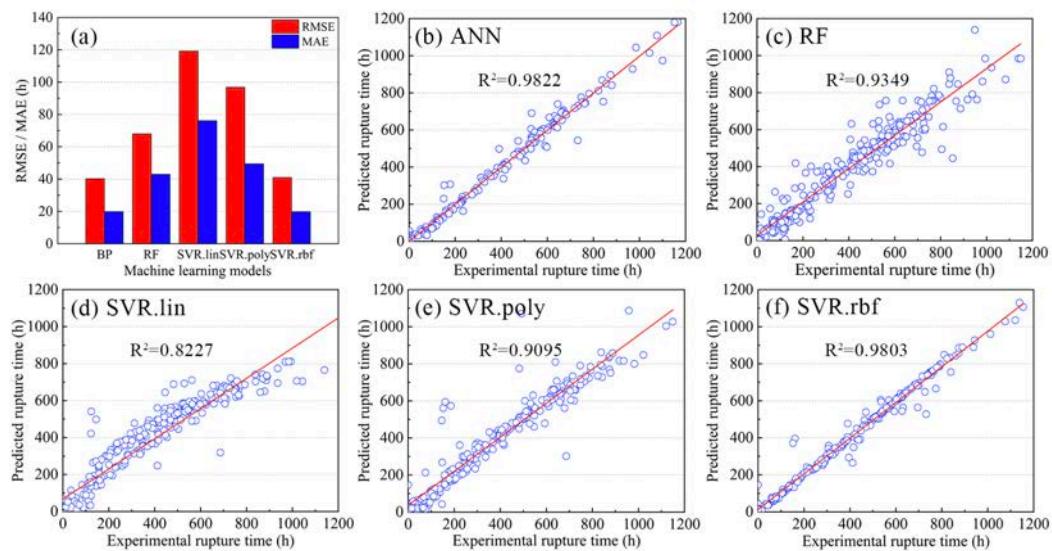
297 3. Alloy design results

298

299 Fig. 4a compares five ML algorithms used for the creep life prediction, and their
 300 performance was assessed based on the values of the RMSE and MAE (Eqs. 1 and 2).
 301 Both the ANN and SVR.rbf models have the lowest values, meaning that they can

302 predict the creep life with good accuracy, followed by the RF algorithm. Fig. 4b-4f
 303 present the one-to-one comparison between experimentally obtained (abscissa) and
 304 modelling predicted (ordinate) creep life, for the ANN, RF, and three SVR models,
 305 respectively. Blue points are from the validation data while red line is the regression
 306 line. It can be seen that the R^2 values of the ANN and SVR.rbf models are above 0.98,
 307 indicating a good prediction. By comparison, the data distribution of SVR.lin and
 308 SVR.poly are asymmetric with reference to the red line, suggesting that the low R^2
 309 value for these two models was caused by the extreme “bad points”. In terms of the RF
 310 model, its R^2 value is lower than the ANN and SVR.rbf, but the prediction was not
 311 misled heavily by the extreme values, Fig. 4c. The ANN predicted creep life will
 312 hereafter be chosen, because it has received a steady improvement not only on its
 313 accuracy but also on the interpretability; with the latter leading to a gradual uncovering
 314 of how each input feature contributes to the overall model performance.

315



316

317 **Fig. 4:** (a) Comparison of the algorithm performance based on RMSE/MAE values for
 318 the five machine learning methods; (b) to (f) one-to-one comparison of the model
 319 predicted against experimental observed creep rupture time, for the ANN, RF, SVR.lin,
 320 SVR.poly, and SVR.rbf, respectively, with the value of R^2 marked in each figure.

321

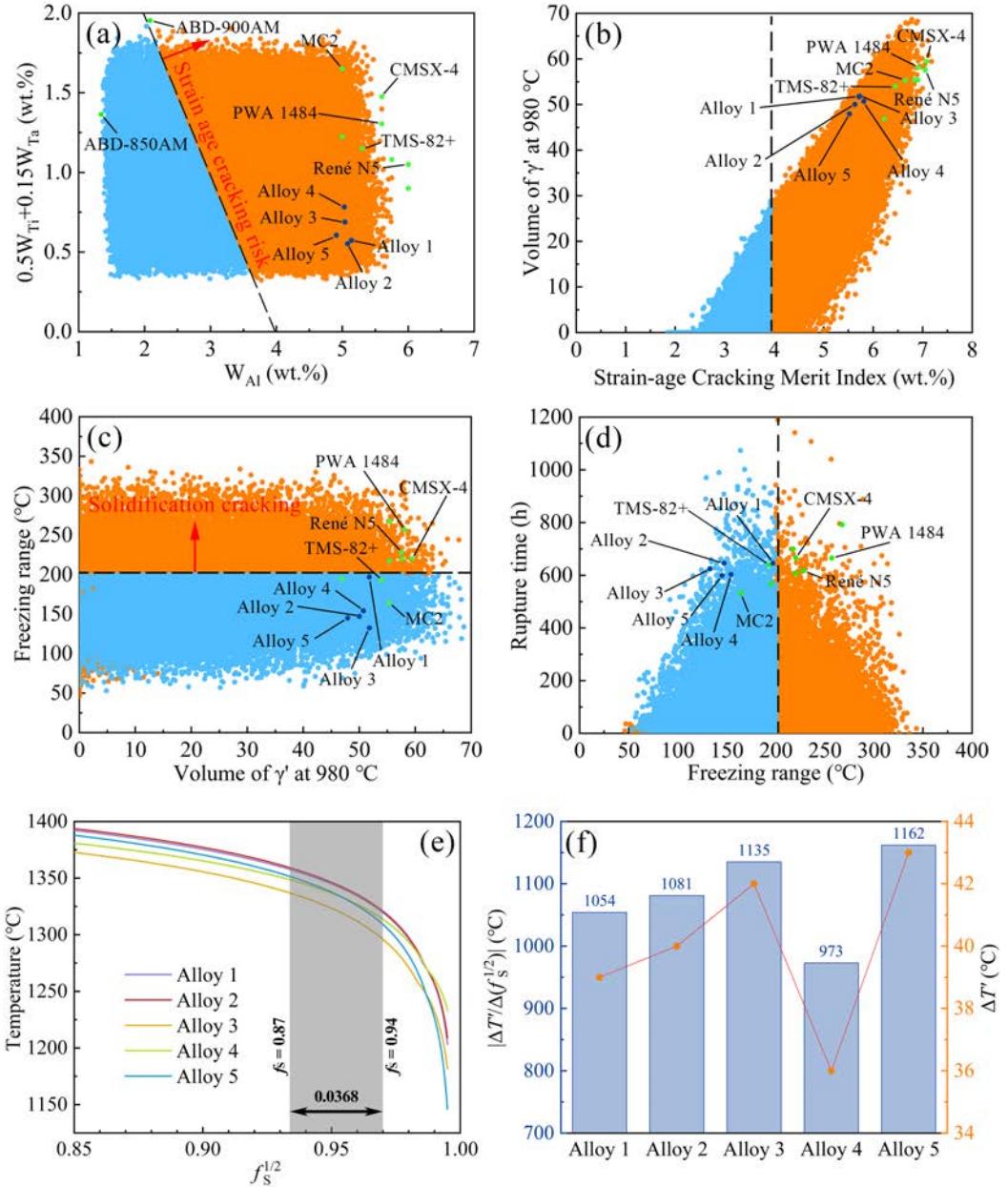
322 Based on the target criteria, the top 5 alloy compositions were identified, and
 323 they are summarised in Table 3. To better visualise the relationship between
 324 compositions and properties, the alloy design space used for screening out the new
 325 superalloy grades are shown in Fig. 5. For comparison purposes, data points
 326 representing the current second-generation Ni-base single crystal superalloys are
 327 marked with green colour, and the colour partitioned regions reflect the set criterion.

329 **Table 3:** Alloy compositions for the top 5 Ni-base superalloys which meet all four
330 design criteria (all in wt.%)

Alloy ID	Ni	Al	Co	Cr	Hf	Mo	Re	Ta	Ti	W	C	B
1	Bal.	5.14	3.00	4.19	0.22	2.29	2.77	3.29	0.16	8.65	0.05	0.026
2	Bal.	5.08	3.97	4.35	0.2	2.43	2.74	2.98	0.21	8.91	0.05	0.024
3	Bal.	5.04	2.36	6.45	0.19	2.72	1.59	3.29	0.39	8.78	0.05	0.014
4	Bal.	5.03	2.69	5.63	0.04	1.91	2.36	3.32	0.57	8.46	0.05	0.019
5	Bal.	4.91	2.44	5.58	0.31	1.39	3.35	2.74	0.39	9.07	0.05	0.020
René N5	Bal.	6.0	8.0	7.1	0.15	2.0	3.0	7.0	0	5.0	0.05	0.004

332 The newly designed superalloys are closer to the dividing line between the
333 weldable and non-weldable types, when compared to the existing ones, Fig. 5a. This
334 means that they are less prone to the strain-age cracking. On the flip side, the five alloys
335 tailored for AM belong to the non-weldable group, which represents a fundamental
336 difference to the ABD-850 and ABD-900 alloys as reported previously [3]. Fig. 5b
337 presents the γ' -volume fraction at 980 °C versus the SCMI factor which essentially
338 combines the ordinate and abscissa values as presented in Fig. 5a. It can be seen that
339 the newly designed five superalloys have a reasonably high γ' -volume fraction (above
340 50%) whilst keeping a lower SCMI value.

341 Furthermore, the freezing temperature range of the top five superalloys is less
342 than 200 °C, Fig. 5c, suggesting a lower tendency to solidification cracking. Interesting
343 to note, all the existing Ni-base superalloys, except for the MC2 type, are prone to
344 solidification cracking, and thus they are not ideal for the AM process. Based on the
345 Thermo-Calc calculations, crack susceptibility index $|dT/d(f_S^{1/2})|$ near $(f_S^{1/2})=1$
346 has been also used to evaluate the solidification cracking susceptibility of five designed
347 alloys. The terminal solidification path of alloy 1 to 5 is illustrated in Fig. 5e, with the
348 dark-grey area indicating the region of $f_S^{1/2}=0.933$ ($f_S=0.87$) and 0.970 ($f_S=0.87$),
349 according to [25,57–59]. The temperature changes $\Delta T'$ in the selected f_S range of alloy
350 1 to 5 are 39, 40, 42, 36, and 43 °C, respectively, as shown in Fig. 5f. The corresponding
351 crack susceptibility index was calculated as 1054, 1081, 1135, 973 and 1162 for alloy
352 1 to 5. With the same f_S range, alloy 4 apparently has the lowest $\Delta T'$ and crack
353 susceptibility index among five designed alloy, whilst alloy 5 has the highest value.



355
356 **Fig. 5:** Plots of 70000 data used for the computational alloy design, with both the newly
357 designed alloy types (named as alloy 1 to 5) and the widely used second-generation Ni-
358 base single crystal superalloys marked in the design space: (a) SCMI plot to indicate
359 strain-age cracking susceptibility; (b) γ' -volume fraction; (c) freezing temperature range;
360 (d) creep rupture time; (e) and (f) solidification cracking susceptibility of the newly
361 designed alloy 1 to 5, plotted using the criterion proposed by Kou [25]. Note: the two
362 newly designed AM compatible Ni-base superalloys ABD series [3] are also indicated
363 in (a) to visualise their weldable nature.

364

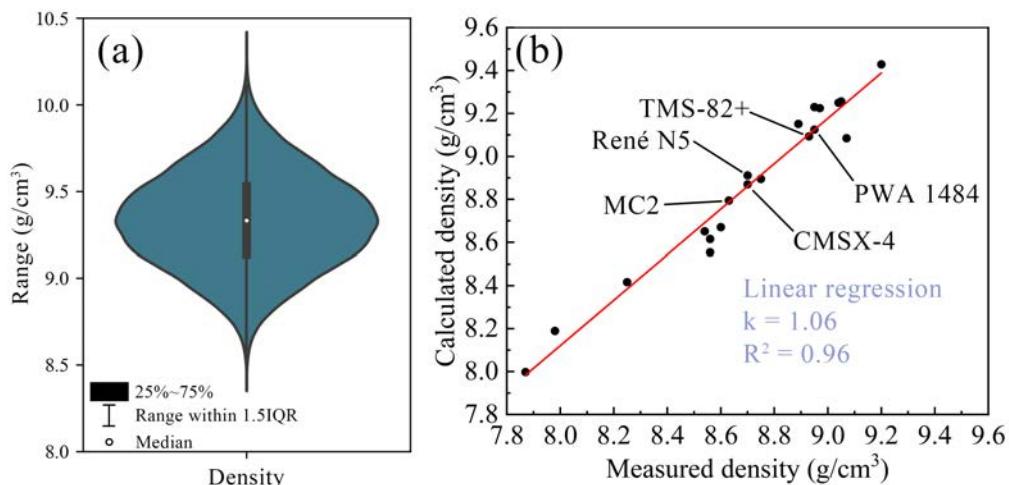
365 Fig. 5d presents the ANN model predicted creep life, and all of the newly
366 designed superalloys exhibit a good balance of creep performance and AM printability.
367 As informed by the ML model, the trade-off between the two is overcome by increasing
368 the overall concentration of solid solution strengthening elements (e.g., Mo and W).

369 For example, René N5 is a second-generation Ni-base single crystal superalloy, and it
 370 contains a higher concentration of Al, Ti and Ta, but the combined concentration of Mo
 371 and W is lower, when compared to alloys 1 to 5 (Table 3).

372 Thermal stability and density are the other two alloy selection criteria (Fig. 1).
 373 The former was addressed using the $\overline{M_d}$ method. The top five alloy types have the $\overline{M_d}$
 374 value of 0.970, 0.970, 0.979, 0.970, 0.970 eV, respectively, which are lower than the
 375 existing Ni-base superalloys, e.g., René N5 of 0.989 eV and CMSX-4 of 0.984 eV.
 376 Thus, they are expected to have a good thermal stability, which is beneficial for a
 377 reduced tendency to form TCP phase and thereby showing a good compatibility with
 378 the EB-PBF AM process [26]. The violin-histogram plot in Fig. 6a, based on the
 379 calculated density, exhibits a normal distribution feature, indicating a well-representing
 380 alloy design space.

381 Fig. 6b compares the model calculated with experimentally measured density
 382 for a range of existing Ni-base superalloys. The linear least-squares fitting returns to a
 383 value of $k=1.06$, indicating that the calculated density would be higher than the
 384 measured one, with the relative error estimated as 1-3%. The reason for such
 385 discrepancy is due to atom mixing which is not considered by the Hull's regression. To
 386 this end, the density criterion was set as $\rho < 9.1$ g/cm³ from the modelling perspective,
 387 however, the expected density from the measurement would likely fall into the density
 388 range of 8.64-8.95 g/cm³ based on the one-to-one comparison of the existing second-
 389 generation single-crystal Ni-base superalloys, as indicated in Fig. 6b.

390



391
 392 **Fig. 6:** (a) Violin-histogram of the calculated density for the considered 70000 data; (b)
 393 one-to-one comparison of the measured and calculated density for the existing Ni-base
 394 superalloy types.

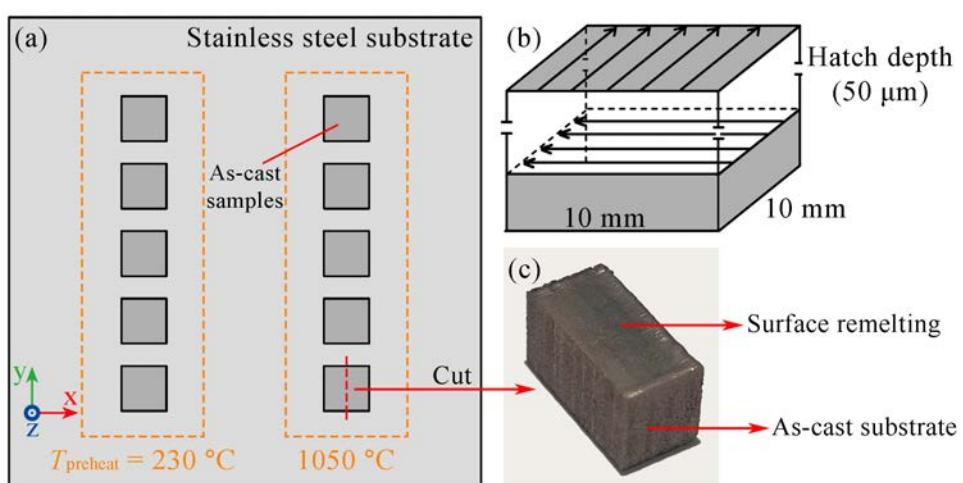
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396 **4. Experimental verification**

397 **4.1 Rapid AM printability screening and crack susceptibility evaluation**

398

399 First, cast alloy sample coupons with dimensions of $50 \times 25 \times 5$ mm³ were made
400 using the five different alloy compositions as shown in Table 3. Casting was performed
401 in a vacuum arc melting furnace with 99.99% high purity argon filled. This step
402 involved ingot overturning smelting of 7 times (5 mins per time), with the use of
403 electromagnetic stirring during the smelting, and finally cooling to room temperature.
404 Second, the as-cast sample coupon was cut into a cuboid with dimensions of 10 mm
405 long and 5 mm wide. Third, the cuboidal specimen was placed inside a recession cut
406 into a stainless-steel starting plate with dimensions of $105 \times 105 \times 10$ mm³. Fig. 7a
407 illustrates how the cuboidal specimens were positioned in the starting plate.
408



409

410 **Fig. 7:** (a) A schematic showing the sample layout for conducting the EB-PBF surface
411 remelting; (b) scan strategy; (c) a photograph showing a representative sample in the
412 surface remelted condition. Note that each sample was cut from the middle position to
413 examine the cracking behaviour.

414

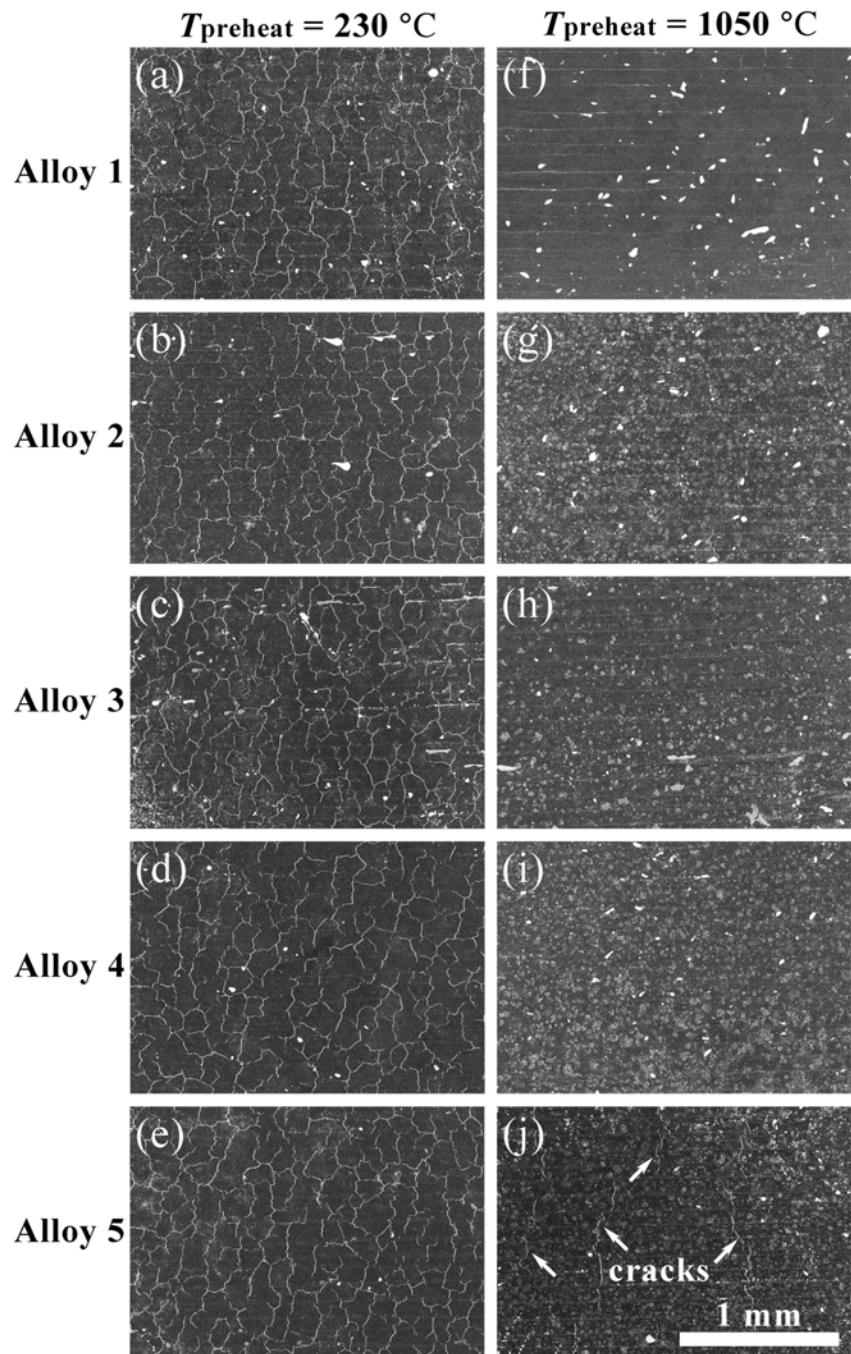
415 Prior to the surface remelting, preheat step was applied. As shown in Fig. 7a,
416 two different preheat temperatures (i.e., $T_{\text{preheat}}=230$ °C and 1050 °C) were considered
417 to examine the cracking susceptibility. We heated the substrate to 1050 °C for the right
418 five alloys, cooled to room temperature, and then preheated to 230 °C for the left five
419 ones. For the surface remelting itself, beam power P of 600 W, scan speed v of 2000
420 mm/s, line offset L_{off} of 0.1 mm, and line order of 99 were chosen, resulting in the area
421 energy value of 3 J/mm². Note that the same process parameter set was used later on to

422 fabricate the bulk sample. The preheat, surface remelt and bulk sample fabrication were
423 all conducted using the EB-PBF machine (Arcam A2XX). The machine was operated
424 in manual mode, and each cuboidal sample was subjected to remelting twice, as shown
425 in Fig. 7b. Hatch depth was set as 50 μm and the hatch direction was rotated by 90°
426 between layers. A typical sample in the surface remelted condition is shown in Fig. 7c,
427 together with the indication of the vertical cut position. The cut was made perpendicular
428 to the beam scan direction of the second scan used for the surface remelting.

429 Crack examination was performed on the horizontal cross-section with the help
430 of scanning electron microscopy (SEM, Zeiss Supra-55), and then on the vertical cross-
431 section with the aid of optical microscopy (Zeiss AxioCam MRc 5). For the SEM
432 observation of Fig. 8, sample's surface condition was in the as-cut state, whilst
433 mechanically polished sample down to 0.5 μm diamond paste was used for the optical
434 microscopy of Fig. 9.

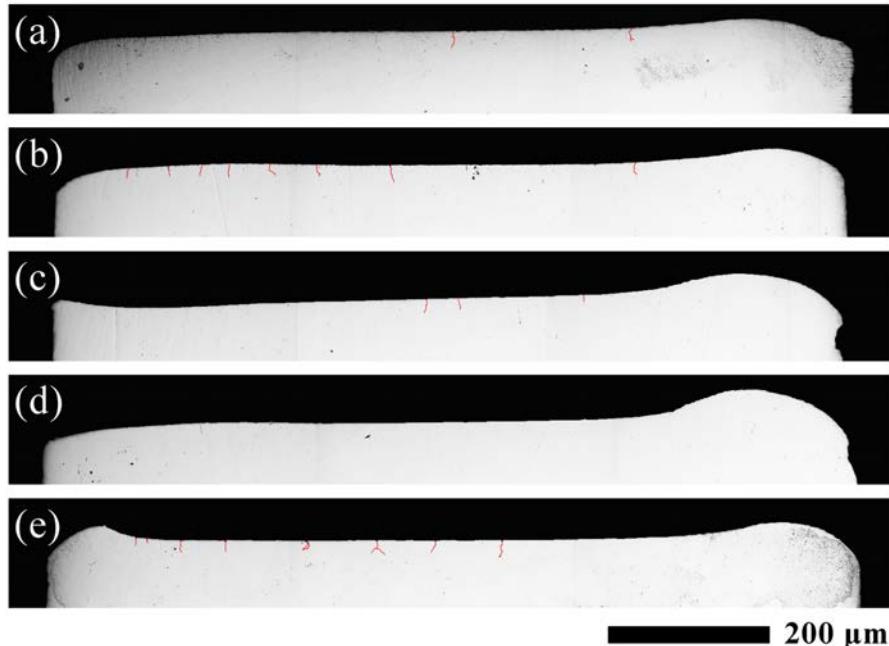
435 The left column of Fig. 8a to 8e are the surface remelted samples with the
436 preheat temperature of 230 °C, whilst the right column of Fig. 8f to 8j are those with
437 the preheat temperature of 1050 °C. As revealed by the SEM examination, the cracks
438 would always form regardless of the alloy compositions under the preheat temperature
439 of 230 °C. By contrast, the number of cracks vastly reduced under the preheat condition
440 of 1050 °C. Especially, alloys 1 to 4 showed virtually no crack, Fig. 8f to 8i. However,
441 alloy 5 still exhibited cracks despite the high preheat temperature, Fig. 8j. To a certain
442 extent, this rapid screening test substantiates that the newly designed Ni-base
443 superalloys are well suited for the EB-PBF method as opposed to the L-PBF (operating
444 at low build temperature). Alloys 1 to 5 (1050 °C preheat temperature) were examined
445 further on a large cross-section prepared vertically, as shown in Fig. 9. Alloy 4 was
446 ranked the best (i.e., immune to cracking), followed by alloys 3, 1, 2 and 5, in order of
447 low to high crack susceptibility. Henceforth, alloy 4 with the composition of Ni-5.03Al-
448 2.69Co-5.63Cr-0.04Hf-1.91Mo-2.36Re-3.32Ta-0.57Ti-8.46W-0.05C-0.019B (Table 3)
449 is verified as a Ni-base superalloy with good EB-PBF compatibility with the use of
450 cost-effective surface remelting (i.e., without producing mass powders).

451



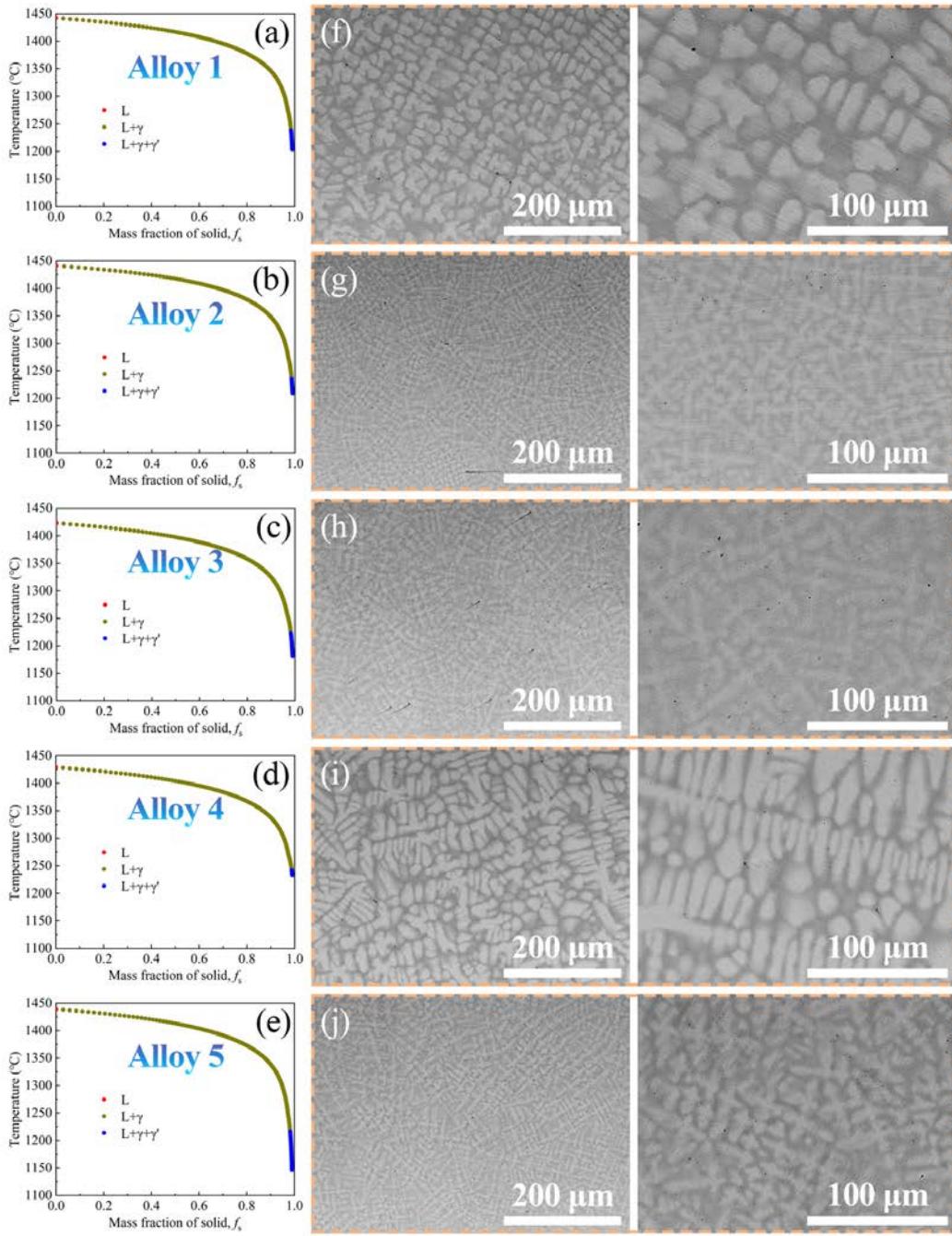
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Fig. 8: SEM examination of the cracks as observed in the EB-PBF surface remelted samples (x-y plane): (a) to (e) preheat temperature of 230 °C; (f) to (j) preheat temperature of 1050 °C. Alloy IDs are indicated at the left of each row. Note the identified cracks are highlighted using bright-contrast outlines.



458
 459 **Fig. 9:** Optical micrographs of the vertical section (x-z plane) of each sample: (a) to (e)
 460 alloys 1 to 5, respectively. All samples were subjected to surface remelting at the
 461 preheat temperature of 1050 °C. Note the identified cracks are marked in red.
 462

463 Fig. 10a to 10e show the equilibrium solidification paths as calculated with
 464 TCNI10 database of Thermo-Calc software. For each designed superalloy, there is no
 465 significant difference in solidification processes, i.e. all of them experience three
 466 solidification stages of L, L+ γ , and L+ γ + γ' . Alloy 4 exhibited a narrower freezing range
 467 and a smaller L+ γ + γ' zone when compared to the other alloys. Neither TCP phase nor
 468 carbide was observed at the end of solidification. To evaluate the accuracy of the
 469 thermodynamic calculation, SEM examination was conducted to reveal the
 470 microstructure details of alloys 1 to 5, as shown in Fig.10f to 10j. It is evident that
 471 neither the TCP phase nor carbide can be seen at the grain or dendritic boundary. This
 472 means that the M_d -based thermal stability prediction is accurate and reliable.
 473 Furthermore, the SEM study shows that alloys 1 and 4 have coarser columnar structures
 474 than alloys 2, 3 and 5. This means the predicted solidification curves are consistent with
 475 the experimental observation.
 476



477
478 **Fig. 10:** (a-e) Equilibrium solidification curves for alloys 1 to 5; (f-j) SEM observations
479 of alloys 1 to 5, with left column showing low-magnification images, while the right
480 column showing the high-magnification images.

481
482 Two additional experimental verifications which were performed using the as-
483 cast samples with the alloy compositions of alloys 1 to 5 are the measurement of mass
484 density and determination of thermodynamic properties. Refer to Appendix A for the
485 detail of experimental methods. It was confirmed that the Hull's regression equation
486 and CALPHAD approach can be used to guide the alloy design in terms of the mass
487 density, freezing temperature range and γ' solvus temperature. Note such finding is

488 consistent with the previous studies with the examples of [55] for the density prediction
489 and [3] for the phase-transformation temperature.

490

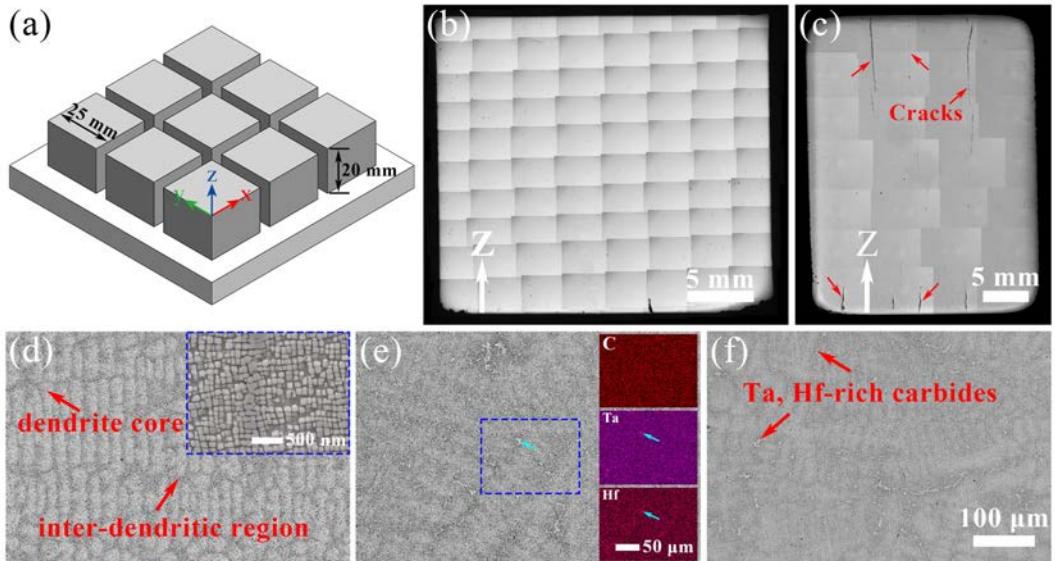
491 4.2 Bulk sample printing and material qualification

492

493 The rapid AM printability screening narrowed the list of alloy candidates down
494 from five to one. The same EB-PBF machine was then used to fabricate bulk samples
495 with nine cuboids (dimensions of $25 \times 25 \times 20$ mm³) per batch, as schematically shown
496 in Fig. 11a. Alloy powders were prepared using the plasma rotating electrode
497 processing (PREP) method, with their size distribution measured as 60.9 μ m, 119.3 μ m
498 and 221.6 μ m, for the respective D10, D50 and D90, using the laser diffraction method.
499 For the bulk sample printing, beam power P of 600 W, scan speed v of 300 mm/s, line
500 offset L_{off} of 0.1 mm, and preheat temperature of 1050 °C were chosen, together with
501 the unidirectional scan strategy.

502 Fig. 11b shows the optical micrograph of alloy 4 in the as-built condition,
503 revealing a virtually crack-free microstructure in the main body of the specimen, except
504 for one crack at the very bottom (this region would be cut off in a real-word scenario).
505 To fully recognise the excellent AM printability, an existing Ni-base superalloy René
506 N5 type was chosen for making a direct comparison. Fig. 11c reveals the presence of
507 several cracks in the as-built René N5 sample, and these large cracks extended almost
508 half of the specimen. The EB-PBF alloy 4 sample was subjected to a full heat treatment,
509 involving solution treating for 4 h at 1260 °C (oil quenched), followed by γ' -precipitate
510 aging at 1050 °C for 8 h (oil quenched). The temperature selection was guided by the
511 combination of differential scanning calorimetry measurement and CALPHAD
512 thermodynamics calculation. Alloy 4 in as-built condition has a columnar dendrite
513 structure with well-defined γ' -cuboids with size of ~92 nm, Fig. 11d. No secondary
514 phase was found in the as-built condition. After solution treating and aging, chain-like
515 Ta, Hf-rich carbides precipitated from the inter-dendritic region (Fig. 11e and 11f)
516 according to energy-dispersive X-ray spectroscopy (EDS) mapping results, in
517 alignment with our previous work [60].

518

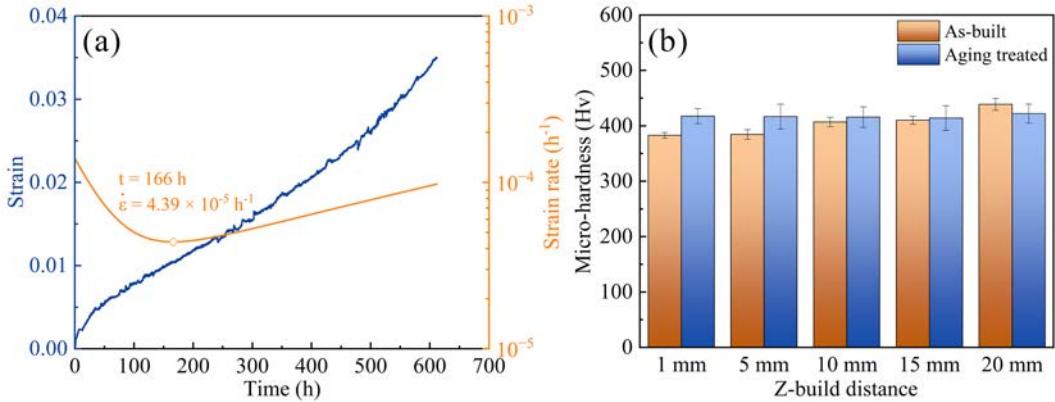


519
520 **Fig. 11:** (a) Schematic diagram of one batch of as-built alloy 4 samples; (b) and (c)
521 comparison of the alloy 4 (crack-free) and a typical second-generation single-crystal
522 Ni-base superalloy René N5 (the presence of cracks) as revealed under the optical
523 microscopy. Both samples were printed using the same EB-PBF melt parameters
524 (details can be found elsewhere [60]) and samples were polished down to 0.5 μm
525 diamond paste prior to taking the images. Additional microstructure observations by
526 using secondary electron SEM imaging mode were conducted on alloy 4: (d) as-built;
527 (e) solution treated and (f) aged conditions.

528

529 Creep test of alloy 4 was performed on a SANS CMT504A1 tester, with the
530 specimen dimensions of 10 mm in gauge length, 3 mm in width and 1 mm in thickness.
531 Prior to the test, specimen surface was subjected to polishing down to 2000-grit SiC
532 paper, followed by ethanol ultrasonic cleaning. Three thermocouples were attached
533 onto the specimen near the top, middle and bottom to monitor the temperature. The
534 specimen was heated to 980 $^{\circ}\text{C}$ with the rate of 10 $^{\circ}\text{C}/\text{min}$, and then held at the
535 temperature for 30 mins prior to applying the load of 120 MPa. During the entire creep
536 test, the temperature variation was controlled within ± 2 $^{\circ}\text{C}$. Fig. 12a presents the
537 experimentally measured creep curve and the derived creep rate vs. time. Overall, the
538 creep rupture time reaches 612 h which is comparable to the ANN model prediction of
539 603 h. Also, the minimum creep rate was determined as $4.39 \times 10^{-5} \text{ h}^{-1}$ (Fig. 12a).

540



541
542 **Fig. 12:** (a) Creep curve of EB-PBF alloy 4 at 980 °C / 120 MPa, with the primary Y-
543 axis indicating strain, while the secondary Y-axis indicating strain rate; (b)
544 microhardness values of the as-built and fully heat-treated conditions of alloy 4 as
545 measured at different build heights (Z=1 mm, 5 mm, 10 mm, 15 mm and 20 mm).

546
547 Vickers hardness measurements were performed on a FALCON511 micro-
548 hardness tester, with the load of 200g and dwell time of 10s. Ten individual
549 measurements per condition were made to derive the average value. Fig. 12b compares
550 the as-built with fully heat-treated conditions of alloy 4. The Z-build variation was also
551 measured as it provides important indication of the thermal stability. For the as-build
552 condition, the micro-hardness was determined as 382.8 ± 5.2 , 384.5 ± 8.8 , 406.9 ± 8.5 ,
553 410.1 ± 7.1 and 438.9 ± 10.8 , for the Z-build height of 1 mm, 5 mm, 10 mm, 15 mm and
554 20 mm, respectively. This suggests coarsening of γ' -precipitate occurred towards the
555 bottom of the sample build. However, when the samples were subjected to the heat
556 treatment, the micro-hardness results suggested the more uniform distribution with the
557 lowest value of 417.2 ± 18.5 and highest value of 434.7 ± 14.6 Hv.

558

559 5. Discussion

560

561 Finding the optimal alloy composition that best meets multiple criteria (or called
562 as inequality constraints as stated in Table 1) represents a multi-objective optimisation
563 problem, according to [61]. Multiple criteria come with additional degrees of freedom,
564 and thus the utility function (U) can be introduced to combine the objectives into a
565 single measure that reflects the overall quality of a solution. In the context of the present
566 work, the alloy composition is the objective function, while SCMI, M_d value, density,
567 etc. are a series of criteria (with each objective described by its objective function).
568 Mathematically, the adopted approach can be summarised as weighted sum method:

569
$$U = \sum_{i=1}^k w_i Q_i(x) \quad (8)$$

570 where U is the utility function, k is the number of objective functions, w_i is the weight,
571 and $Q_i(x)$ is objective function. The objective functions $Q_i(x)$ are defined such that when
572 a solution satisfies a certain criterion, $Q_i(x)=1$, and when it does not, $Q_i(x)=0$. In other
573 words, a binary objective function ($Q_i(x)=1$ or $Q_i(x)=0$) is used, allowing for a
574 simplification of the optimisation problem. All weights (w_i) are set to 1, indicating equal
575 importance for all objectives. There are nine objective functions, as stated in Table 1,
576 and thus k is equal to 9.

577 Apparently, the above-mentioned multi-objective optimisation method suits the
578 purpose of the present work, because what concerned us the most from the perspective
579 of alloy design is whether a criterion is met, rather than its specific values. For example,
580 if a designed superalloy meets the density criterion of $\rho < 9.1 \text{ g/cm}^3$, $Q_i(x)=1$ will be
581 assigned. The specific value for the density becomes not relevant from the perspective
582 of binary indicator.

583 Through the integration of computational alloy design and rapid AM printability
584 screening experimental validation, a new Ni-base superalloy has been developed to
585 meet target specifications as outlined in Table 1. In terms of the AM printability, both
586 the freezing temperature range (as well as the crack susceptibility index) and SCMI
587 factor have been considered via the modelling approach. However, this does not
588 necessarily guarantee a crack-free microstructure as revealed by the surface remelting
589 experiment, where only alloy 4 is proven as immune to cracking (Fig. 8 and Fig. 9).
590 The remaining four alloys all showed some level of cracking, despite the high build
591 temperature of 1050 °C which helped to prevent cracking. Given the small difference
592 in terms of the model predicted SCMI (5.52 to 5.81 wt.%), crack susceptibility index
593 (973 to 1162 °C) and freezing temperature range (104 to 154 °C) for the top five alloy
594 compositions, it is important to emphasise the need for performing experimental
595 verification. To this end, the surface remelting strategy (Fig. 7) brings out a clear benefit
596 in terms of cost saving, representing a new rapid printability screening method. The
597 present work demonstrates the efficacy of this method, which can be used to replace
598 the production of AM powders with five different compositions for the purposes of
599 printing the bulk sample.

600 Part of the motivation behind the present work is to ensure the good AM
601 printability does not sacrifice the creep property. Therefore, the ML method has been

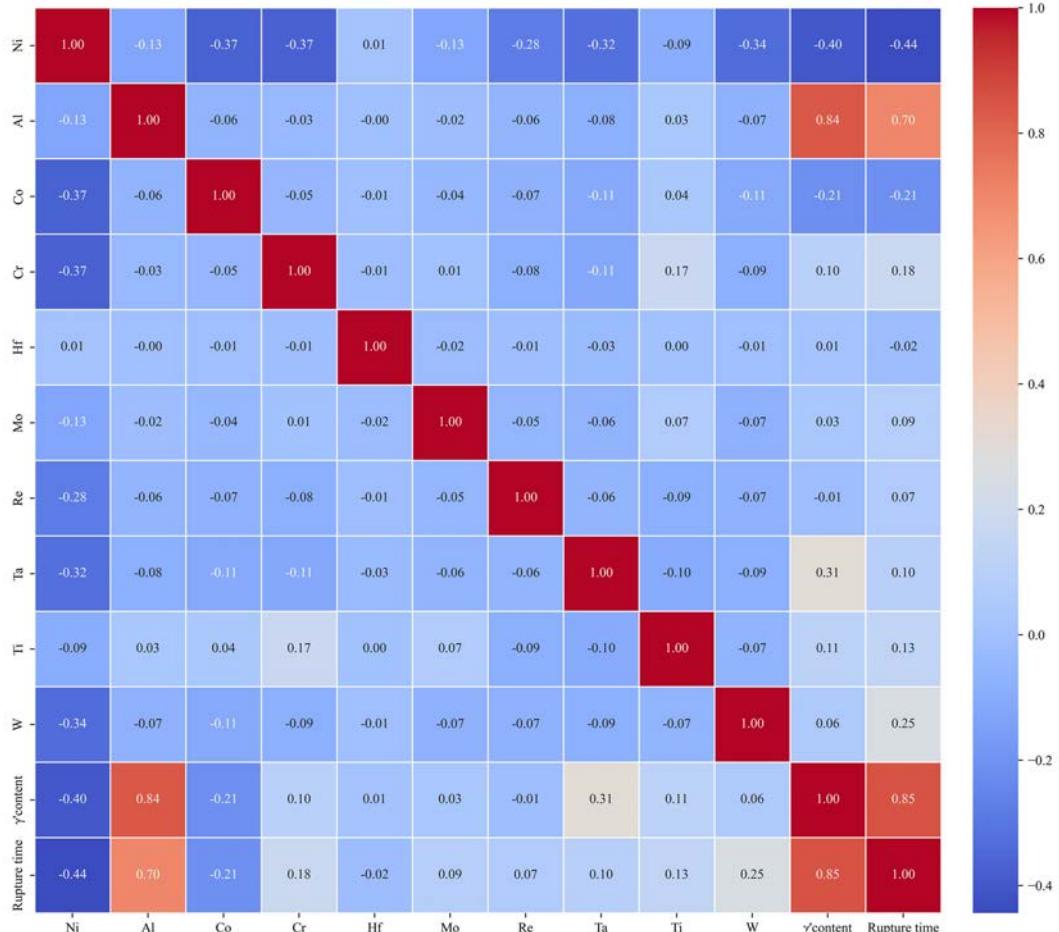
602 employed to forecast the creep performance, instead of conducting a batch of creep tests
603 which are known to be costly and time consuming. ML is an emerging technique, and
604 several ML methods were employed for predicting the creep rupture time, such as RF,
605 SVR, gaussian process regression (GPR), lasso regression (LR), ridge regression (RR),
606 gradient boosted tree (GBT), and deep neural network (DNN). Liu et al. [17] compared
607 five of them, including RF, SVR, GPR, LR and RR, to reveal the differences in creep
608 mechanisms of alloy samples in different clusters. Sanchez et al. [62] determined the
609 main influencing factors on creep rates in L-PBF Alloy 718 by using ML methods of
610 RF, GBT, SVR, DNN, RR and LR, together with the input data of several build
611 parameters and geometrical material descriptors. Furthermore, ANN has been proven
612 as a reliable method to predict the creep life of alloys processed by conventional means
613 [63,64]. To this end, the present work represents the first attempt of using ANN method
614 to predict the creep rupture time of AM Ni-base superalloy. It turns out to be a success
615 in terms of achieving the good agreement between the experimental data (612 h) and
616 modelling prediction (603 h). Moreover, there is no reason to believe that such a good
617 agreement is only applicable to the chosen creep condition of 980°C/120 MPa. This is
618 because the ANN model (Fig. 4b) is characterised by the low RMSE of 40.2 h and low
619 MAE of 19.8 h, as well as the high R^2 of 0.98 (Fig. 4a). Despite the success, nearly all
620 ML methods (e.g., ANN) are recognised as the “black box” in nature. This means
621 whether the model has achieved good results would also rely on its interpretability.

622 Chen et al. [65] found that adding minor Ti (~0.5 wt.%) to a second-generation
623 single-crystal Ni-base superalloy can greatly improve the creep rupture time by more
624 than twice compared to René N5 (45 h vs. 100 h at 1030 °C / 230 MPa), and the Ti
625 concentration is close to alloy 4. Kalyanasundaram et al. [66] prepared crack-free
626 CM247LC alloy via L-PBF, with the rupture time at 800 °C of ~200 h at 500 MPa, 65
627 h at 550 MPa, 30 h at 600 MPa. However, carbides with enrichments of Ti/Hf/Ta/W/C
628 elements can be observed in the as-fabricated state compared with the current work in
629 Fig. 10d, 10i and 11d. This indicates that alloy 4 has a good thermal stability thanks to
630 the high accuracy of M_d predictions.

631 The correlation between each pair of features is visualised via the heatmap of
632 Fig. 13. It reveals that element such as Al and Ta, especially the former, exhibits
633 statistically significant positive correlations with γ' content. This finding aligns with
634 their metallurgical role as the primary forming element for the γ' precipitate. Moreover,

635 the γ' content demonstrates a strong positive correlation with creep rupture time, with
 636 W and Cr following closely. On the other hand, Ni consistently exhibits negative
 637 correlations with most of the other elements. Importantly, no notably strong correlation
 638 was observed between any two elements. This observation suggests that these elements
 639 should be retained simultaneously as input data, emphasising their individual
 640 contributions without significant multicollinearity.

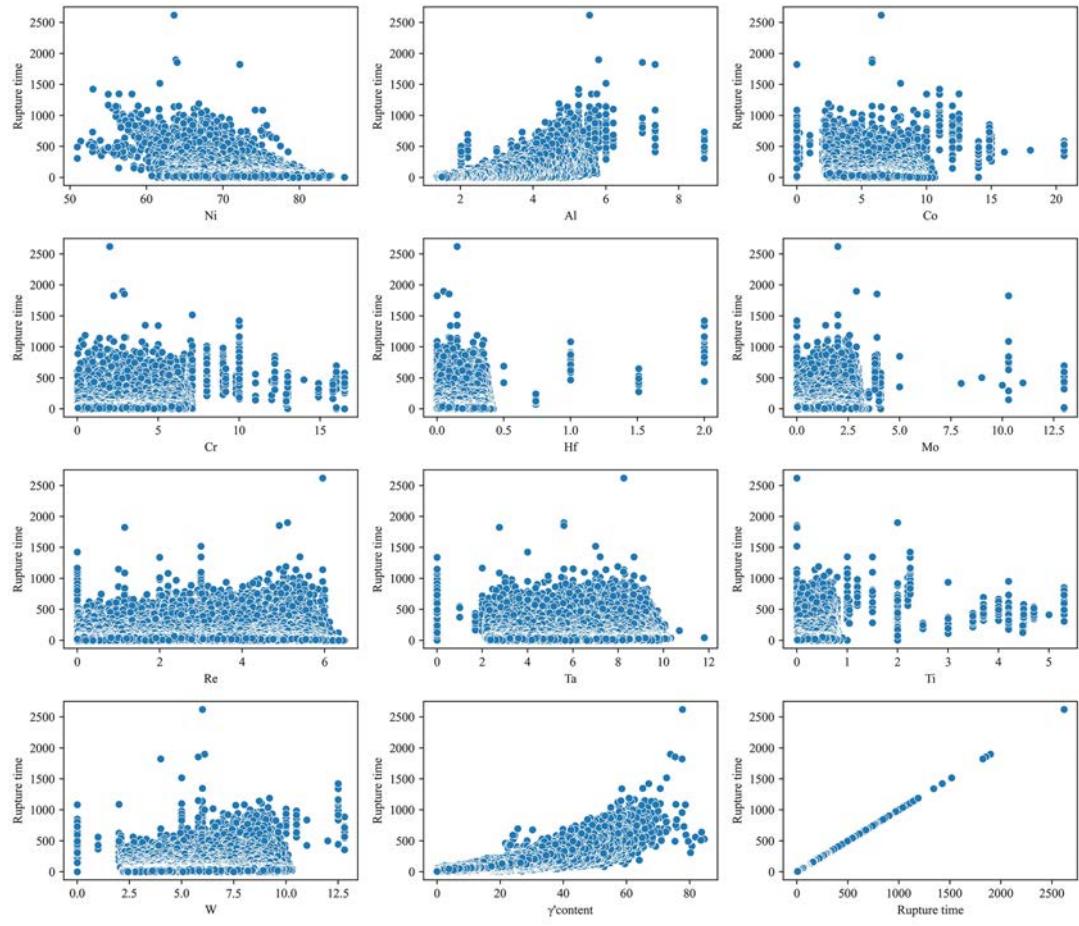
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642
 643 **Fig. 13:** Heatmap visualisation of the correlation between every pair of features as well
 644 as the target (note that the colour intensity and numerical values in each cell indicating
 645 the strength of correlation between the corresponding features).

646

647 Fig. 14 explores the linear relationship between each alloying element and the
 648 creep rupture time. γ' content and Al exhibit a positive correlation and Ni shows a
 649 negative correlation, establishing clear linear relationships with the target. By
 650 comparison, other elements display less discernible linear patterns (consistent with Fig.
 651 13). This observation explains why the accuracy of the SVR with a linear kernel (Fig.
 652 4d) is comparatively lower than other ML algorithms. Therefore, we opted not to
 653 consider simple linear regression and logistic regression in this study.

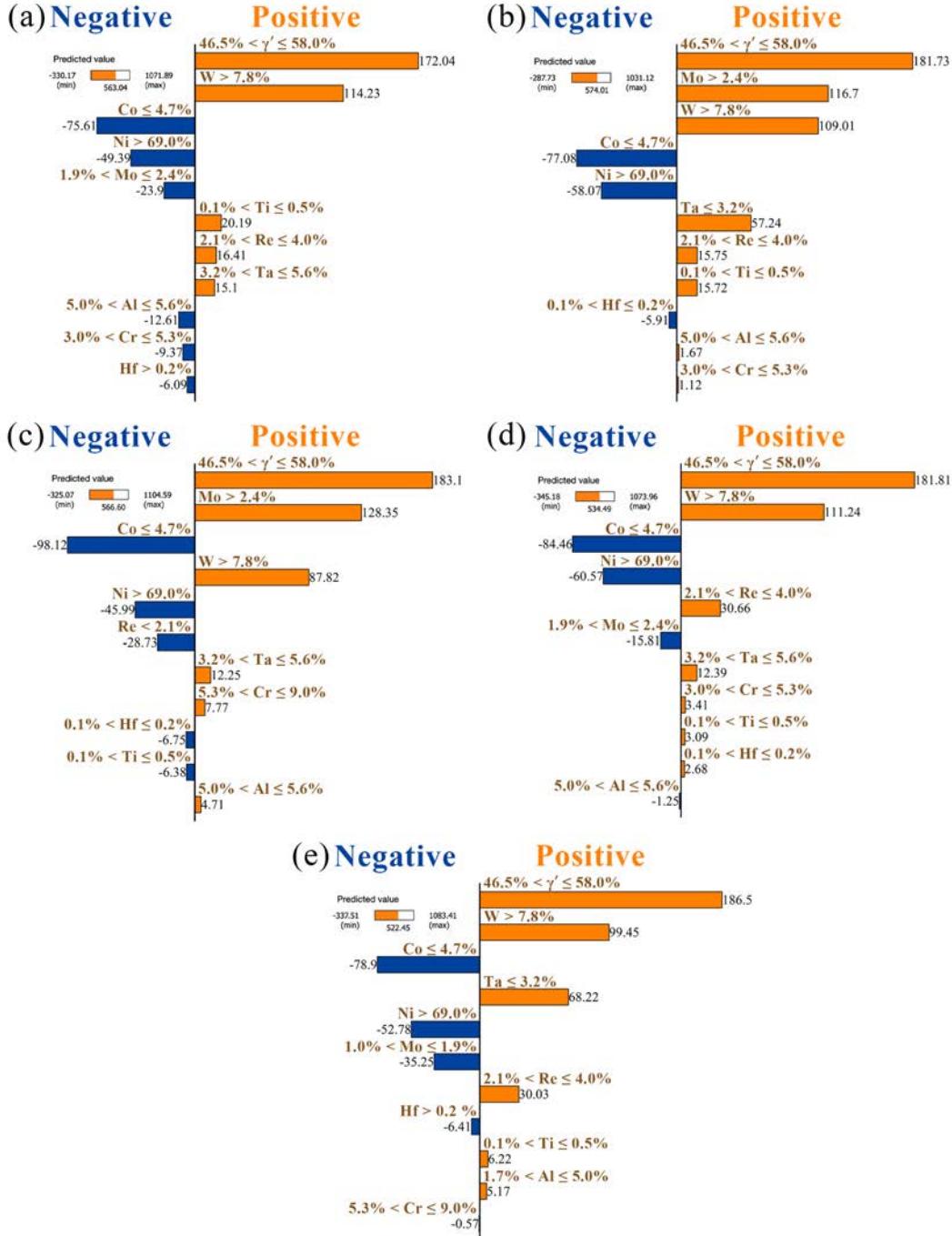


656 **Fig. 14:** Scatter matrix for the relationship between the alloying features and the target.
 657

658 Now let's consider the interpretability of the ANN model. The interpretability
 659 analysis was performed using the local interpretable model-agnostic explanations
 660 (LIME) method [67], aimed to evaluating whether the model has truly learned useful
 661 functional relationships. The idea behind the LIME is to approximate the nonlinear
 662 function learned by a ML model to a linear function within a small neighbourhood of
 663 an individual sample (i.e., a specific element type). In practice, this is achieved by
 664 generating a small set of samples around the sample of interest, obtaining their
 665 predicted outcomes (i.e., creep life) using the ANN model, and finally performing the
 666 simple linear regression. The interpretability analysis results for the 10 element types
 667 and γ' -volume fraction (in total 11 features) are presented in Fig. 15. In the bar chart,
 668 the positive direction (pointing right) of each reference bar indicates a positive effect
 669 on creep life (leading to increased rupture time). Likewise, the negative direction
 670 suggests such feature would cause a decreased creep rupture time. The bar length

671 indicates the feature weight. Five alloys are considered separately, Fig. 15a to 15e in
 672 order of alloy 1 to 5, and the analysis results are overall consistent.

673



674

675 **Fig. 15:** Analysis of interpretability of ANN model using the LIME method: (a) to (e)
 676 alloy 1 to 5, illustrating the influence of feature weight on creep rupture time.

677

678 The LIME analysis shows that the γ' -volume fraction within the data range of
 679 46.5% to 58.0% (with reference to the set target of >50%) would impact positively the
 680 creep rupture time. By incorporating the γ' -volume fraction as an input feature to the
 681 ANN model, the contributions of Al, Ti and Ta are reduced to a very low positive value

682 or even towards a low negative value. This is as expected because they are all γ' -forming
683 elements [28], namely, their influence on the creep rupture time has been already
684 considered by the feature of γ' -volume fraction. The second key learning outcome is
685 the role of W > 7.8%, which has a positive effect on creep life. W is one of the most
686 effective solid solution strengthening elements in Ni-base superalloys, and research
687 conducted by Zenk et al. [68] revealed that the higher W content led to reduced
688 secondary creep rate. Furthermore, two out of the five alloys (i.e., alloy 2 and 3 in Fig.
689 14b and 14c) suggest that Mo content of > 2.4% generates a positive impact on creep
690 rupture time. This seems to agree with the experimental observation by Zhang et al.
691 [69], where the increased ratio of Mo to W resulted in the prolonged creep life. Note
692 that the LIME analysis could not cope with synergistic effect in a sense of treating
693 combined feature effect. In addition, Re in the range from 2.1% to 4.0% would have a
694 positive influence on creep life. This agrees with the metallurgical role of Re in terms
695 of its enrichment at partial dislocations, imposing a drag effect on dislocation
696 movement [70]. Moreover, the LIME analysis shows that Co content of \leq 4.7% would
697 generate the negative influence. In other words, by increasing the Co content of higher
698 than this critical concentration, one would expect an increased creep rupture time. This
699 seems to concur with our consensus that Co in Ni-base superalloys plays a vital role on
700 the enhanced creep properties because it can increase γ' -volume fraction and reduce the
701 stacking fault energy [71]. To summarise, based on the LIME analysis, the present
702 ANN model shows a good level of reliability in a sense that it did truly learn meaningful
703 functional relationships.

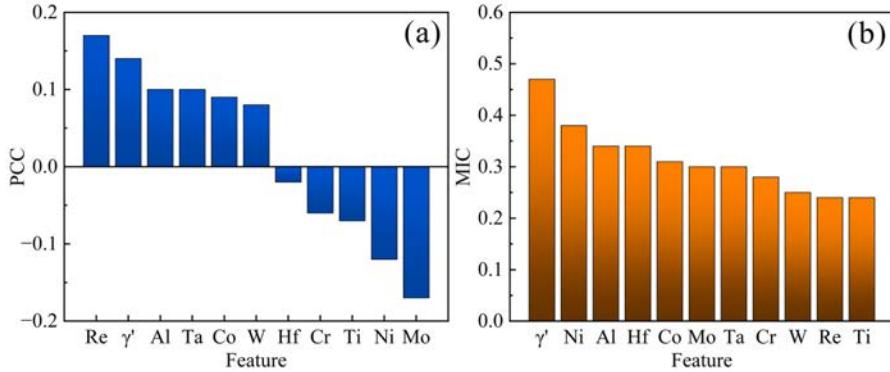
704 The LIME analysis is also in line with the trend of alloy development.
705 Experience has shown that improvements in creep strengthening occur in the increasing
706 order of Co \rightarrow Cr \rightarrow Ta \rightarrow W \rightarrow Re [28]. Although Re has a significant effect on the creep
707 performance improvement, excessive addition will promote the precipitation of
708 intermetallic phases (such as the TCP phases), by lowering the thermal stability (refer
709 to the high M_d value of Re, 1.267 eV). However, the range of Re among five designed
710 alloys is still within the scope of the second-generation single-crystal Ni-base
711 superalloy. Lowering the content of Cr and Ta is another trend from the development
712 of second- to the fourth-generation single-crystal Ni-base superalloys. This is broadly
713 consistent with the composition as shown in Table 3 (comparing with other second-
714 generation single-crystal Ni-base superalloys such as René N5). Increasing the W

715 content to a high level (over 8.5 wt.%) to offset the decrease in other elements might
716 have a positive effect, although the specific mechanism for such a large amount of W
717 is still unknown except for the results of LIME.

718 Acknowledging the limitations of the ANN model is equally important. Its
719 design doesn't aim to indicate any specific physical relationships, but rather provides
720 an alternative means to predict results similar to physical constitutive laws. It becomes
721 particularly robust when the model includes adequate physics-related input features.
722 The above-mentioned interpretability analysis serves to compare the variable
723 importance against our state-of-the-art field knowledge. This should not be
724 misunderstood with the discovery of any new physical relationships. Numerous
725 physical creep models, outlined in the open literature e.g., [30,72–74], have established
726 the links between controlling microstructural features and creep life by precisely
727 accounting for underlying deformation and damage processes. However, their
728 applicability is predominantly limited to certain stress and temperature conditions as
729 well as the material process history. When addressing the complexity of various
730 alloying elements and multiple parameters during AM, these models achieved limited
731 success. It was reported in [17] that when considering the microstructural evolution
732 information in a data-driven models, a more accurate prediction result of creep rupture
733 time can be obtained, when compared to the use of physical models. Therefore, the use
734 of ANN approach becomes notably appealing in scenarios where intricate physical
735 processes pose challenges for conventional physics-based methodologies [75].

736 Feature correlation is another method to help understand the ML predicted
737 results. Here, both the Pearson correlation coefficient (PCC) and maximum information
738 coefficient (MIC) were used to characterise the feature correlation of the ANN model.
739 The PCC is the most common way of measuring the strength and direction of the linear
740 correlation between two variables [76], whereas the MIC can provide a measure of the
741 strength of the linear or nonlinear correlation [77]. The value range of the PCC is [-1,1],
742 suggesting a strong positive correlation if its value becomes close to 1, while a strong
743 negative correlation for a value of close to -1. The value range of the MIC is [0,1],
744 suggesting a strong correlation between two variables, when its value becomes close to
745 1, regardless of linear or non-linear relationship.

746



747

748 **Fig. 16:** Feature correlation related to the creep rupture time based on the PCC in (a)
 749 and MIC in (b).

750

751 The PCC and MIC evaluation results for 10 elements plus the γ' content (i.e., in
 752 total 11 input features) are shown in Fig. 16a and 16b. There is no strong correlation
 753 between each feature and the predicted creep life, suggesting a very weak linear
 754 relationship between the two variables. However, the PCC evaluation does reveal some
 755 positive correlation of key elements, γ' content and the creep life. For example, Re, Al,
 756 Ta, Co and W elements in Ni-base superalloys are known to be beneficial for the creep
 757 property [28,68–70]. In terms of the MIC evaluation, γ' content was found to have a
 758 value of ~ 0.5 , suggesting a strong correlation between this feature and creep life. By
 759 contrast, all the elements do not show a strong correlation, which suggests that
 760 microstructure (e.g., γ' content) plays a more important role when compared to the alloy
 761 composition. Based on the PCC and MIC analyses of the ANN model prediction, it
 762 seems that introducing other input features which can represent the microstructure-
 763 property interrelationship might generate an even more reliable prediction. It has been
 764 recognised in literature that microstructure feature such as grain size [78,79], stacking
 765 fault energy [80], diffusion coefficient of γ or γ' -forming elements [81], and AM
 766 parameters [82] all play an important role on the creep property. Using a
 767 thermodynamic calculation software (e.g., Thermo-Calc) to calculate these parameters
 768 is a viable route.

769

770 6. Conclusions

771

772 The following conclusions can be drawn from this work:

773 1) Using the integrated computational tools coupled with rapid AM
 774 printability screening experimental methods, a new Ni-base superalloy

775 composition (Ni-5.03Al-2.69Co-5.63Cr-0.04Hf-1.91Mo-2.36Re-3.32Ta-
776 0.57Ti-8.46W-0.05C-0.019B) is proposed to meet four design criteria of
777 AM printability, creep, thermal stability and density.

778 2) Surface remelting strategy is proven as an effective means to examine the
779 AM printability in a cost-effective manner, and this new rapid printability
780 screening method provides a bypass as far as the expensive AM powder
781 production in significant quantities is concerned.

782 3) Among the five ML algorithms considered, ANN shows the highest
783 prediction accuracy in the context of comparing the experimental
784 measured with model predicted creep life. The measured creep life of 612
785 h is close to the model predicted 603 h.

786 4) LIME-based interpretability analysis substantiates that the ANN model
787 truly learns meaningful functional relationships between input features
788 and the output. PCC and MIC-based evaluation emphasises the
789 importance of incorporating microstructure-related input feature as it
790 plays a key role on the prediction results and reliability.

791

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800

801 **Appendix A: Density measurement and determination of phase transformation**

802 **temperatures**

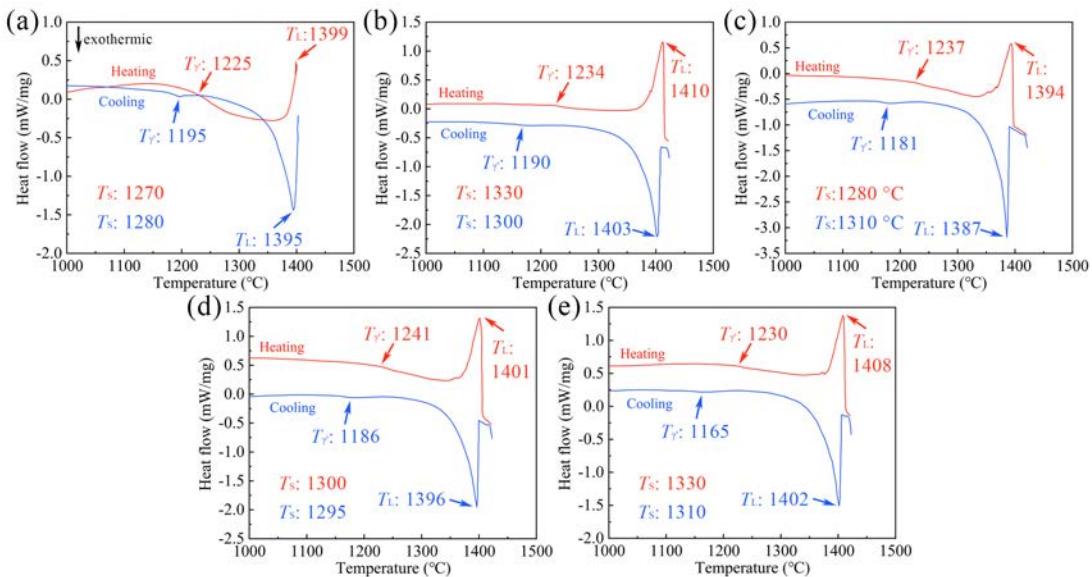
803

804 Using the cast samples with compositions of alloy 1 to 5, the mass density was
805 measured by using Archimedes drainage method. Five measurements were taken per
806 alloy type, and the averaged value of mass density was determined as 8.85, 8.88, 8.63,
807 8.82, 8.88 g/cm³, in order of alloy 1 to 5. By comparison, the Hull's model (Eq. 7)
808 predicted values were 9.09, 9.09, 8.98, 8.98, 9.09 g/cm³, respectively. The lower value

809 of the predicted density as compared to the measurement is as expected given the fact
 810 of first, k factor with a value of greater than 1 (Fig. 6b), and second, no consideration
 811 of the atom mixing.

812 A synchronous thermal analyser NETZSCH STA 449 F3 filled with argon was
 813 used to measure thermodynamic properties. The heating rate was set as 5 °C/min. The
 814 size of each test sample was 4 mm in diameter and 0.8 mm thick. By analysing the
 815 differential scanning calorimetry (DSC) data curve, for alloys 1 to 5 as shown in Fig.
 816 A1, the liquidus and solidus temperatures (T_L and T_S) as well as the γ' solvus
 817 temperatures ($T_{\gamma'}$) of all five alloys were obtained. By comparison with the CALPHAD
 818 approach predicted values (Table A1), it seems that the model prediction shows a
 819 reasonably good agreement with experimental data.

820



821

822 **Fig. A1:** Differential scanning calorimetry (DSC) curves obtained from alloys 1 to 5,
 823 during heating and cooling at 5 K/min, as presented in (a) to (e), respectively.

824

825 **Table A1:** Comparison of CALPHAD approach predicted thermodynamic properties
 826 with the DSC measured values for alloys 1 to 5. Note: freezing temperature range is the
 827 difference between T_L and T_S .

Alloy type	CALPHAD (°C)			DSC (°C)		
	T_L	T_S	Freezing range	T_L	T_S	Freezing range
Alloy 1	1418	1264	154	1399	1270	129
Alloy 2	1415	1296	119	1410	1330	80
Alloy 3	1401	1297	104	1394	1280	114
Alloy 4	1406	1299	107	1401	1300	101
Alloy 5	1421	1310	111	1408	1330	78

828

829

831 **References**

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