

# 375-395nm UV Generation in Zn-indiffused MgO-doped PPLN Waveguides pumped by an Alexandrite Laser

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**Abstract:** We present results of wide wavelength coverage in the ultra-violet (UV) from a Zn-indiffused MgO-doped periodically-poled lithium-niobate (PPLN) waveguide. A continuous tuning range of 375-395 nm is obtained via second-harmonic-generation (SHG) in  $\Lambda = 6.1 - 6.9 \mu\text{m}$  poled gratings using a single continuously tunable Alexandrite pump laser. Detailed results of the waveguide modes are provided and are shown to match well with the theoretical model. A maximum UV power of 4.1 mW is obtained from 200 mW of throughput pump power from the third-order SHG interaction, providing a route towards a compact and rugged laser source across the 350-400 nm UV range.

## 1. Introduction

Laser sources emitting in the ultra-violet (UV) are of increasing importance for applications in quantum technologies such as photon pair generation in the near-infrared [1] and atom trapping [2]. Laser diodes offer compactness and simplicity but lack spectral versatility, with commercially available UV sources only being available at 375 nm. Frequency-shifted Nd-doped, Yb-doped and Er-doped solid-state lasers offer a good alternative with high-brightness, compactness and power scalability [3]. However, they lack spectral versatility in the UV range with two or more frequency conversion stages required and typically selective rather than tunable wavelength coverage.

An alternative solution is to use diode-pumped Alexandrite lasers as the pump source with a single stage conversion to the UV. Alexandrite lasers have emerged over the last decade as a highly versatile laser source in continuous wave [4, 5], Q-switched [6] and mode-locked operation [7] with good wavelength versatility at around 700-800 nm enabling access to the UVA range via second-harmonic-generation (SHG) [7]. An additional advantage of Alexandrite compared to other near-infrared lasers (e.g. Cr:LiSAF, Ti:Sapphire) is its low-threshold (<50 mW possible [8]) and its ability to operate at elevated temperatures [9].

High efficiency SHG conversion from Alexandrite lasers can be obtained using bulk nonlinear crystals such as BBO or LBO in a single-pass scheme using a high-energy Q-switched pulse [6] or in an internal Q-switched cavity [10]. However, owing to the low gain of Alexandrite, high-power diode pumping (typically >5 W) is required to achieve laser threshold in these systems. Implementing a system into an environment with small size, low-power and air-cooling requirements therefore requires an alternative solution.

Quasi-phase matched integrated nonlinear optical materials are a growing area of interest for SHG applications. For example, thin-film lithium niobate (TFLN) is an effective way of achieving high efficiency conversion (typically >1000%/W) and has recently shown wide wavelength versatility in the UV with 355-386 nm demonstrated by Hwang et al. [11]. However, its low power-handling (typically <100  $\mu\text{W}$ ) prevents its implementation into the aforementioned applications which typically require milliwatts of power.

46 Periodically-poled QPM ridge waveguides (such as PPLN, PPKTP and PPLT) have lower  
 47 efficiencies compared to TFLN but have been shown to handle watt-level of optical power. For  
 48 example, 2.5 W has been achieved with 70 % conversion efficiency for 780 nm generation using  
 49 Zn-indiffused MgO-doped PPLN waveguides [12]. Compared to other materials, the superior  
 50 nonlinear coefficient and commercial availability of LN make it a suitable platform for accessing  
 51 the UVA range.

52 Building on our previous work where we demonstrated the novel feature of a temperature  
 53 tunable UV laser based on an Alexandrite laser and PPLN waveguides [9], in this work we share  
 54 a broader set of results showing wider wavelength versatility, study of the waveguides modes  
 55 with comparison to detailed theoretical modelling, and the power scalability.

## 56 2. Tunable Alexandrite Pump Laser

57 The Alexandrite laser used in this work was designed for flexibility in power and with wavelength  
 58 tuning to address the SHG range of the  $\Lambda = 6.1 - 6.9 \mu\text{m}$  poled waveguides. Figure 1 shows a  
 59 schematic of the laser cavity.

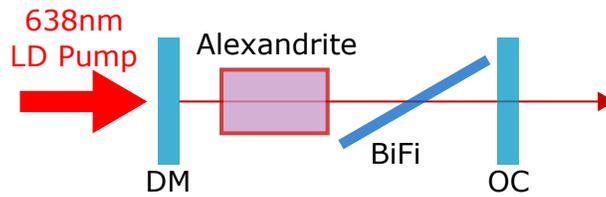


Fig. 1. Schematic diagram of the laser diode pumped Alexandrite laser cavity.

60 Laser-pumping is provided by a 10 W fibre-coupled red laser diode (BWT Beijing). The output  
 61 of the 200  $\mu\text{m}$  fibre is imaged onto the crystal to a spot size of around  $w_p = 215 \mu\text{m}$ . The crystal  
 62 has a  $4 \times 4 \text{ mm}$  cross section and is 6 mm long with 0.2 at.% Cr-doping. The laser diode output  
 63 has a mixed polarisation with around 80 % of the incident pump power absorbed by the crystal.

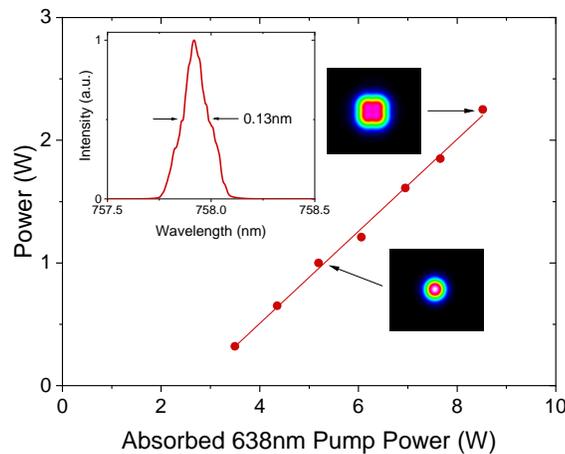


Fig. 2. Alexandrite laser power as a function of absorbed 638 nm power. Inset: Beam profile at different powers and laser spectrum at 5.2 W absorbed power.

64 The plane-plane cavity is formed of a dichroic mirror (DM) which is highly transmissive (HT)  
 65 at the laser diode pump wavelength and highly reflective (HR) at the laser wavelength, and an  
 66 output coupler (OC) with a reflectivity of 99 % at the laser wavelength. A 1 mm birefringent

67 filter (BiFi) is used to tune the laser wavelength. Its free spectral range of  $\sim 60$  nm [5] does  
 68 not cover the full-bandwidth of Alexandrite but does cover the SHG range of the waveguides  
 69 used in this work. The laser output is later coupled into a 780 nm polarisation-maintaining fibre  
 70 delivered to the waveguide setup.

71 A maximum output power of 2.25 W is obtained at an absorbed pump power of 8.52 W  
 72 with an overall slope efficiency of 38 %, as shown in Fig. 2. For optimal launching into the  
 73 polarisation-maintaining fibre the laser is operated at an absorbed pump power of 5.2 W where  
 74 the Alexandrite laser power is 1 W (at 757.9 nm) and the beam quality  $M^2 = 1.2$ . Improved beam  
 75 quality at higher-power can be easily achieved using a modified laser cavity design [5], but the  
 76 power here is more than sufficient for testing the waveguides. Figure 3 shows the Alexandrite  
 77 laser power as a function of the wavelength achieved via rotation of the intracavity birefringent  
 78 filter. A continuous tuning range of 734-790 nm was obtained with a laser linewidth of  $<0.15$  nm.

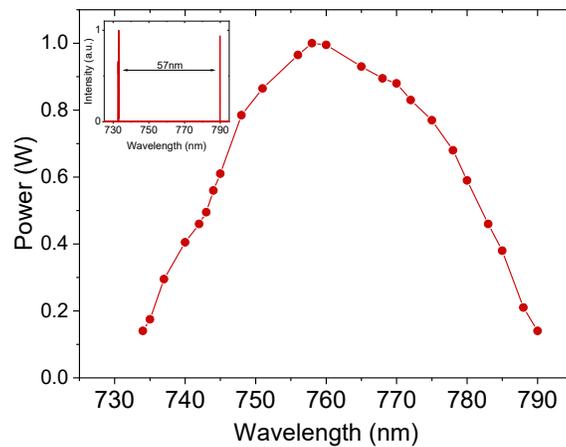


Fig. 3. Alexandrite laser power at 5.2 W absorbed power (638 nm) as a function of wavelength. Inset: Dual wavelength operation due to free spectral range of 1 mm BiFi.

### 79 3. Zn-indiffused MgO-doped PPLN Waveguides

#### 80 3.1. Properties and Setup

81 We have adopted planar Zn indiffusion to form the vertical confinement and high-precision dicing  
 82 for horizontal confinement in MgO-doped PPLN wafers. Figure 4 shows the fabrication steps,  
 83 starting with 5 % MgO-doped PPLN wafers (0.5 mm thick), a thin-film deposition of ZnO is  
 84 applied and then indiffused in an oxygen-rich environment. This is followed by ductile dicing  
 85 to form chips with multiple waveguides. Further details of the waveguide fabrication can be  
 86 found in our previous work [13]. With control of the Zn thickness, indiffusion temperature and  
 87 waveguide width, this technique has proven to be a reliable and repeatable method for fabricating  
 88 1560 nm to 780 nm SHG waveguides with high device efficiency and low-loss [12].



Fig. 4. Fabrication steps for our Zn-indiffused Mgo-doped PPLN waveguides.

89 PPLN wafers with poling periods  $\Lambda = 6.1, 6.3, 6.5, 6.7$  and  $6.9 \mu\text{m}$  were used. Designed

90 for SHG at 1064 nm, they also work for third-order SHG at around 780 nm and so can give a  
 91 good indication of performance without requiring fabrication of  $\sim 2 \mu\text{m}$  first-order periods. For  
 92 supporting modes at visible (532 nm) wavelengths, the waveguide design is adapted by reducing  
 93 the Zn layer thickness to 47 nm and lowering the indiffusion temperature to  $900^\circ\text{C}$  [14]. Nominal  
 94 waveguides widths of 5-7  $\mu\text{m}$  in 0.5  $\mu\text{m}$  steps are diced into 10 mm and 20 mm long chips with a  
 95  $5.3^\circ$  angle cut to minimise back reflections.

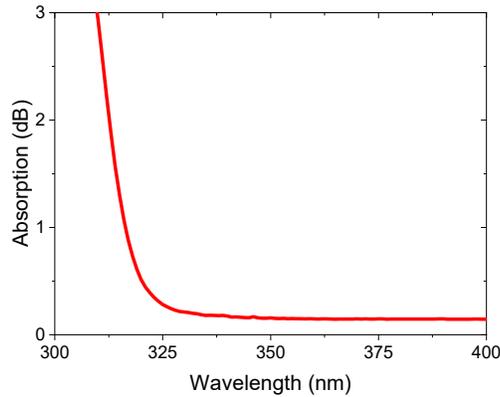


Fig. 5. Absorption loss as a function of wavelength over the UVA region.

96 To assess the viability of operation in the ultra-violet (UV), a spectrophotometer measurement  
 97 was performed to measure the absorption profile of a MgO-doped wafer. Figure 5 shows the  
 98 absorption over the UVA-region with a significant increase at  $<325 \text{ nm}$  indicating that operating  
 99 above this wavelength should be possible without any significant absorption losses.

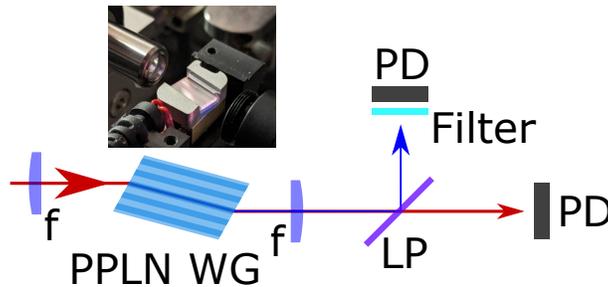


Fig. 6. Schematic diagram of the waveguide SHG setup, and photo of the PPLN chip containing the waveguides.

100 The waveguide phase-matching and efficiency characteristics were analysed by using the  
 101 setup shown in Fig. 6. The fibre-coupled output of the Alexandrite pump laser is collimated  
 102 using a zoom-collimator and focused into the waveguide using a  $f = 11 \text{ mm}$  aspheric lens to  
 103 a spot size of around 2-3  $\mu\text{m}$ . The pump laser throughput and UV signal are collimated by a  
 104 secondary  $f = 11 \text{ mm}$  aspheric lens then split using a longpass dichroic mirror (LP) onto separate  
 105 photo-diodes (PD) for power measurements. An additional filter is used to prevent any unwanted  
 106 measurement of the pump laser. A 0.02 nm resolution optical spectrum analyser and scanning  
 107 beam profiler are also used to measure the laser spectra, and transverse mode profile. This setup  
 108 allows testing of the SHG performance for both the 10 mm and 20 mm long waveguides in chips  
 109 which are mounted onto a Covision P20 oven for temperature control at 20-200  $^\circ\text{C}$ .

110 **3.2. Phase-Matching**

111 To analyse the broad phase-matching performance, chips of each poling-period were used in the  
 112 setup with the pump laser wavelength fixed and the temperature of the waveguide varied over the  
 113 full 20-200 °C range. The incident laser power was set to around 100 mW. 10 mm-long chips  
 114 were used to analyse the first four poling-periods ( $\Lambda = 6.1 - 6.7 \mu\text{m}$ ) and a 20 mm-long chip for  
 115 the final  $\Lambda = 6.9 \mu\text{m}$  poling-period.

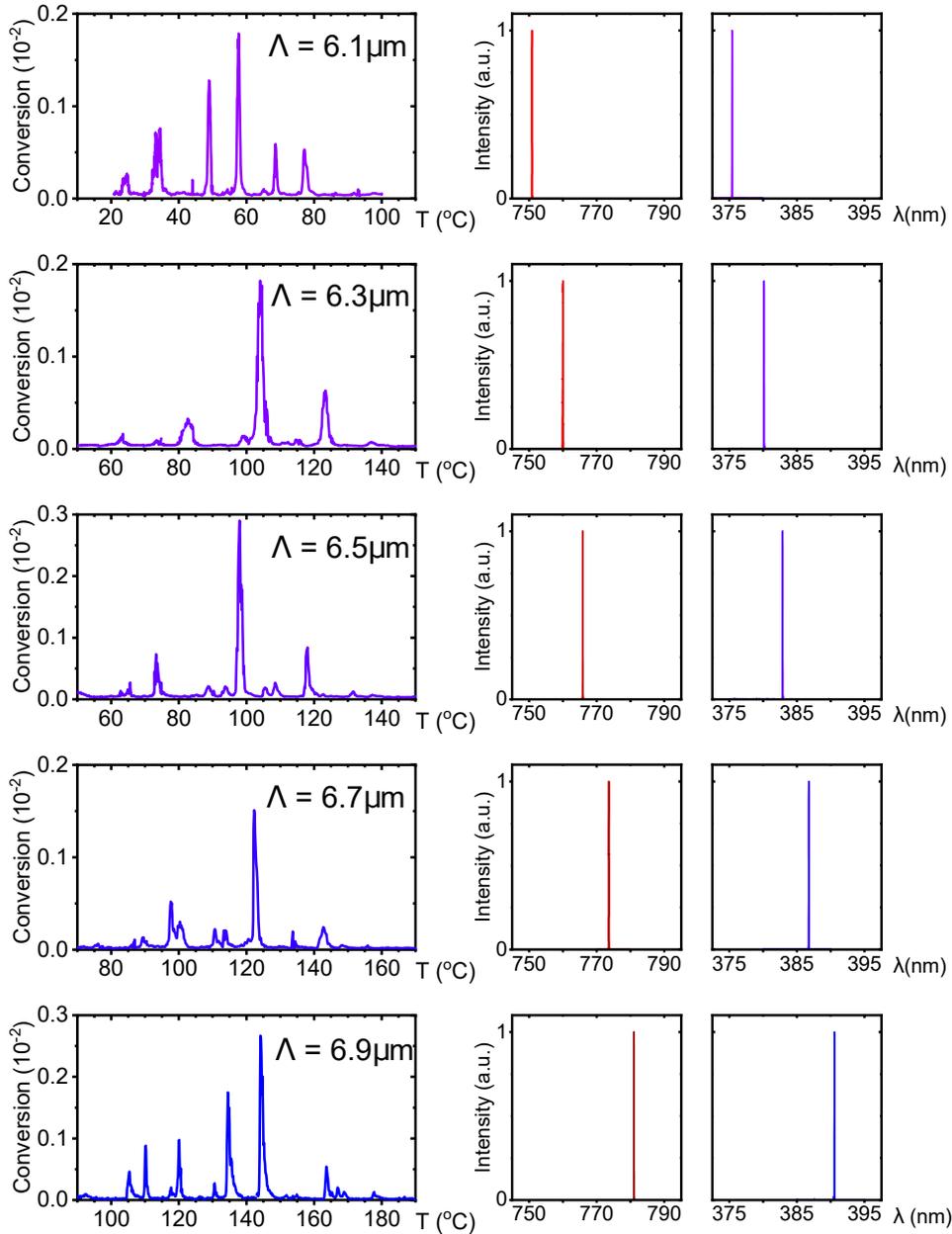


Fig. 7. Overview of phase matching results for all five poled waveguides. Results show conversion measured as a function of PPLN temperature at 750, 760, 766, 773 and 781 nm. Laser infrared pump and UV signal spectra are also shown.

116 Figure 7 shows the conversion (UV power divided by laser pump power) for the 7.0  $\mu\text{m}$  wide  
 117 waveguide in each of the five prepared chips, with the pump and signal spectrum for each  
 118 measurement also shown. Phase matching was achieved in each of the five waveguides with  
 119 multiple UV signal peaks observed over a 60  $^{\circ}\text{C}$  temperature range. The same peaks were  
 120 observed for each poling-period with slight variation in the relative intensities. Wavelength  
 121 tuning across a single waveguide was achieved by varying the temperature. For example, Fig. 8  
 122 shows the phase matching data for the  $\Lambda = 6.1 \mu\text{m}$  poled waveguide (7.0  $\mu\text{m}$  waveguide width)  
 123 at pump wavelengths of 750.9 nm and 760.0 nm, showing that a UV tuning range of around  
 124 375-380 nm can be achieved for this waveguide - though the full tuning range is 373.7-381.9 nm  
 125 when including just a single phase matching peak. A total UV tuning range of 373.7-393.6 nm  
 126 was obtained across all  $\Lambda = 6.1 - 6.9 \mu\text{m}$  waveguides.

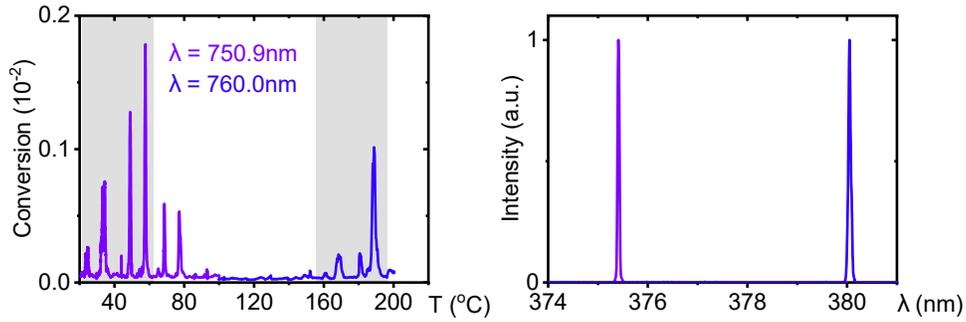


Fig. 8. Conversion for  $\Lambda = 6.1 \mu\text{m}$  poled waveguide (7.0  $\mu\text{m}$  waveguide width) at two fixed wavelengths of 750.9 nm and 760.0 nm and the generated UV spectrum. Grey-region indicates the repeated peaks - the reduction in efficiency is attributed to a broader bandwidth at 760.0 nm.

### 127 3.3. Waveguide Modes

128 To further study the phase matching peaks, and to compare different waveguide widths, the laser  
 129 pump wavelength was fixed to 776.2 nm (incident pump power of 215 mW) and coupled into the  
 130 5.0 and 7.0  $\mu\text{m}$ -wide waveguides in the  $\Lambda = 6.7 \mu\text{m}$  chip. The output power of the UV signal and  
 131 infrared throughput pump were measured (as shown in Fig. 6) as well as the mode profile of  
 132 the UV signal.

133 Figure 9 shows the phase matching results of two  $\Lambda = 6.7 \mu\text{m}$  chips with the mode profile  
 134 (far-field) of the UV signal. The 7.0  $\mu\text{m}$  wide and 10 mm long waveguide (top left in Fig. 9) has  
 135 six clear peaks corresponding to six different transverse magnetic modes with high efficiency for  
 136 the  $\text{TM}_{11}$  and  $\text{TM}_{01}$  modes. The  $\text{TM}_{00}$  is present but has low efficiency. The large number of  
 137 modes found here is due to the 7.0  $\mu\text{m}$ -wide waveguide being primarily designed for SHG at  
 138 1064 nm and therefore the waveguide width and refractive index diffusion parameters are suited  
 139 to a larger mode size at both the fundamental and second harmonic. This therefore allows phase  
 140 matching of the  $\text{TM}_{00}$  and higher-order pump modes to a range of UV modes.

141 To verify this, a model of the waveguide was built using the FIMMWAVE software. Figure 10  
 142 shows the parameters of the waveguide where the refractive index is assumed to have a Gaussian  
 143 profile in the vertical direction of the form  $n = n_{\text{sub}} + \Delta n e^{-(y/\sigma)^2}$  where  $n_{\text{sub}}$  is the refractive  
 144 index of the lithium niobate substrate,  $\Delta n$  is the maximum refractive index change and  $\sigma$  is the  
 145 diffusion depth. These parameters are determined by comparing a planar layer of the model to  
 146 values measured using a Metricon prism coupler. The FIMMWAVE model provides solutions for  
 147 all the possible modes of the waveguide at both the pump and signal wavelengths.

148 To compare the model with the results, the temperature dependence of the effective index of

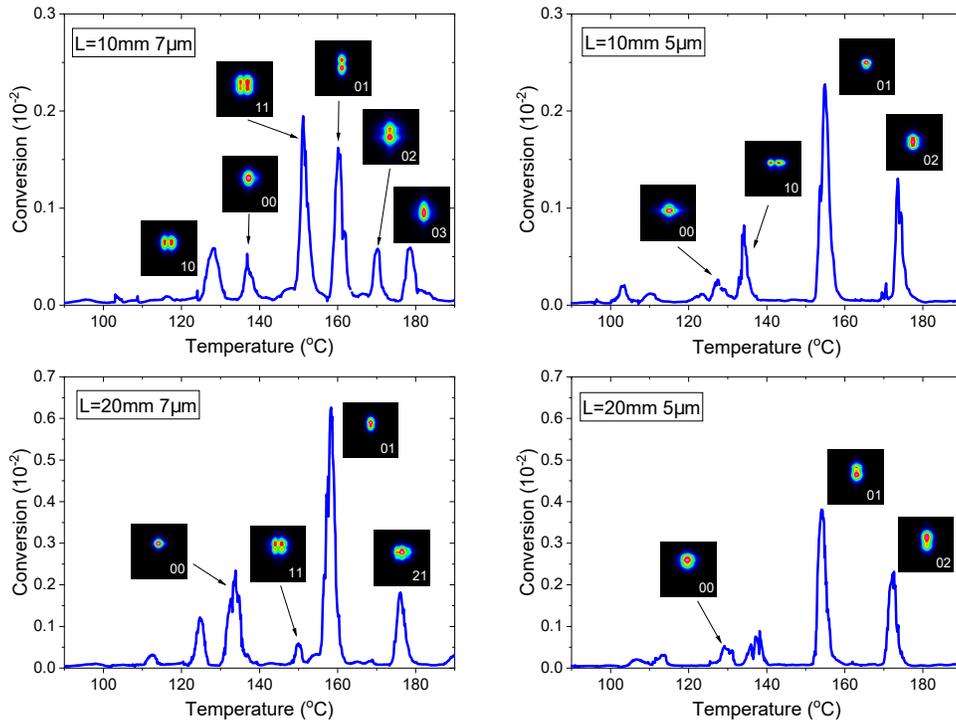


Fig. 9. Phase matching results for four  $\Lambda = 6.7 \mu\text{m}$  waveguides with a fixed pump laser wavelength of  $776.2 \text{ nm}$ .

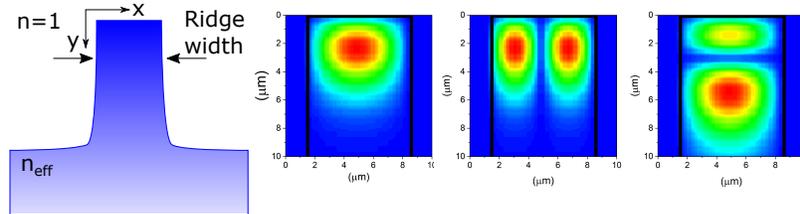


Fig. 10. Waveguide model and examples of the transverse mode profiles of the pump in the  $7.0 \mu\text{m}$ -wide waveguide.

149 the waveguide modes at both the pump and signal wavelengths were calculated. Figure 11 shows  
 150 the possible phase matching conditions for six of the waveguide modes with the lowest-loss and  
 151 highest overlap in the  $7.0 \mu\text{m}$ -wide waveguide, and a comparison to the experimental results. The  
 152 model matches the experiment reasonably well, indicating that the UV modes observed are from  
 153 both the  $\text{TM}_{00}$  and  $\text{TM}_{10}$  pump modes. The temperature offset can be attributed to the error  
 154 in the real and calculated values of  $\sigma$  and  $\Delta n$ , though the temperature separation between the  
 155 peaks is in reasonable agreement. The model did not show a major difference in the conversion  
 156 efficiency between the different modes, which was found in the experiment. This may be due to  
 157 irregularity in the ridge width which was not included in the model.

158 Figure 12 shows a comparison of the FIMMWAVE model to the experimental results in the  
 159  $5.0 \mu\text{m}$  waveguide. In this waveguide, there are no higher-order modes expected at the pump  
 160 wavelength, and therefore the  $\text{TM}_{11}$  mode is lost and the  $\text{TM}_{00}$  mode is the lowest temperature  
 161 mode. Again, the highest measured efficiency is obtained in the higher-order  $\text{TM}_{01}$  mode. The

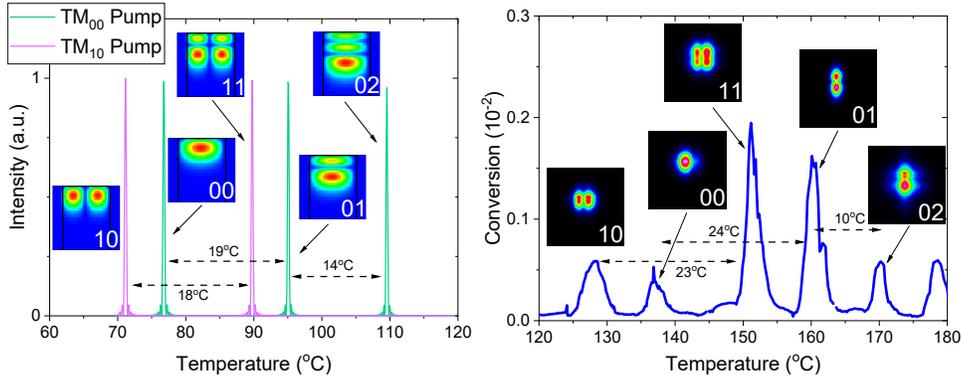


Fig. 11. Phase matching results for the  $\Lambda = 6.7 \mu\text{m}$  waveguide ( $L = 10 \text{ mm}$ ,  $7.0 \mu\text{m}$ -wide) with a fixed pump laser wavelength of  $776.2 \text{ nm}$ . Left figure shows FIMMWAVE model, and right figure shows experimental result.

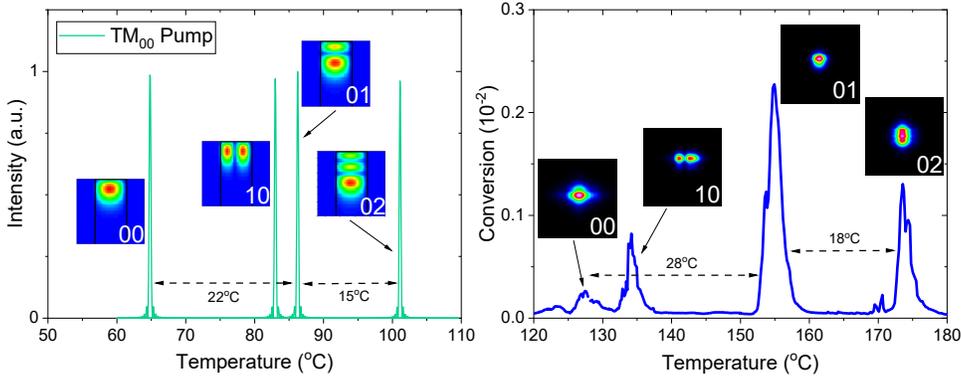


Fig. 12. Phase matching results for the  $\Lambda = 6.7 \mu\text{m}$  waveguide ( $L = 10 \text{ mm}$ ,  $5.0 \mu\text{m}$ -wide) with a fixed pump laser wavelength of  $776.2 \text{ nm}$ . Left figure shows FIMMWAVE model, and right figure shows experimental result.

162  $\text{TM}_{00}$  mode efficiency is again low as the refractive index diffusion is the same as for the  $7.0 \mu\text{m}$   
 163 wide waveguide.

164 When comparing the experimental results of the  $10 \text{ mm}$  and  $20 \text{ mm}$ -long waveguides (Fig. 9)  
 165 there are slight changes. In the  $7.0 \mu\text{m}$ -wide waveguide, the efficiency of the  $\text{TM}_{11}$  has fallen, the  
 166  $\text{TM}_{02}$  and  $\text{TM}_{03}$  are lost and another higher-order mode is found. This is likely due the variation  
 167 in the ridge-width and refractive index diffusion over a longer length causing loss to higher-order  
 168 modes. This is also found in the  $5.0 \mu\text{m}$ -wide waveguide where the  $\text{TM}_{10}$  mode efficiency has  
 169 reduced.

170 While some applications require single-mode operation there is growing interest in developing  
 171 laser sources operating in higher-order modes [15] as a platform for generating complex beams  
 172 in material processing [16]. Our system provides a simple and versatile platform for achieving  
 173 high-order UV modes. For higher efficiency single-mode operation in the UV range, the  
 174 waveguide parameters can be adapted to a narrower waveguide and shallower indiffusion profile.  
 175 We have recently demonstrated initial results of a waveguide design model that covers the entire  
 176 transparency range of PPLN [14] and are in progress of publishing the full results.

177 **3.4. Power**

178 Figure 13 shows the signal power as a function of the throughput pump power in the low-depletion  
 179 region. This initial measurement is made at a pump laser wavelength of 774.4 nm phase matched  
 180 to the  $TM_{01}$  mode in the  $\Lambda = 6.7 \mu\text{m}$  ( $L = 10 \text{ mm}$ ,  $7.0 \mu\text{m}$ -wide) waveguide. The phase matching  
 181 spectrum is also shown indicating a  $0.7^\circ\text{C}$  temperature bandwidth - theoretical value is around  
 182  $1.0^\circ\text{C}$ . Figure 13 also shows the green power obtained for first-order SHG at 1063.0 nm (using a  
 183  $\text{Nd}:\text{GdVO}_4$  laser) and its phase matching spectrum.

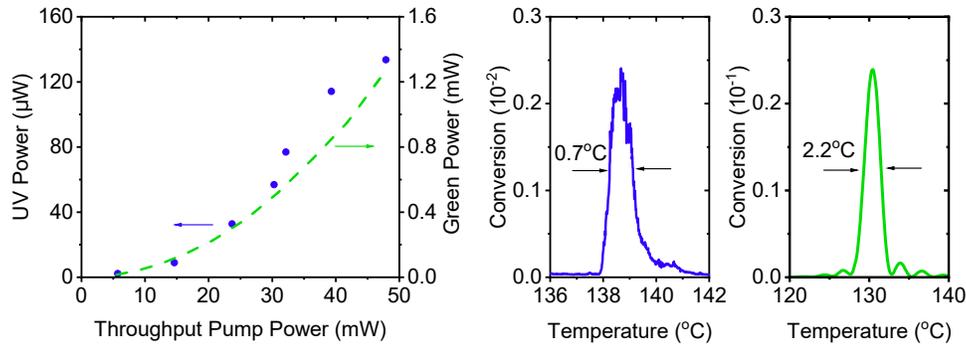


Fig. 13. UV and green power as a function of throughput pump power in the low-depletion region and the corresponding temperature phase matching curves.

184 The results show a quadratic increase in power and that the UV signal power generated is  
 185 around a tenth of that for the first-order 1063.0 nm to 531.5 nm conversion, as expected [17].  
 186 The loss (including coupling, absorption and Fresnel from the uncoated surfaces) is calculated  
 187 by comparing the incident and throughput pump laser power and found to be  $5.2 \text{ dB cm}^{-1}$  and  
 188  $4.3 \text{ dB cm}^{-1}$  at 774.4 nm and 1063.0 nm, respectively. To assess higher power operation, the  
 189 pump power was increased and the 20 mm-long waveguide was also used. Figure 14 shows the  
 190 UV signal power as a function of the throughput pump power for both 10 mm and 20 mm long  
 191 waveguides.

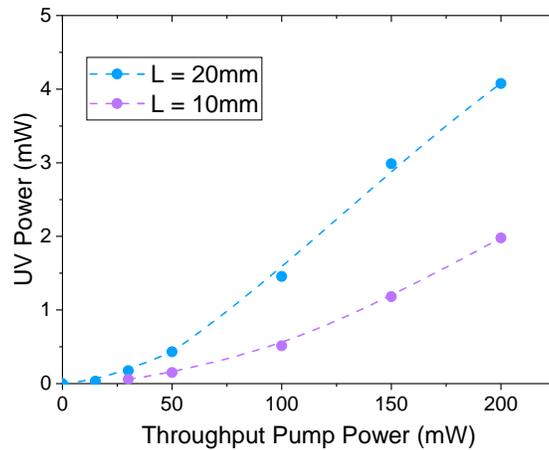


Fig. 14. UV power (at 387.2 nm) as a function of throughput pump power for  $\Lambda = 6.7 \mu\text{m}$  10 mm and 20 mm long waveguides.

192 A maximum UV power of 4.1 mW is obtained at a throughput pump power of 200 mW using

193 the 20 mm waveguide, corresponding to conversion efficiency of 2 %. The signal power is  
194 however limited by the linewidth of the pump laser. Based off the phase matching results, the  
195 refractive index difference is  $\Delta n = 0.1734$  at 774.4 nm, giving a pump laser linewidth bandwidth  
196 of around  $\Delta\lambda = 0.08$  nm for the  $L = 20$  mm long waveguide - less than the 0.15 nm linewidth of  
197 the Alexandrite laser. With a  $<0.08$  nm linewidth, then based off the power levels obtained from  
198 the 10 mm waveguide, first-order  $\Lambda = 2.0 - 2.5$   $\mu\text{m}$  poling-periods would theoretically enable an  
199 efficiency of  $>25$  % and 50-100 mW of UV power from a 20 mm waveguide.

#### 200 4. Conclusion

201 We have shown that Zn-indiffused MgO-doped PPLN waveguides offer a suitable platform for  
202 achieving laser operation across the UVA range, with 373.7-393.6 nm continuous wavelength  
203 tuning achieved using third-order second-harmonic-generation (SHG) in  $\Lambda = 6.1 - 6.9$   $\mu\text{m}$  poled  
204 ridge waveguides. A comprehensive analysis and modelling of the waveguide modes has been  
205 provided, indicating the additional modal flexibility of this system.

206 Using an Alexandrite laser pump, 4.1 mW of UV power is obtained from 200 mW throughput  
207 power. Optimisation in the pump laser linewidth, anti-reflection coatings of the waveguides for  
208 reducing loss, and a dedicated design of the waveguide for UV operation with first-order poling,  
209 and improved Zn-indiffusion will enhance the performance of this system. Having demonstrated  
210 over 100 mW of green with 40 % conversion efficiency using these waveguide [14], optimisation  
211 of this platform provides a route to similar levels of UV from a single-emitter multimode red  
212 laser diode. Broader spectral coverage from a single waveguide can be achieved using a fan  
213 grating, giving great potential for a highly versatile compact and rugged UV laser source.

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#### 217 Disclosures

218 PGRS and CBEG; Covision Ltd. (E,P). All other authors declare no conflicts of interest.

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