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8 **Reconciling plate kinematic and seismic estimates of lithospheric convergence**
9 **in the central Indian Ocean**

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19 **ABSTRACT**

20 **The far-field signature of the India-Asia collision and history of uplift in Tibet is recorded**
21 **by sediment input into the Indian Ocean and the strain accumulation history across the**
22 **diffuse plate boundary between the Indian and Capricorn plates. We describe the history of**
23 **India-Capricorn convergence from updated estimates of India-Somalia-Capricorn plate**
24 **rotations and observations derived from seismic reflection data. New India-Capricorn plate**
25 **rotations for the past 20 Myr are consistent with slow N-S convergence from 18 Ma about a**
26 **stationary or nearly stationary pole near the eastern edge of the Chagos-Laccadive ridge,**
27 **simpler than predicted by previous models based on many fewer data. The new rotations**

28 **suggest that convergence began between 18 Ma and 14 Ma, consistent with marine seismic**
29 **evidence for an onset of deformation at 15.4 – 13.9 Ma. They further show that convergence**
30 **rates doubled at 8 Ma, in agreement with a sharp increase in fault activity at 8 – 7.5 Ma**
31 **seen on seismic reflection profiles. A discrepancy between the total strain estimated from**
32 **kinematic and seismic reflection data can be reconciled if pervasive reverse faulting within**
33 **the diffuse plate boundary is accompanied by block rotations of 1-3°.**

34 **INTRODUCTION**

35 The deformation zone within the central Indian Ocean is the best studied diffuse plate boundary
36 zone in the Oceans (Fig. 1). The deformation is manifest on two spatial scales: reverse faulted
37 blocks with 5 – 10 km spacing, and 100 km to 300-km-wavelength folding of the oceanic
38 lithosphere. The deformation has been described by seismic reflection, heat flow, studies of
39 intraplate seismicity as well as satellite gravity studies (e.g. Weissel et al., 1980; Bull and
40 Scrutton, 1990a; Chamot-Rooke et al., 1993, Deplus et al., 1998; Delescluse et al., 2008;
41 Delescluse and Chamot-Rooke, 2007). Motion across the diffuse plate boundary zone has been
42 estimated independently from inversions of seafloor spreading rates and directions from the
43 Carlsberg and Central Indian ridges and consists of counterclockwise rotation about a pole east of
44 Chagos Bank (Royer and Gordon, 1997; Gordon et al., 1998; DeMets et al., 2005), such that
45 convergence is predicted in the Central Indian and Wharton Basins, and extension west of
46 Chagos Bank.

47 Seismic stratigraphic analysis of the Bengal Fan sediments has revealed that the main
48 deformation phase began in the Miocene (8.0-7.5 Ma; Cochran, 1990) and included long
49 wavelength folding, development of a regional unconformity, pervasive reverse faulting and
50 continuation of long wavelength folding and faulting in the Pliocene (5.0-4.0 Ma) and

51 Pleistocene (0.8 Ma) (Krishna et al., 2001). Plate reconstructions independently confirm the onset
52 of rapid deformation at 8-7 Ma (DeMets et al. 2005), and moreover suggest that significant, but
53 slower deformation began as early as 20 Ma with large uncertainties. Seismic stratigraphic data
54 (Krishna et al., 2009) suggest that slow deformation began at 15.4-13.9 Ma.

55 Although previous, independent analyses of seismic stratigraphic and plate kinematic data from
56 the central Indian Ocean confirm important aspects of the style and timing of deformation across
57 the wide plate boundary south of India, important discrepancies and uncertainties remain. For
58 example, the most recent model for India-Capricorn plate motion (DeMets et al. 2005) predicts a
59 systematic increase in the magnitude of N-S shortening across the India-Capricorn boundary zone
60 east of the pole of rotation, whereas a recent analysis of seismic reflection data suggests a more
61 complex pattern. Cumulative north south strain smaller than predicted from plate rotations has
62 been found (Krishna et al., 2009), suggesting that unaccounted errors exist in one or both
63 estimates, or that some deformation occurs either outside the diffuse boundary or via mechanisms
64 not considered in these studies.

65 Here we derive new India-Capricorn finite rotations for the last 20 million years from recently
66 published, high-resolution India-Somalia and Capricorn-Somalia rotations. We then compare
67 these predictions with new shortening estimates from seismic reflection data that are based on
68 rotations of reversely faulted blocks.

69 **UPDATED PLATE KINEMATIC ESTIMATE**

70 The new India-Capricorn-Somalia rotations (DR Tables 1-3, electronic supplement) are derived
71 from new high-resolution estimates of India-Somalia motion since 20 Ma (Merkouriev and
72 DeMets, 2006) and Capricorn-Somalia finite rotations from DeMets et al. (2005). The India-
73 Somalia rotations are determined from an order-of-magnitude more magnetic anomaly crossings

74 than those of DeMets et al. (2005) and describe a simpler and better constrained post-20 Ma
75 kinematic history than prior models. We corrected both sets of rotations for the effect of outward
76 displacement, which shifts the mid-points of magnetic reversals several kilometers outward from
77 spreading axes due to the finite width of the zone in which new seafloor acquires its
78 magnetization (DeMets and Wilson 2008). Information about the methods used to estimate the
79 new rotations is given in the accompanying electronic supplement.

80 Interval spreading rates determined from the updated Capricorn-Somalia and India-Somalia
81 rotations (DR Fig. 1 in the supplement) illustrate the primary kinematic evidence for a change in
82 India and Capricorn plate motions at 8-10 Ma, as discussed by Merkouriev and DeMets (2006).
83 Spreading rates along both boundaries decreased by 25-30% from 20 Ma to ~10 Ma and then
84 remained steady or increased slightly to the present. The newly estimated interval rates are less
85 noisy than their predecessors (Merkouriev and DeMets, 2006) and clearly suggest two distinct
86 stages of motion for both plate pairs since 20 Ma.

87 The new best-fitting India-Capricorn poles (Fig. 2 and DR Table 3 in the supplement) are all
88 located within several hundred km of the eastern edge of the Chagos-Laccadive ridge and show
89 no dependence on age. For example, the rotations that describe motion from the present to 8 Ma
90 and from 8 Ma to 20 Ma (Fig. 1) differ insignificantly in location and predict that approximate
91 north-south shortening dominated deformation nearly everywhere along the plate boundary
92 during their respective time intervals. In contrast, the 20-8 Ma pole determined from rotations in
93 DeMets et al. (2005) lies 1200 km NE of the 8-0 Ma estimate (Fig. 1) and predicts a component
94 of extension across more than two-thirds of the India-Capricorn boundary before 8 Ma, in
95 conflict with evidence for shortening at that time (Krishna et al. 2009). The new India-Capricorn
96 rotation estimates therefore yield a simpler kinematic history that agrees better with the

97 independently determined shortening history across the diffuse plate boundary than was
98 previously the case.

99 We interpret the new results as evidence that India-Capricorn motion since 20 Ma is well
100 described by a stationary pole (at 3.7°S, 74.8°E in Fig. 1) located at the Fisher mean of the best-
101 fitting rotations. That the stationary pole lies near the eastern edge of the Chagos-Laccadive
102 ridge, which consists of unusually thick oceanic crust formed by the Reunion mantle plume
103 (Henstock and Thompson, 2004), may indicate that the ridge plays a mechanical role in
104 determining the pole location.

105 Using the stationary India-Capricorn pole described above, we apply procedures described by
106 DeMets et al. (2005) to estimate an optimized time sequence of rotation angles (upper panel of
107 Fig. 2). The new sequence of angles can be interpreted as evidence for either a two-stage or three-
108 stage rotation history. Both include the previously reported, factor-of-two increase in India-
109 Capricorn motion since 8 Ma (DeMets and Royer 2003; DeMets et al. 2005) and the three-stage
110 rotation history subdivides motion before 8 Ma into periods of slow or possibly no motion before
111 $\sim 16 \pm 2$ Ma and somewhat faster motion from $\sim 16 \pm 2$ Ma to 8 Ma. Inversions of the angles in Fig.
112 2 to estimate best-fitting slopes and ages for changes in motion for the two- and three-stage
113 models indicate that a motion change at 7.8 Ma is highly significant, but that any earlier change
114 cannot be distinguished reliably from the sparse and less certain angles for times before 13 Ma.

115 **SEISMIC REFLECTION ANALYSIS**

116 In the most recent synthesis of seismic reflection data (Krishna et al., 2009), measurements were
117 made on the vertical separations of sedimentary horizons and unconformities on either side of
118 293 reverse faults. These data were then backstripped to determine fault activity histories. These

119 data indicate that near or prior to 20 Ma, isolated faults accommodated minor extensional
120 movement before a period of tectonic quiescence. Compressional activity then began on
121 individual fault blocks at 15.4 to 13.9 Ma and continued to 8.0 – 7.5 Ma. Strain rates increased
122 abruptly at 8.0 – 7.5 Ma, which led to widespread reverse faulting and the formation of long
123 wavelength undulations and the first regional unconformity, and has continued to present.

124 Previously, workers have calculated the cumulative shortening accommodated by reverse faulting
125 across the diffuse plate boundary (Chamot-Rooke et al., 1993; Van Orman et al., 1995; Krishna
126 et al., 2009) using a three-stage procedure. Vertical separations of sedimentary reflectors
127 immediately above basement are first measured and depth-converted using the velocity law in
128 Bull and Scrutton (1990b). These were assumed to approximate fault throws, and the horizontal
129 (shortening) component was calculated using dips (36° – 45°) for the reverse faults in the top of
130 the oceanic crust estimated from the seismic reflection data (e.g Bull and Scrutton, 1992 and
131 Chamot-Rooke et al., 1993). This approach has yielded total strain estimates of 1.9% to 4.3%
132 across the diffuse boundary. Long wavelength undulations in the central Indian Ocean
133 accommodate $< 1\%$ of the total shortening across the diffuse plate boundary and are thus ignored
134 in the following.

135 The procedure outlined above has three limitations that are likely to produce underestimates of
136 the shortening - 1) the vertical separations are made in poorly imaged regions above and below
137 the fault surface and incorporate drag; 2) the vertical separation is less than the throw for dipping
138 horizons; and 3) the method ignores the likely contribution of fault block rotation to the estimated
139 shortening. In the Central Indian Basin, seismic reflection data from faulted blocks (Fig. 3)
140 clearly show that the top of layer 2 is rotated by 1° to 3° relative to horizontal (Fig. 3A), with

141 some fault blocks rotated by 6° to 8° (Fig. 3B). Previously published shortening estimates may
142 thus underestimate the total shortening across the diffuse plate boundary.

143 We therefore recalculated shortening due to reverse faulting by applying a method widely used
144 for rotational extensional faults – the ‘domino’ model of Wernicke and Burchfiel (1982). This
145 provides an independent estimate of shortening without the problems inherent in determining
146 heave from cutoffs at faults and incorporates the observed rotations. The method requires an
147 estimate of the dip of each reverse fault and the rotation of each fault block (Fig. 3C), which can
148 be approximated by the dip of the top of the oceanic crust. Reliable measurements of the latter
149 are only feasible with multichannel seismic reflection data, which consistently images the top of
150 basement (Bull and Scrutton, 1992; Chamot-Rooke et al., 1993). Multichannel data coverage is
151 however much sparser than the single-channel data synthesized by Van Orman et al. (1995) and
152 Krishna et al. (2009), thereby precluding an observationally-based correction for each fault that
153 has been imaged in the diffuse plate boundary. We therefore re-estimated the total shortening for
154 a range of plausible fault block rotations ($\omega=1^\circ$ - 3°), an average fault dip (δ) of 40° , and using
155 profile lengths at each longitude defined by the distance between the most widely distributed
156 faults (see Krishna et al., 2009). These give revised shortening of 2.1 % and 6.4%, which result in
157 between 17 and 52 km of north-south convergence at 78.8°E , increasing eastwards to between
158 and 34 and 104 km of convergence at 87°E (Fig. 4). The higher value exceeds previous maximum
159 shortening estimates from seismic reflection data, most likely due to the problems described
160 above in measuring vertical displacement across faults.

161 **SYNTHESIS AND CONCLUSIONS**

162 Here we summarise where our new plate kinematic analysis (Fig. 2) reconciles previous
163 differences with seismic stratigraphic studies, and where differences remain. There is striking

164 agreement concerning the acceleration of convergence and associated contractional deformation
165 at 8 Ma, with a well-defined factor-of-two increase in the rate of angular rotation
166 contemporaneous with the development of a regional unconformity related to the formation of
167 long wavelength (100 – 300 km) folds and an increase in reverse faulting activity seen on the
168 seismic reflection profiles (Krishna et al. 2009). Both methods agree that convergence (and
169 associated contractional deformation) began earlier and more slowly than 8 Ma. We show how a
170 simple rotational model for reverse fault blocks can be used to reconcile the total amount of
171 convergence across the diffuse plate boundary zone.

172 Additional work is needed to better resolve the history of India-Capricorn motion before 10 Ma.
173 Whereas seismic stratigraphic-derived estimates indicate that convergence began at 15.4-13.9
174 Ma, the India-Capricorn rotation history allows for an onset of convergence as early as 18 Ma
175 (Fig. 2). A conservative interpretation of these results is that slow convergence began between 18
176 Ma and 13.9 Ma. Better stratigraphic age control from a future Integrated Ocean Drilling
177 Program (IODP) study site in the Bengal Fan is needed to reduce the uncertainty in our
178 stratigraphic estimate, which relies on extrapolation of rates from a single drill site (ODP Leg
179 116). Analyses of additional magnetic anomalies are needed to improve the limited temporal
180 resolution and uncertainties for rotations for times before 13 Ma, as well as test whether the
181 negative rotation angle from 20-18 Ma, coinciding with a period of possible normal faulting
182 observed by Krishna et al. (2009), is supported by additional reconstructions. Our new kinematic
183 estimates do not reveal possible discrete phases of deformation at 5.0-4.0 Ma and 0.8 Ma inferred
184 from seismic reflection data (Krishna et al. 2001), and are instead most simply interpreted as
185 consistent with steady motion about a fixed pole since ~8 Ma (Fig. 2).

186 The cumulative shortening estimated by Krishna et al. (2009) from seismic reflection data (Fig.
187 4) is smaller than predicted by our updated and previous kinematic models for all four of the
188 seismic profiles included in their analysis (Fig. 4), with differences of ~40 km for two profiles
189 that greatly exceed the estimated uncertainties (± 10 km). Given that all four profiles span almost
190 all of the most intensely deforming areas of the plate boundary (Fig. 1), it seems unlikely that the
191 discrepancy between the two independent shortening estimates can be attributed to structures not
192 imaged by the seismic profiles. Similarly, the plate kinematic estimates are based on numerous
193 unambiguous magnetic and bathymetric data and are both robust and have well characterized
194 uncertainties.

195 We demonstrate here that previous shortening estimates from seismic reflection data were too
196 low. Our new estimates of total shortening for assumed average fault block rotations of 2-3° and
197 average fault dips of 40° (Fig. 4) bracket the shortening estimates predicted by our updated
198 kinematic model, and thus fully reconcile the two independent estimates. Although not all the
199 fault blocks show evidence for rotation and some fault blocks (e.g. Fig. 3B.) are rotated more
200 than 3°, we find it encouraging that such a simple geometric model can resolve the previously
201 large discrepancy between the shortening estimates.

202 Reasons for the onset of convergence at 18 – 14 Ma are unclear, as are the causes of the sharp
203 increase in deformation at 8 Ma – we know of no significant changes in spreading rates or
204 directions at the Indian Ocean ridge system at these times. We follow other authors (e.g. Molnar
205 and Stock, 2009; Gordon, 2009) in suggesting that the answers must lie within the history of
206 Tibet, the uplift of which caused increased deviatoric stresses over a wide area including the
207 Equatorial Indian Ocean. We note that a recent analysis of motion between the Indian and
208 Eurasian plates (Molnar and Stock, 2009), although having less temporal resolution than our

209 study, is consistent with an event at 17 Ma causing a slowing of India-Eurasia convergence. That
210 the India-Capricorn rotation pole since ~18 Ma has remained stationary and adjacent to the
211 Chagos-Laccadive Ridge may indicate that the ridge has played a mechanical role in determining
212 the pole location and hence deformation across the wide equatorial plate boundary.

213 **ACKNOWLEDGEMENTS**

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272 **Figure 1.** Location map of study area. Red circles show shallow earthquakes from 1963-2008, all
273 magnitudes, from the U.S. National Earthquake Information Center data base. Red lines show

274 locations of seismic profiles described in the text. Solid blue star and circle show 8-0 Ma and 20-
275 8 Ma Capricorn-India pole locations for this study, respectively. White star and circle labeled
276 "D05" show Capricorn-India 8-0 Ma and 20-8 Ma poles from DeMets et al. (2005). Ellipses show
277 two-dimensional, 1-sigma uncertainties. Inset shows extent of major plates and diffuse plate
278 boundaries (stripes); CP – Capricorn; IN – Indian; SM – Somalia; AU – Australia.

279 Figure 2. Upper - India-Capricorn (In-Cp) finite rotation angles and standard errors for best-
280 fitting poles from DR Table 4 and for 0-20 Ma stationary pole at 3.74°S , 74.76°E . Stationary pole
281 angles are procedurally limited to magnetic reversals for which identical crossing points were
282 used to reconstruct the Capricorn-Somalia (DeMets et al. 2005) and India-Somalia (Merkouriev
283 and DeMets, 2006) plate positions. Gray lines indicate the best-fitting three-stage rotation history
284 described in the text. Red and blue lines show the best-fitting, least-squares two-stage history for
285 both sets of rotation angles. Ages for changes in motion were estimated as part of the inversion
286 procedure and give a best age of 7.8 ± 1 Ma. Lower - Predicted N-S shortening component across
287 India-Capricorn plate boundary, 0-20 Ma. Shortening is predicted at 3.5°S at the longitudes of
288 four seismic profiles shown in Figure 1. Filled and open symbols are derived respectively from
289 stationary-pole and best-fitting India-Capricorn rotations.

290 **Figure 3.** Seismic reflection images of tilted fault blocks bounded by reverse faults and the
291 simple geometrical construction used to calculate shortening. (A) Fault block (representative of
292 the deformational area) with a dip on the top of layer 2 of $2 - 3^{\circ}$. TWT – two-way traveltime(B)
293 Fault blocks showing the maximum amount of rotation with dip on the top of layer 2 of 4° to 8° .
294 Calculations of fault dip use velocity law of Bull and Scrutton (1990b). (C) Simple geometrical
295 construction used to calculate shortening based solely on the dip of the reverse faults in the upper

296 part of the oceanic crust (δ), and the dip of the top of oceanic crust layer 2 (ω). See text for
297 discussion. L is fault block width; L_0 – original fault block width.

298 **Figure 4.** Cumulative shortening since 20 Ma predicted by the new fixed-pole model for India-
299 Capricorn (Ind-Cap) motion (black line) compared to simple geometrical models for different
300 angles of fault block rotation (Figure 3), and previous estimates of shortening derived from
301 seismic reflection data assuming average reverse fault dips of 40° in the oceanic crust. 1° , 2° and
302 3° refer to the dip of the top of oceanic layer 2 (ω in Fig. 3). Error bars indicate the range for
303 observed fault dips 36° - 45° (Krishna et al., 2009; K et al., 2009 in figure).

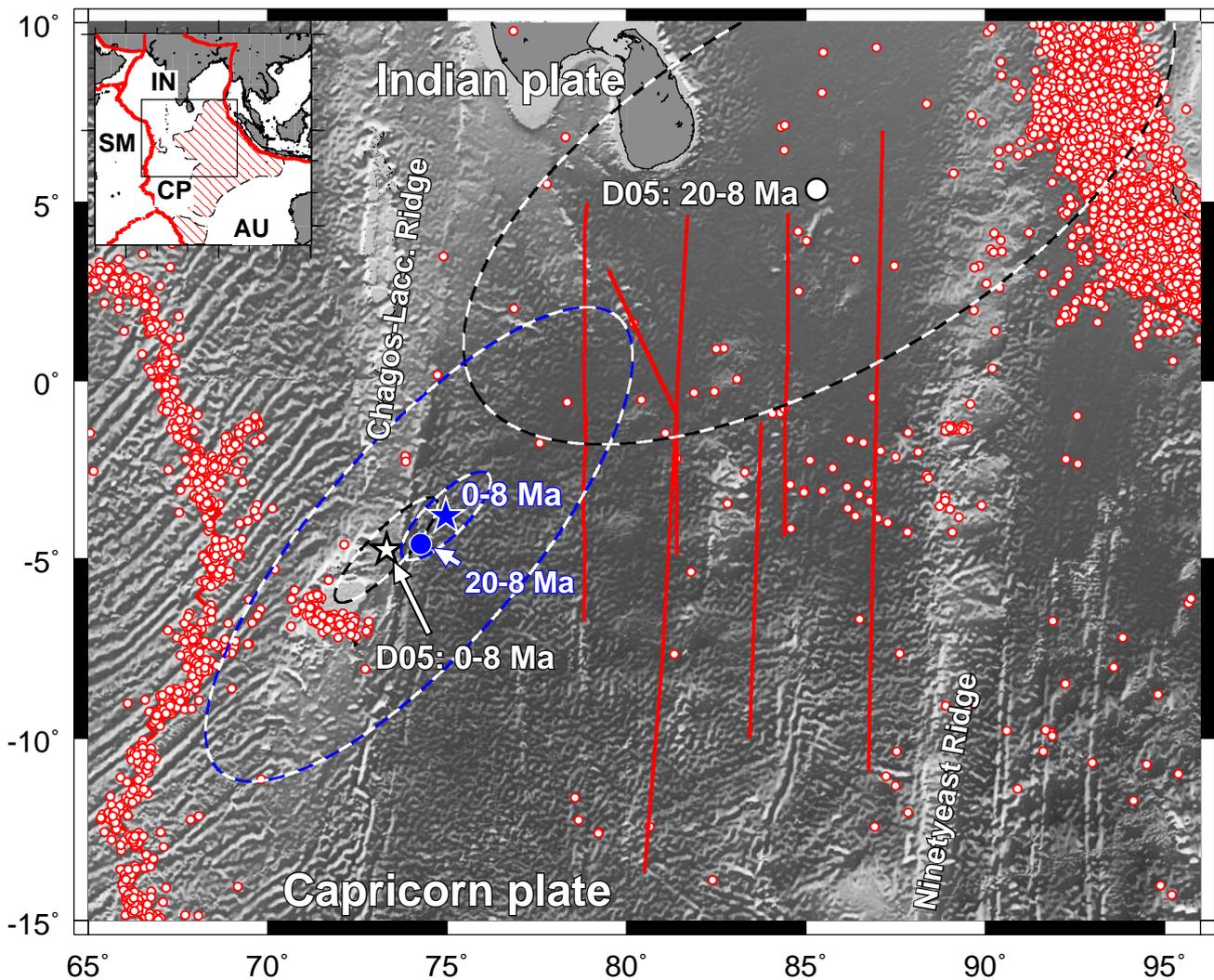


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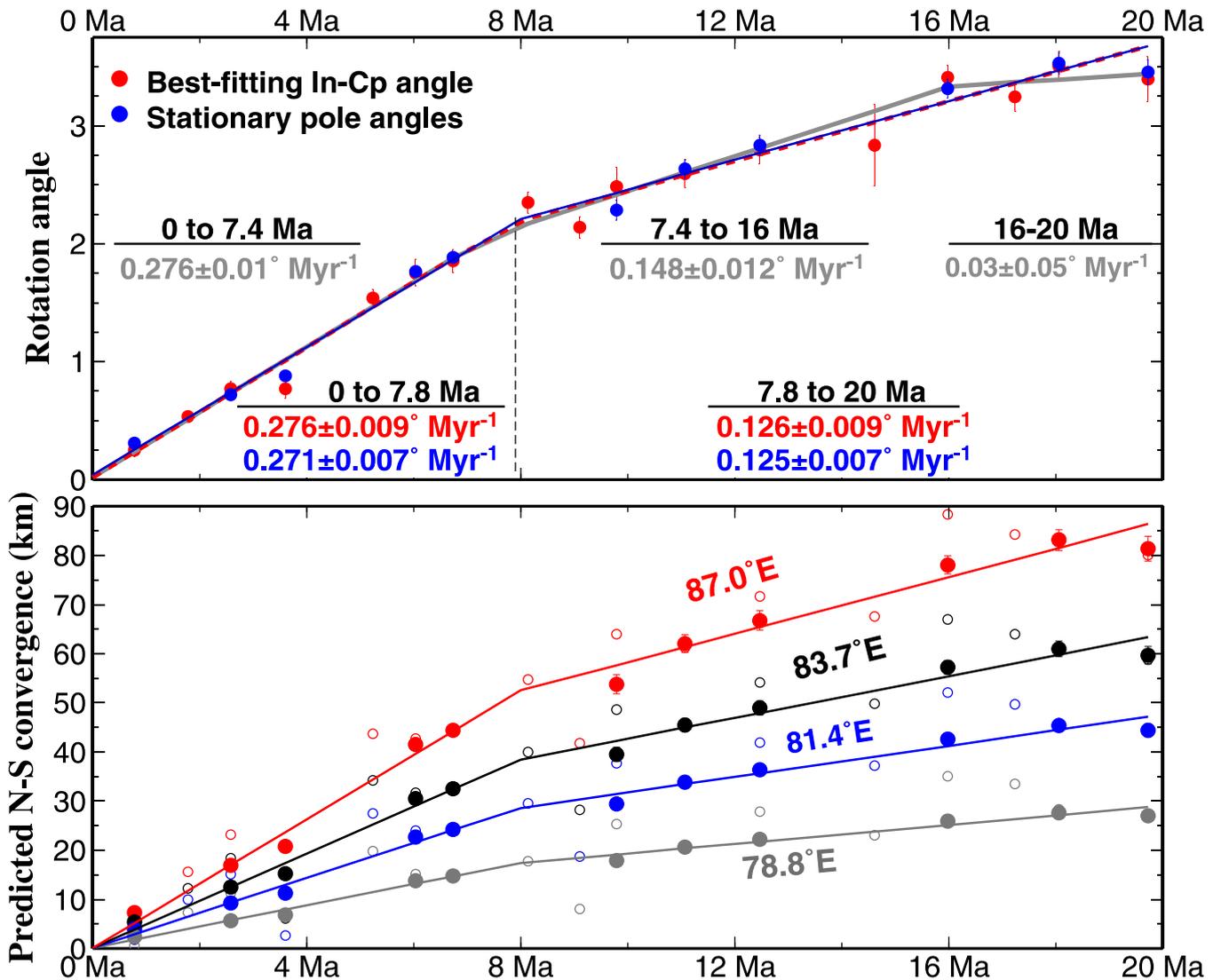


Figure 2. Upper - India-Capricorn finite rotation angles and standard errors for best-fitting poles from DR Table 4 and for 0-20 Ma stationary pole at 3.74 S, 74.76 E. Stationary pole angles are procedurally limited to magnetic reversals for which identical crossing points were used to reconstruct the Capricorn-Somalia (DeMets et al. 2005) and India-Somalia (Merkouriev and DeMets, 2006) plate positions. Gray lines indicate the best-fitting three-stage rotation history described in the text. Red and blue lines show the best-fitting, least-squares two-stage history for both sets of rotation angles. Ages for changes in motion were estimated as part of the inversion procedure and give a best age of 7.8 ± 1 Ma. Lower - Predicted N-S shortening component across India-Capricorn plate boundary, 0-20 Ma. Shortening is predicted at 3.5 S at the longitudes of four seismic profiles shown in Figure 1. Filled and open symbols are derived respectively from stationary-pole and best-fitting India-Capricorn rotations.

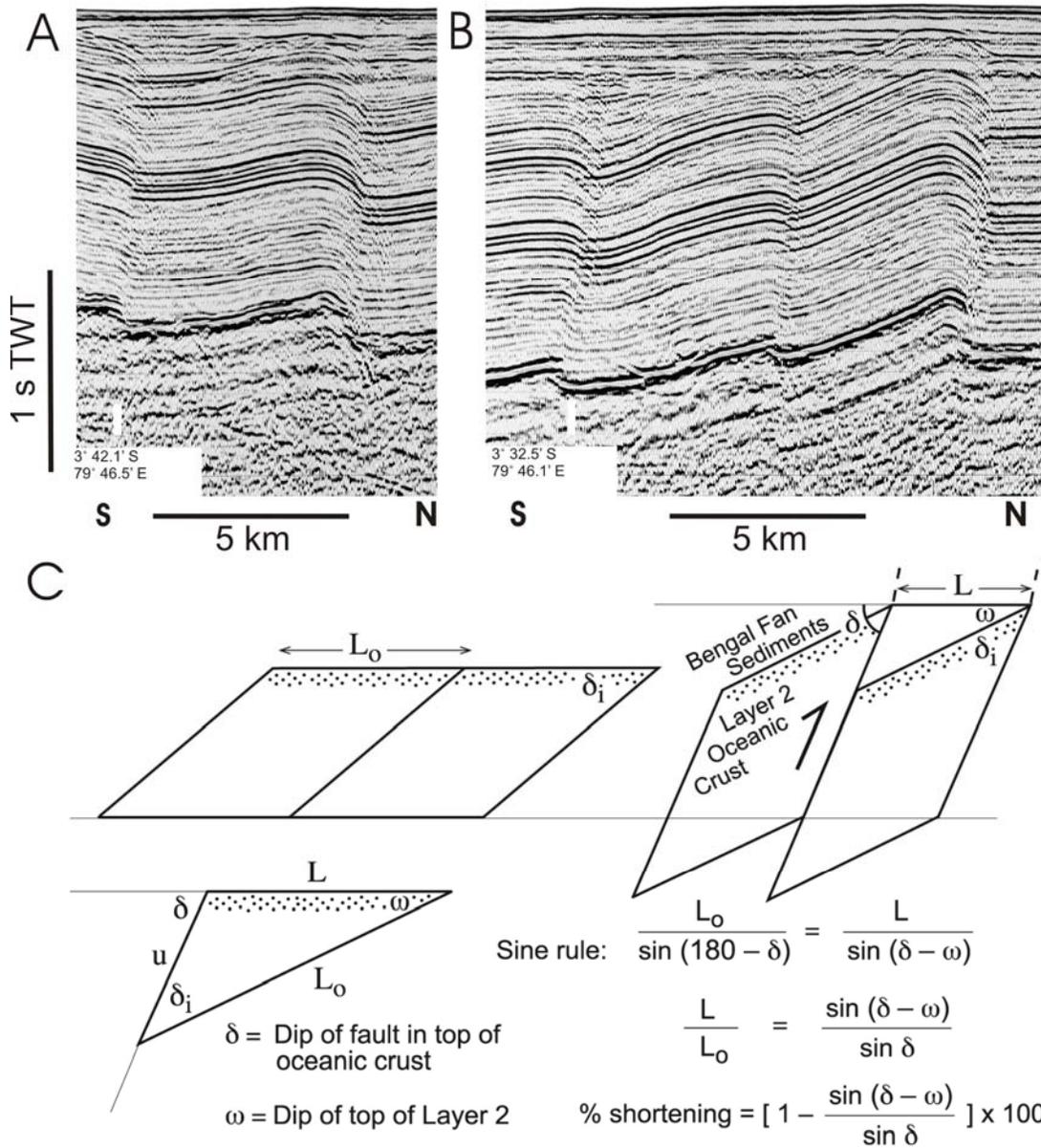


Figure 3. Seismic reflection images of tilted fault blocks bounded by reverse faults and the simple geometrical construction used to calculate shortening. (A) Fault block (representative of the deformational area) with a dip on the top of layer 2 of 2 - 3°. TWT—two way traveltime. (B) Fault blocks showing the maximum amount of rotation with dip on the top of layer 2 of 4° to 8°. Calculations of fault dip use velocity law of Bull and Scrutton (1990b). (C) Simple geometrical construction used to calculate shortening based solely on the dip of the reverse faults in the upper part of the oceanic crust (δ), and the dip of the top of oceanic crust layer 2 (ω). L is the fault block width, while L_0 is the original fault block width. (see text for discussion).

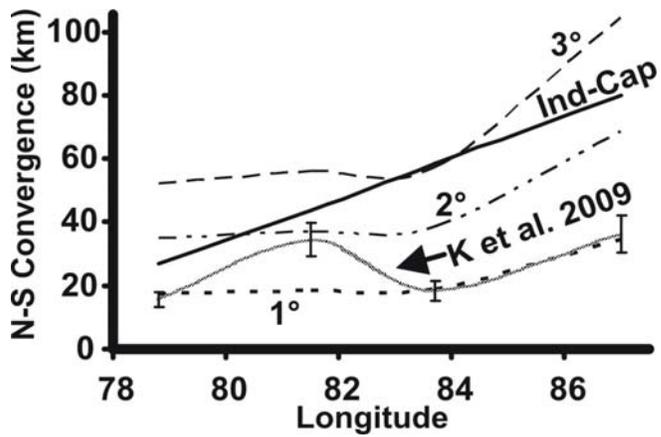


Figure 4. Cumulative shortening since 20 Ma predicted by the new fixed-pole model for India-Capricorn motion (black line) compared to simple geometrical models for different angles of fault block rotation (Figure 3), and previous estimates of shortening derived from seismic reflection data assuming average reverse fault dips of 40° in the oceanic crust. 1° , 2° and 3° refer to the dip of the top of oceanic layer 2 (ω in Fig. 3). Error bars indicate the range for observed fault dips 36° - 45° (Krishna et al., 2009; K et al., 2009 in figure).