OPTICAL FIBRES FOR SENSORS AND LASERS

W.A. Gambling,

Department of Electronics & Information Engineering,
The University of Southampton,
Southampton, S09 5NH, Hampshire,
United Kingdom

Optical fibres for telecommunications are well known, have been studied in detail, and are being applied extensively in public telephone networks. However there is rapidly increasing interest in the use of fibres as sensors and transducers. The lecture will describe the fabrication of optical fibres of novel design and incorporating new materials, including twisted, spun and helical-core fibres, as well as highly-birefringent and single-polarisation, single-mode fibres.

A new technique has been developed at Southampton University for incorporating rare-earth ions into the core of a single-mode fibre with the aim of producing a distributed temperature sensor. These fibres have also provided, for the first time, continuous-wave operation when pumped by a simple semiconductor laser diode. These new fibre lasers have been operated as amplifiers and tunable laser sources. They are simple, flexible, do not need optical alignment and are relatively unaffected by environmental conditions.

OPTICAL FIBRES FOR SENSORS AND LASERS

W.A. Gambling,

Department of Electronics & Information Engineering,
The University of Southampton,
Southampton, S09 5NH, Hampshire,
United Kingdom

Abstract

Optical fibres for telecommunications are well known, have been studied in detail, and are being applied extensively in public telephone networks. However there is rapidly increasing interest in the use of fibres as sensors and transducers. The paper describes the fabrication of optical fibres of novel design and incorporating new materials, including twisted, spun and helical-core fibres, as well as highly-birefringent and single-polarisation, single-mode fibres.

A new technique has been developed at Southampton University for incorporating rare-earth ions into the core of a single-mode fibre with the aim of producing laser action, as well as a distributed temperature sensor. These fibres have also provided, for the first time, continuous-wave operation when pumped by a simple semiconductor laser diode. The new fibre lasers have also been operated as amplifiers and tunable optical sources. They are simple, flexible, do not need optical alignment and are relatively unaffected by environmental conditions.

New Fibres

Optical fibres have been developed to a high degree of sophistication for applications in long-distance transmission. Silica-based fibres have attenuations close to the theoretical minimum at wavelengths of $0.85\mu\text{m}$, $1.3\mu\text{m}$ and $1.55\mu\text{m}$, while the bandwidth of single-mode fibres can, for all practical purposes, be made almost infinite at wavelengths greater than $1.3\mu\text{m}$. Attention is now being given to the design of new types of fibre for application as active and passive fibre components, as sensors and in other new types of optical circuit element.

At Southampton we have been studying four types of structure, involving new materials and new fibre designs. Firstly we have fabricated fibres with zero birefringence¹, strong linear birefringence² and strong circular birefringence³, ⁴. Secondly we have made fibres with longitudinal metal components close to the core so that the effect of transverse electric fields can be investigated with a view of producing electrically-activated modulation and switching. Thirdly, a technique has been developed for doping the core of single-mode fibres with rare-earth and transition-metal materials through an extension of the MCVD technique⁵. Fourthly we are looking at the fabrication of fibres with non-silica glasses chosen so as to enhance the Kerr, Faraday and acousto-optical effects.

This paper addresses the first and third of these topics.

Linearly-Birefringent Fibres

In many applications it is necessary that the state of polarisation of the modes in a fibre should be strictly controlled. For example it is necessary to control linear polarisation in fibres used in interferometric sensors, in coherent transmission and for coupling to integrated optical The state of polarisation in ordinary single-mode fibres is indeterminate. In theory, if a fibre is perfectly constructed so that it is circularly symmetric and laid in a straight line then linearly-polarised light launched at the input will maintain this state along the whole length of the fibre to the output. In practice, however, this does not happen. Firstly, fibres cannot be made as perfect cylindrical structures so that both intrinsic imperfections and external factors such as bends, stress and changes of temperature, will produce some optical azimuthal inhomogeneity. Thus linearlypolarised input light may be decomposed into linearlypolarised, orthogonal, components along the two principal transverse planes and these components will have different phase velocities. Coupling between the two orthogonal components will cause the state of polarisation to vary along the length of the fibre in an unpredictable way.

In order to stabilise the linear polarisation state it is necessary to reduce the amount of coupling between the two mode components and this can be done by introducing strong linear birefringence into the fibre.

One method of doing so⁶ is to make the core non-circular in shape so that the refractive-index distributions in the two principal directions are different. Some linearly-birefringent fibres have been made in this way but the refractive-index difference between core and cladding must be large, which means in turn that in order to maintain single-

mode propagation the core diameter must be very small. This gives rise to problems of fabrication and jointing of the fibre.

On the other hand coupling to the non-circular active emission spot of a semiconductor laser is eased and a simple butt connection to a laser diode can have a $loss^7$ of only 1.9dB. The transmission loss of this type of fibre has been reduced to 9dB/km at $0.85\mu m$ and 2.5dB/km at $1.3\mu m$.

A more common method of producing linear birefringence is by introducing asymmetric stress over the core of the fibre. The core and cladding remain circular but non-circularly symmetric sectors of very different expansion coefficient are introduced into the substrate region of the fibre. Several methods have been suggested but the one producing the largest birefringence is the "Bow-Tie" structure in which the shape of the stress-producing sectors has been optimised to produce the maximum degree of birefringence.

The fibres are fabricated by a modification of the MCVD process. After the normal buffer layer has been deposited on the inside of the deposition tube to prevent the diffusion of water into the core and cladding regions, a layer of stressproducing material (for example borosilicate glass) is deposited. The tube rotation is then stopped and some of the stress-producing glass is etched away on opposite sides of the The tube is again rotated and layers of preform tube. cladding, followed by core, glass are deposited in the usual The deposited tube is then collapsed into a solid rod During the collapse process the cusp-like regions of stress-producing glass in the tube assume the Bow-Tie shape in the fibre. It is possible to produce a high degree of stress in the preform, even up to the breakdown level of glass thus causing the preform to shatter. Assuming that shattering has not occurred the preform rod is then drawn into a fibre.

•

During the cooling from the drawing temperature of approximately 2000°C to room temperature a high degree of asymmetric stress is once again introduced, due to the different thermal expansion coefficients of the borosilicate sectors and the silica substrate. The fibre, as distinct from the preform, is mechanically strong and is no more likely to break than a conventional fibre.

The degree of birefringence can be assessed easily by looking at the light scattered sideways from the fibre. The two propagating modes run into, and out of, phase at a rate depending on the birefringence so that the scattered light varies periodically in intensity. Beat lengths of less than lmm (modal birefringence $B = 6 \times 10^{-4}$) can be obtained. The cross-section of a Bow-Tie fibre is shown in Figure 1. The transmission loss is comparable with that of a normal telecommunications fibre.

Polarisation-Maintaining Fibres and Polarising Fibres

As indicated above, the polarisation state in a normal telecommunications fibre is indeterminate. On the other hand a fibre exhibiting a high degree of linear birefringence can operate in two quite distinct ways. In the first of these the two orthogonal modes have a low transmission loss and propa-If an equal amount of gate with roughly equal attenuation. light is launched into each of the modes then, because of the different phase constants, the state of polarisation changes periodically along the length of the fibre from linear, to circular, to linear, and so on. On the other hand, if only one of the modes is launched then providing no mode conversion occurs the light will continue to be linearly polarised along the entire length of the fibre. In the presence of strong external distortion then some of the original polarisation will couple into the orthogonal mode and will continue to propagate in that mode to the output.

Another method of operating a Bow-Tie fibre is to introduce attenuation preferentially into one of the modes. Light launched into the low-loss mode will continue in that mode to the end of the fibre. Any light coupled into the orthogonal, i.e. high-loss, mode is attenuated and the output remains linearly polarised despite the mode coupling. Such a fibre is termed a "polarising" fibre because, for any state of input polarisation, only linearly-polarised light emerges.

One method of introducing a preferential loss into one mode is to wind the fibre into a coil. Because of the different refractive-index distributions in the two principal transverse planes, the bending loss edges of the two modes will be at different wavelengths. This effect is illustrated in Figure 2, showing that there is a wavelength region where the attenuation of the two modes is very different. The steepness of the bending edges, their positions and their separation, can be changed by the fabrication conditions, the radius of bend and by microbends¹⁰. The wavelength region in which polarising action occurs can also be controlled. Extinction ratios of 40dB have been obtained.

Fibres with Negligible Birefringence and Polarisation Mode Dispersion

Fibres with almost zero internal birefringence can be made by rotating the preform of a conventional fibre about its longitudinal axis¹ during fibre drawing. Spinning rates of several thousand revolutions per minute are possible with the result that any azimuthal inhomogeneities rotate along the length of the fibre with a very short pitch length. Linearly-polarised light is unable to follow this rapid rotation of the birefringence axes with the result that the core appears to be circularly symmetric as far as the propagating mode is concerned. The inherent linear birefringence, and polari-

sation mode dispersion, can be reduced to a very low level in this way. External effects, such as bends, pressure, etc., can re-introduce birefringence which is not affected by the spun core, so that spun fibres can be used as sensors. They are particularly useful for measurement of magnetic fields and electric currents by exploiting the Faraday effect. Thus the angle of polarisation is rotated by an amount proportional to the integral of the magnetic field strength along the length of the fibre.

Circularly-Birefringent Fibres

It is also possible to produce fibres exhibiting a high degree of circular birefringence. Such fibres can find application in the monitoring of electric current and magnetic fields and also in the control of polarisation in telecommunications.

Probably the simplest method of producing circular birefringence is by twisting a conventional optical fibre about its longitudinal axis. It is then found that the propagation constants of modes polarised in the left-hand, and right-hand, circular directions are different. However, this method is quite limited since the fibre will break at beat lengths shorter than about 10cm. Also, of course, a fibre twisted in this way is difficult to handle experimentally.

A much more effective method is to produce a fibre in which the core does not lie along the longitudinal fibre axis but follows a helical path about it. Such fibres have been developed and fabricated at Southampton^{11,12} by inserting a normal MCVD preform, containing core and cladding, into a hole drilled off-axis in a silica rod. Whilst the silica rod containing the offset core/cladding preform is drawn into fibre it is rotated about its longitudinal axis. The core of the resulting fibre is in the form of a tight helix with a

- D.N. Payne,
 "Update on polarisation-maintaining fibres",
 Optical Fibre Communication '84, Technical Digest, Paper
 ME2, Optical Society of America.
- 9. M.P. Varnham, D.N. Payne, A.J. Barlow and R.D. Birch: "Analytic solution for the birefringence produced by thermal stress in polarisation-maintaining optical fibres", Journal of Lightwave Technology, <u>LT-1</u>, pp. 332-339, 1983.
- 10. M.P. Varnham, D.N. Payne, R.D. Birch and E.J. Tarbox, "Single-polarisation operation of highly-birefringent bow-tie optical fibres", Electron. Lett., 19, pp. 246-247, 1983.
- 11. M.P. Varnham, R.D. Birch, D.N. Payne and J.D. Love: "Design of helical-core circularly-birefringent fibres", Optical Fibre Communication '86, Technical Digest, Paper TUL20, Optical Society of America.
- 12. L. Reekie, R.J. Mears, S.B. Poole and D.N. Payne:
 "Tunable single-mode fibre laser",
 Submitted to Electronics Letters.
- 13. R.J. Mears, L. Reekie, S.B. Poole and D.N. Payne: "Q-switched fibre laser operating at 1.55μm", Electron. Lett., 22, pp. 159-160, 1986.

٠

References

- A.J. Barlow, D.N. Payne, M.R. Hadley and R.J. Mansfield: "Production of single-mode fibres with negligible intrinsic birefringence and polarisation mode dispersion", Electron. Lett., 17, pp. 725-726, 1981.
- R.D. Birch, D.N. Payne and M.P. Varnham:
 "Fabrication of polarisation-maintaining fibres using gas-phase etching",
 Electron. Lett., 18, pp. 1036-1038, 1982.
- M.P. Varnham, R.D. Birch and D.N. Payne:
 "Helical-core circularly-birefringent fibres",
 Proc. European Conference on Optical Communication,
 Venice, pp. 135-138, 1985.
- C.D. Hussey, R.D. Birch and Y. Fujii:
 "Circularly birefringent single-mode optical fibres",
 Electron. Lett., 22, pp. 129-130, 1986.
- 5. S.B. Poole, D.N. Payne and M.E. Fermann: "Fabrication of low-loss optical fibres containing rare-earth ions", Electron. Lett., 21, pp. 737, 1985.
- 6. R.B. Dyott, J.R. Cozens and D.G. Morris: "Preservation of polarisation in optical fibre waveguides with elliptical cores", Electron. Lett., 15, pp. 380-382, 1979.
- R.B. Dyott:
 Private Communication.

core, so that lasing action should be possible with materials having weak transitions and which perhaps have not yet exhibited laser action by other techniques. Again because of the small core diameter cooling is very effective, allowing CW operation at room temperature. The fabrication process is simple and flexible so that a wide variety of dopants can be incorporated very efficiently and economically.

Conclusion

It is clear that a wide variety of new optical fibre materials and structures are possible giving rise to many different types of application. Whilst optical-fibre components cannot compete with integrated optical circuits in terms of size, they are flexible, comparatively simple to fabricate, and are compatible with optical fibre transmission lines so avoiding the large coupling loss between fibres and planar optical circuits. In addition to passive devices such as couplers and filters a wide variety of active components can be devised, ranging from optical amplifiers and tunable sources to devices based on non-linear interactions involving soliton propagation, Raman* interaction and the like.

25mW, corresponding to 10mW of pump power in the fibre. The laser is tunable over most of the neodymium gain curve producing an impressive tuning range of 80nm.

The erbium fibre laser operates at a wavelength of $1.536\mu m$ where the transmission loss of conventional telecommunication fibres is a minimum. Pumping was at 514nm with an argon ion laser. Despite the fact that an erbium laser is a 3-level system the small core diameter of $5\mu m$ allows saturation of the absorption to be easily achieved with only a few milliwatts of pump power, giving an unprecedented low threshold of about 4mW of absorbed power. The output power was several tens of microwatts. Tunable operation has been achieved over two ranges of 14nm and 11nm near $1.54\mu m$, with an absorbed pump power of only 90mW.

In addition to the above results optical amplification with high gain has also been achieved and will be reported elsewhere, as well as 13 Q-switched operation at 1.55 µm giving 60ns pulses of 2W peak output power at a repetition rate of 200Hz.

Even from these early results it is clear that optical fibre lasers can produce efficient solid-state sources of low threshold power which are compatible with optical fibre The output can be tuned in wavelength devices and circuits. and fibre lasers should be cheap compared with conventional ones and have the additional virtues of being completely flexible in design and operation. For example, they can be wound into a tight coil without affecting laser action and there is no need for accurate optical alignment of the active medium nor of the migrors. The latter can be simply attached directly to the end of a fibre cleaved in the normal way. Fibre lasers can be operated in the single, fundamental, mode giving a well-controlled Gaussian output beam. The threshold powers are small, because of the strong guiding action of the

Fibre Lasers and Amplifiers

An example of the absorption spectrum of a lasing fibre is shown in Figure 4 for a single-mode fibre with 30ppm of neodymium in the core. The high-loss absorption bands and the low-loss transmission region are clearly seen. Figure 5 gives the fluorescence spectra for three rare-earth dopants showing the possibility of lasing and amplifying action in three very interesting wavelength regions. So far lasing action has been produced with neodymium and erbium by the simple expedient of placing mirrors at the ends of the length of fibre. threshold as low as 100 µW has been observed with a simple diode laser acting as the pump source. One of the mirrors is dichroic allowing good transmission of pump radiation with a high reflectivity of the laser light. Previously reported neodymium fibre lasers have been pulsed or multimode, exhibiting relaxation oscillations, but we have observed CW operation at a power level of a few microwatts. A ring fibre laser has also been constructed with a fibre coupler to transfer pump radiation into and laser radiation out of, the cavity. The output power from one port was 2mW for approximately 20mW of pump power absorbed in the ring and a threshold of a few milliwatts. The slope efficiency of well over 20% is much higher than with conventional neodymium/glass lasers and thermal effects are negligible so that the fibre laser can be operated in the CW mode without cooling.

Tunable radiation has been produced¹² in both neodymium and erbium fibre lasers by replacing one of the mirrors by a diffraction grating. In the neodymium fibre the argon ion pump radiation at 514nm was coupled into the fibre through the plane input mirror. Even without the diffraction grating the gain available is sufficiently high that feedback from the bare endface of the fibre produced lasing action at a pump power of 122mW. With the grating in place threshold was at

cladding glass is deposited in the usual way. During the core deposition the dopant chamber is heated to about 1000°C to produce small quantities of NdCl₃ vapour which is carried downstream by the reactant flow where it is oxidised and incorporated into the core. The temperature for core deposition is kept lower than usual so that the core components are initially unfused. Further drying is carried out by heating in a chlorine atmosphere, after which the core is fused into a clear non-porous layer. Subsequent collapse of the deposited tube into a solid rod preform, and drawing of the preform into fibre, are carried out in the usual way.

Initial results have been very successful. A number of dopants, such as neodymium, erbium and terbium, have been incorporated into fibres, giving absorption bands of very high loss (greater than 3000dB/km) at visible and near-infra-red wavelength, whilst maintaining the characteristic low loss (less than 2dB/km) in the region of 1.3μm. Further research is proceeding in the study of doping, and co-doping, of other rare-earth and transition metals. Measurements by optical time-domain reflectometry indicate that the dopant is incorporated uniformly along the length of the fibre. The technique is simple, reproducible and can provide single component or multicomponent, doping of a wide range of materials into the core or cladding of both multimode and single-mode optical fibres. The doping level can be varied over a wide range, up to about 1% by weight, without significantly affecting the low-loss characteristics in the wavelength region 0.95 -1.4 μ m. Such fibres can produce distributed sensors as well as fibre lasers, amplifiers and active components in optical communication systems.

In a measurement of the sensitivity of a neodymium-doped fibre as a temperature sensor the change of absorption edge was measured to be 2dB/km for a 50°C change in temperature.

At Southampton a study has been made of possible techniques for introducing rare-earth ions into the light-guidance regions of the fibre. Possible developments could be

- 1. Fibre lasers and amplifiers.
- Distributed temperature sensor based on
 (a) absorption, (b) fluorescence.
- Increased Verdet constant.
- 4. Increased Kerr effect and non-linear optical coefficients.

We have devised a method of doping fibres through a modification of the MCVD technique. One of the major advantages of conventional MCVD fabrication is that it enables the appropriate material halides to be used as starting materials and these can be obtained in very pure form and are liquid at room temperature. The problems to be overcome in extending this technique to the rare-earth halides is that they are solid at room temperature, they have a high melting point and thus a low vapour pressure, and they occur in hydrated form.

The method adopted to overcome these difficulties⁵ is illustrated in Figure 3. Prior to deposition, a conventional deposition tube is modified and the required dopant, for example $NdCl_3.6H_2O$ (99.9% pure, MP = 758°C) is introduced into a special dopant chamber which is added at the upstream end. The dopant is dried by heating the chamber under a chlorine atmosphere and, at the same time, the anhydrous crystals are fused to the chamber wall. The inside of the deposition tube is then cleaned to remove any dopant which may have been deposited there during the drying process, following which the

quite short pitch length. The degree of circular birefringence is more than an order of magnitude greater than is possible by twisting the fibre and beat lengths down to 5mm (corresponding to a modal birefringence of $B = 1.3 \times 10^{-4}$) and less have been produced.

An interesting consequence of this method of fabrication is that the bend loss of the second, and higher-order, modes is greatly increased compared with that of the fundamental mode so that the fibre can be operated at high normalised frequencies, e.g. V = 25, whilst maintaining single-mode operation. The core diameter can thus be much larger than normal. The use of such fibres for measuring magnetic fields and electric currents is now being investigated.

Rare-Earth Doping of Single-Mode Fibres

In order to maintain low transmission losses in the near infra-red wavelength region it is necessary to reduce all but the essential glass constituents of optical fibres to an absolute minimum. In this way, as is well known, transmission losses have been reduced to a few tenths of a decibel per kilometre. On the other hand, optical fibres also have attractive potential applications as sensors and signalprocessing devices if the appropriate fibre properties can be introduced, or enhanced, without appreciably increasing the attenuation at the low-loss wavelengths. In the methods discussed so far in this paper the purity of both core and cladding is maintained and the propagating wave is modulated by externally-applied forces such as mechanical strain, electric field, magnetic field, change of temperature, and so Another method of modifying the fibre properties is by introducing small quantities of suitable materials into the core or cladding.

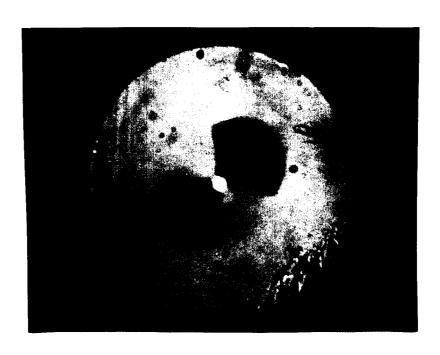


Fig. 1: Cross-section of Bow-Tie fibre showing central core and cladding regions and (dark) stress-producing sectors.

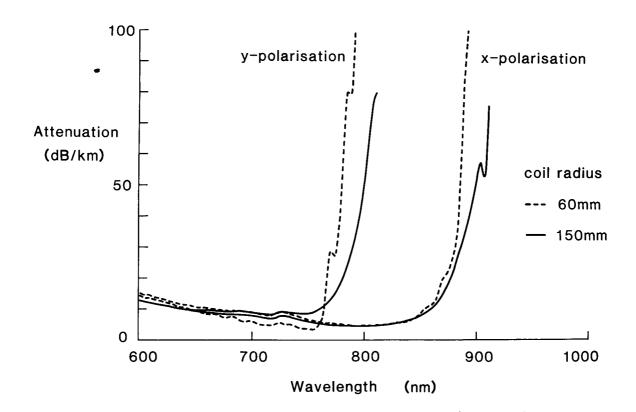


Fig. 2: Transmission loss of the two orthogonally-polarised modes in a Bow-Tie fibre.

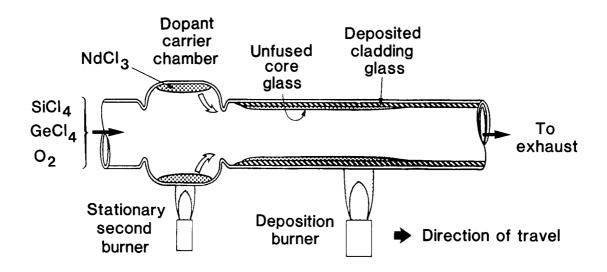


Fig. 3: Modified deposition tube for rare-earth doping.

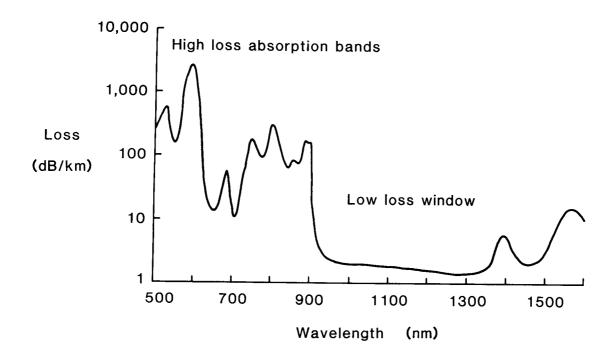


Fig. 4: Absorption spectrum of neodymium fibre laser.

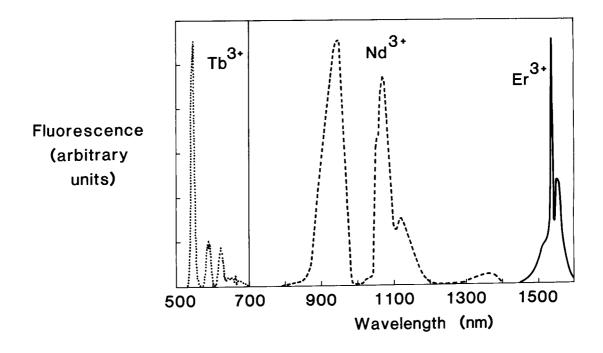


Fig. 5: Fluorescence spectra for fibres doped with terbium, neodymium and erbium.