# Polarization-maintaining optical fibers with low dispersion over a wide spectral range

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The total dispersion characteristics of the doubly clad Panda (or bow-tie) fibers have been investigated. It is shown that the contribution of the photoelastic effect to the total dispersion becomes of the order of several psec/km·nm in the 1.5-1.7- $\mu$ m wavelength region. By careful adjustment of the cutoff wavelength, the total dispersion is reduced to within  $\pm 1$  psec/km·nm over the 1.38-1.70- $\mu$ m wavelength region for the HE $_{11}^x$  mode and 1.38-1.68  $\mu$ m for the HE $_{11}^x$  mode, respectively.

### I. Introduction

Single-mode fibers that can maintain a state of polarization are desirable for use in coherent optical fiber communication<sup>1</sup> and fiber-optic sensing systems.<sup>2</sup> On the other hand, double clad fibers, in which the waveguide dispersion is designed to cancel out the material dispersion over an extended spectral range, are of interest for use in wavelength division multiplexing systems and nonlinear optics.<sup>3</sup> Several independent theoretical and experimental investigations have been reported on birefringent fibers<sup>4-6</sup> and doubly clad fibers.<sup>7-9</sup> However, birefringent double clad fibers have to date not been investigated.

In this paper we describe propagation characteristics of the double clad Panda<sup>5</sup> or bow-tie<sup>6</sup> fibers which can transmit optical signals in a stable, linear polarization state with minimum signal distortion over a wide spectral range.

## II. Basic Equation

Figure 1 shows the waveguide configuration and refractive-index profile of the fiber. The core and inner cladding are circular and the refractive index in the stress-applying part is matched with that of the cladding. Doubly clad Panda (or bow-tie) fibers will be called  $\Omega$  fibers, since the refractive-index profile of the fiber resembles the Greek letter  $\Omega$  [Fig. 1(b)]. The propagation constants for the HE $_{11}^{\chi_1}$  and HE $_{11}^{\chi_1}$  modes in birefringent single-mode fibers can be expressed as  $^{10}$ 

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$$\beta_1 = \beta^{(0)} - \Gamma_1,\tag{1a}$$

$$\beta_2 = \beta^{(0)} - \Gamma_2,\tag{1b}$$

where  $\beta^{(0)}$  denotes the propagation constant of the HE<sub>11</sub> mode in the unstressed fiber. The  $\Gamma_i$  (i = 1,2) in Eq. (1) are given by

$$\Gamma_i = \omega \epsilon_0 \int_0^{2\pi} \int_0^{\infty} \mathbf{E}_i^* \cdot \mathbf{X} \, \mathbf{E}_i r dr d\theta, \tag{2}$$

where **E** and **X** represent the electric field vector and the small deviation of the dielectric tensor caused by anisotropic thermal stress, respectively. The stress contributions,  $\Gamma_i$ , are obtained from Eq. (2) as

$$\Gamma_1 = k[(C_1 + C_2)\sigma_{xo}X(v) + 2C_2\sigma_{yo}Y(v)],$$
 (3a)

$$\Gamma_2 = k[2C_2\sigma_{xo}X(v) + (C_1 + C_2)\sigma_{yo}(Y(v))],$$
 (3b)

where  $C_1$  and  $C_2$  denote the photoelastic coefficients,  $^{11}$   $\sigma_{xo}$  and  $\sigma_{yo}$  are the principal stresses at the core center. In deriving Eqs. (3), we have assumed that Poisson's ratio  $\nu$  and Young's modulus E are constant in the entire cross section of the fiber.  $^{12}$   $X(\nu)$  and  $Y(\nu)$  in Eqs. (3) are given by

$$X(v) = \frac{\int_0^{2\pi} \int_0^{\infty} \sigma_x(r,\theta) p(r,\theta;v) r dr d\theta}{\sigma_{xo} \int_0^{2\pi} \int_0^{\infty} p(r,\theta;v) r dr d\theta},$$
 (4a)

$$Y(v) = \frac{\int_0^{2\pi} \int_0^{\infty} \sigma_y(r,\theta) p(r,\theta;v) r dr d\theta}{\sigma_{yo} \int_0^{2\pi} \int_0^{\infty} p(r,\theta;v) r dr d\theta},$$
 (4b)

where  $p(r,\theta;v)$  denotes the power distribution and  $\sigma_x(r,\theta)$  and  $\sigma_y(r,\theta)$  represent the principal stress distributions in the fiber, respectively. The normalized frequency V is defined as

$$V = ka\sqrt{n_1^2 - n_3^2}, (5)$$

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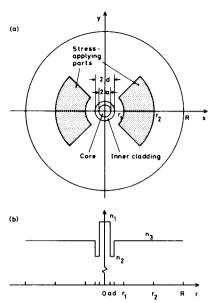


Fig. 1. Cross section (a) and refractive-index profile (b) of the  $\Omega$  fiber. The refractive index of the stress-applying part is matched with the index of the cladding.

where  $k = 2\pi/\lambda$  and  $\lambda$  is the wavelength of light in vacuum. Using Eqs. (1), (3), and (4), the total dispersion in the fiber per unit length, per unit spectral width of the light source can be given by

$$\rho_1 = \frac{1}{\lambda C} k \frac{d^2 \beta_1}{dk^2} = \frac{1}{\lambda C} k \frac{d^2 \beta^{(0)}}{dk^2} - \frac{1}{\lambda C} k \frac{d^2 \Gamma_1}{dk^2} = \rho^{(0)} - \gamma_1, \quad (6a)$$

$$\rho_2 = \frac{1}{\lambda C} k \frac{d^2 \beta_2}{dk^2} = \frac{1}{\lambda C} k \frac{d^2 \beta^{(0)}}{dk^2} - \frac{1}{\lambda C} k \frac{d^2 \Gamma_2}{dk^2} = \rho^{(0)} - \gamma_2, \quad (6b)$$

where  $\rho^{(0)}$  denotes the total dispersion in the unstressed fiber and  $\gamma_1$  and  $\gamma_2$  represent the stress contribution to the total dispersion for the HE<sub>11</sub> and HE<sub>11</sub> modes, respectively. The total dispersion  $\rho^{(0)}$  in the unstressed fiber is given by the sum of the material and waveguide dispersion as<sup>7</sup>

$$\rho^{(0)} = \frac{1}{C} \left\{ \lambda \frac{d^2 n_1}{d\lambda^2} \frac{d(vb)}{dv} + \left[ 1 - \frac{d(vb)}{dv} \right] \lambda \frac{d^2 n_3}{d\lambda^2} \right\} + \frac{N_1 \Delta_1}{\lambda C} v \frac{d^2(vb)}{dV^2} ,$$

where  $n_1$  and  $n_3$  denote the refractive indices of the core and cladding, and  $N_1$  and  $\Delta_1$  represent the group index and the refractive-index difference of the core, respectively. The parameter b(v) describes the dispersion characteristics of the mode, which is defined by

$$b(v) = \frac{[\beta^{(0)}/k]^2 - n_3^2}{n_1^2 - n_2^2} \ . \tag{8}$$

Although the refractive index  $n_2$  of the inner cladding does not appear in Eqs. (7) and (8) explicitly, it affects the v-value dependence of  $\beta^{(0)}$  and therefore contributes to  $\rho^{(0)}$ . The stress contributions  $\gamma_1$  and  $\gamma_2$  are obtained from Eqs. (3) and (6) as

$$\gamma_1 = \frac{1}{\lambda C} \left[ (C_1 + C_2) \sigma_{xo} F_x(v) + 2C_2 \sigma_{yo} F_y(v) \right], \tag{9a}$$

$$\gamma_2 = \frac{1}{\lambda C} \left[ 2C_2 \sigma_{xo} F_x(v) + (C_1 + C_2) \sigma_{yo} F_y(v) \right], \tag{9b}$$

where

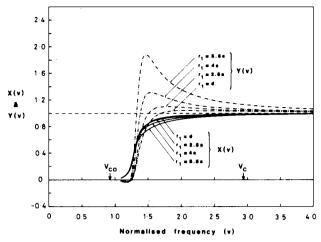


Fig. 2. X(v) and Y(v) in the  $\Omega$  fiber with  $\Delta_1 = 0.7\%$ ,  $\Delta_2 = -0.5\%$ ,  $2a = 5.3 \ \mu m$  ( $\lambda_c = 0.98 \ \mu m$ ), T = 0.4,  $R = 62.5 \ \mu m$ , and  $r_2 = 0.5R$ . The parameter  $r_1$  is the inner radius of the stress-applying part.

$$F_x(v) = v \frac{d^2(vX)}{dv^2} \,, \tag{10a}$$

$$F_{y}(v) = v \frac{d^{2}(vY)}{dv^{2}}$$
 (10b)

Since the dispersion of the photoelastic coefficients  $C_1$  and  $C_2$  in the 1.0–1.7- $\mu$ m region are small compared with the other terms,<sup>13</sup> they have been neglected in the derivation of Eqs. (9).

In the numerical analysis of X(v) and Y(v), the principal stress distributions  $\sigma_x(r,\theta)$  and  $\sigma_y(r,\theta)$  are calculated by the finite-element method, <sup>14</sup> and  $F_x(v)$  and  $F_y(v)$  are obtained by numerical differentiation.

## III. Dispersion Characteristics

In the following the contribution of the photoelastic effect is first examined and the total dispersion of the unstressed doubly clad fiber is briefly reviewed. The dispersion characteristic of the  $\Omega$  fiber is then investigated taking the photoelastic effect into consideration.

Figure 2 shows the v-value dependence of X(v) and Y(v) for the  $\Omega$  fiber calculated by using the following parameters: the index difference between core and cladding  $\Delta_1 = (n_1^2 - n_3^2)/2n_1^2 = 0.7\%$  and that between the inner cladding and the outer  $\Delta_2 = (n_2^2 - n_3^2)/2n_3^2 =$ -0.5%, the diameter of the core  $2a = 5.3 \mu m$ , and the thickness ratio of the inner cladding to the outer T = (d-a)/d = 0.4. Cutoff v-value for the fundamental HE<sub>11</sub> mode is  $v_{co} = 0.924$  and that for the second-order mode is  $v_c = 2.940$ , respectively. In Fig. 2 and throughout this paper,  $r_1$  is varied from  $r_1 = a/(1-T) = d$  to  $r_1 = 5.5a$ and  $r_2$  is kept constant as  $r_2 = 0.5R$  where  $R (= 62.5 \mu m)$  is the radius of the fiber. The inner cladding and stress-applying part consist of fluoride glass and  $B_2O_3$ – $GeO_2$ – $SiO_2$  glass, respectively. We have taken  $C_1=7.42\times 10^{-6}~\text{mm}^2/\text{kg}, C_2=4.10\times 10^{-5}~\text{mm}^2/\text{kg},$  $E = 7830 \text{ kg/mm}^2$ , and  $\nu = 0.186$  in the calculation of the photoelastic effect.

Since the thermal expansion coefficient and the wavelength dispersion characteristics of the fluoride

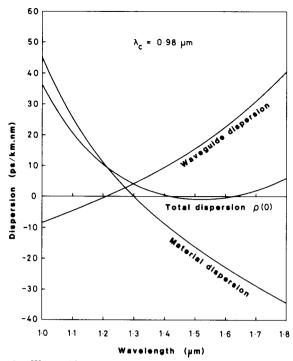


Fig. 3. Waveguide, material, and total dispersion for the  $\Omega$  fiber when the photoelastic effect is ignored [X(v)=Y(v)=0]. Parameters are the same as those used in Fig. 2.

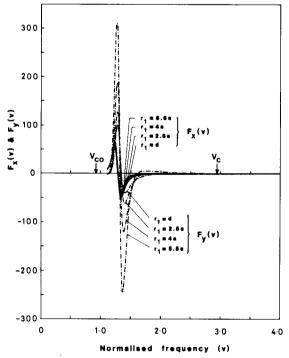


Fig. 4.  $F_x(v)$  and  $F_y(v)$  in the  $\Omega$  fiber with the waveguide structure shown in Fig. 2.

glass are almost the same as those of silica glass, the data of silica glass have been used. 15,16

Figure 2 shows that X(v) and Y(v) vary considerably in the small v-value region and that the dispersion of the photoelastic effect cannot be neglected. Figure 3 shows

the total dispersion of the unstressed fiber [X(v) = Y(v) = 0] having the above waveguide parameters together with material and waveguide dispersion. The total dispersion is reduced to within  $\pm 1$  psec/km·nm over the 1.38-1.66- $\mu$ m wavelength range when the cutoff wavelength  $\lambda_c = 0.98~\mu$ m. The stress contribution functions  $F_x(v)$  and  $F_y(v)$  are shown in Fig. 4. It is known from Fig. 4 that  $F_x(v)$  and  $F_y(v)$  are small and their influence to the total dispersion can be neglected in the large v-region. However, since the cutoff wavelength is determined as  $\lambda_c = 0.98~\mu$ m, the v-value becomes small in the low-loss wavelength region of  $1.5-1.7~\mu$ m. As shown in Fig. 4,  $F_x(v)$  and  $F_y(v)$  becomes fairly large in the small v-region. Therefore, the influence of stress to the total dispersion cannot be neglected in the  $\Omega$  fibers.

Figures 5 and 6 show the total dispersion characteristics for the  $HE_{11}^x$  and  $HE_{11}^y$  modes of the  $\Omega$  fibers having the same waveguide parameters used in Fig. 2 except for the core diameter (cutoff wavelength).

Figures 5 and 6 show that the stress contributions  $\gamma_1$ and  $\gamma_2$  are the order of several psec/km · nm in the 1.5-1.7- $\mu$ m wavelength region. In Figs. 5 and 6, the core diameter is determined to be  $2a = 5.4 \,\mu\text{m} \,(\lambda_c = 1.0 \,\mu\text{m})$ such that the total dispersion  $\rho_1$  or  $\rho_2$  is minimized within ±1 psec/km · nm for the inner radius of stress part  $r_1 = 4a$ . Although the waveguide dispersion of the unstressed fiber is very sensitive to the cutoff wavelength, the wavelength dependence of stress contributions  $\gamma_1$  and  $\gamma_2$  is almost the same for two cutoff wavelengths. For the present cutoff wavelength, the absolute value of total dispersion in the unstressed fiber  $\rho^{(0)}$ is larger than 1 psec/km · nm between the 1.44- and 1.63-µm wavelength region. However, the total dispersion including the stress contribution is reduced to within  $\pm 1$  psec/km · nm over the 1.38-1.70- $\mu$ m wavelength range for the HE<sub>11</sub> mode and over the 1.38-1.68- $\mu$ m wavelength range for the HE<sub>11</sub> mode, respectively, for  $r_1 = 4a$ .

Although the total dispersion characteristics in Figs. 5 and 6 are optimized for the waveguide structure with  $r_1=4a$ , it is possible to reduce the total dispersion below  $\pm 1$  psec/km·nm for other waveguide structures with different  $r_1$  by adjusting the cutoff wavelength properly. The group velocity for the optimized  $\Omega$  fiber  $(r_1=4a)$  is almost constant over the 1.38-1.70- $\mu$ m wavelength range; that is, the maximum difference of the group velocity is below 0.15 nsec/km in the above spectral region for both polarization modes. This gives a great deal of advantage for use in nonlinear fiber optics because the stable polarization state and the group velocity matching between the interacting waves make it possible to obtain long, effective interactions in the fiber.<sup>3</sup>

# IV. Conclusion

In conclusion, we have reported the propagation characteristics of the  $\Omega$  fibers (the doubly clad Panda or bow-tie fibers) taking the photoelastic effect into consideration. Since the cutoff wavelength of the  $\Omega$  fibers is shorter than the conventional Panda or bow-tie fibers, the influence of stress to the total dispersion

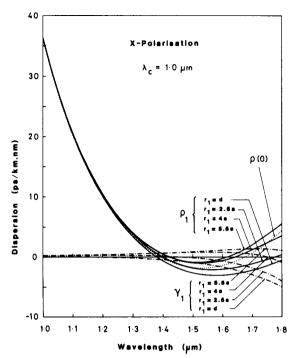


Fig. 5. Total dispersion characteristics for the HE<sub>1</sub> mode in the  $\Omega$  fiber. Core diameter is changed to  $2a=5.4~\mu m$  ( $\lambda_c=1.0~\mu m$ ) such that the total dispersion is optimized for  $r_1=4a$ .

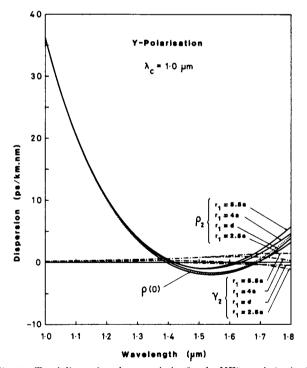


Fig. 6. Total dispersion characteristics for the HE $_1$ 1 mode in the  $\Omega$  fiber having the same parameters as Fig. 5.

cannot be neglected in the low-loss 1.5-1.7- $\mu$ m wavelength region. We have investigated the total dispersion characteristics of the typical  $\Omega$  fiber with  $\Delta_1=0.7\%$ ,  $\Delta_2=-0.5\%$ ,  $2a=5.4~\mu\text{m}$ , and T=0.4. It is shown that the stress contribution becomes of the order of several psec/km·nm in the 1.5-1.7- $\mu$ m wavelength region. By determining the cutoff wavelength properly, the total dispersions are reduced to within  $\pm 1~\text{psec/km·nm}$  over the 1.38-1.70- $\mu$ m wavelength region for the HE $_{11}^{x}$  mode and over the 1.38-1.68- $\mu$ m wavelength region for the HE $_{11}^{y}$  mode, respectively.

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